

## Lecture 14

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## 1 Introduction

In this lecture, we discuss linearity testing, self-correction and introduce the Boolean cube.

## 2 Problem setup and definition

We begin by defining terms associated with linearity testing:

**Definition 1 (*Linear function*)** Given a function  $f$  that maps from a finite set  $G$  to another finite set  $H$ , we say  $f$  is linear if  $\forall x, y \ f(x) +_H f(y) = f(x +_G y)$ .

Here, we define  $+_H$  to be addition as defined within the set  $H$  and  $+_G$  to be addition as defined within the set  $G$ . Examples of such linear functions is the family of functions parameterised by  $a \ f_a(x) = ax \bmod m, \forall x \in Z_m$ <sup>†</sup>. In this example  $G = H$ . This is linear because  $f_a(x_1) + f_a(x_2) = ax_1 + ax_2 = a(x_1 + x_2) = f_a(x_1 + x_2)$

We also define a closely related concept called  $\epsilon$ -linear:

**Definition 2 ( $\epsilon$ -Linear function)** Given a function  $f$  that maps from a finite set  $G$  to another finite set  $H$ , we say  $f$  is  $\epsilon$ -linear if there exists a linear function  $g$  such that  $\Pr_{x \in G} [f(x) = g(x)] \geq 1 - \epsilon$ .

Given these established definitions, we want to understand how we could test if a function is linear. Since both  $G$  and  $H$  are finite, we could simply run the check on all possible combinations of  $x$  and  $y$ . However,  $G$  and  $H$  could turn out to be very large. We seek a more tractable way to do this.

## 3 Testing for linearity

As seen in previous lectures, one way to deal with intractability is to introduce randomness. To this end, we present the following randomized algorithm:

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Do k times:
  Pick random x, y in G
  Test f(x+y) = f(x) + f(y)

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**Figure 1:** Call this algorithm  $A_1$

An important question here, is what should  $k$  be? That is, how many times should we run this algorithm so that we can effectively test if  $f$  is linear? This is important because it is possible that for some specific  $k$  we choose, the function  $f$  could pass the linearity test at all those points but could be far from linear. In that case, we want to be able to express how useful  $A_1$  is. To answer these questions, we make some useful observations and discuss the concept of self-correction.

<sup>†</sup>Recall that  $Z_m = [0, \dots, m - 1]$

### 3.1 Useful Observations

Suppose we choose some  $a, y$  and we want to know  $Pr_{x \in_u G}[y = a + x]$ . That is, we want to know the probability that for some  $a$  and  $y$ ,  $y = a + x$  for any  $x$  chosen uniformly at random from  $G$ . But with some rearranging, we realise that we can rewrite this probability as follows:

$$Pr_{x \in_u G}[y = a + x] = Pr_{x \in_u G}[x = y - a]$$

But since  $x$  chosen uniformly at random from  $G$ , the probability  $x$  equals any value is simply  $\frac{1}{|G|}$ . Therefore, it follows that if  $x$  is picked uniformly at random from  $G$ , then  $y = a + x$  is also uniformly distributed in  $G$ .

This is an important observation and comes up in many places. Consider another example where  $G = Z_2^n$  and addition under this set is bitwise i.e.  $(a_1, \dots, a_n) + (b_1, \dots, b_n) = (a_1 + b_1, a_2 + b_2, \dots, a_{n-1} + b_{n-1}, a_n + b_n)$ . Then if we have  $a = (0, 1, 1, 0)$  and  $x = (b_1, b_2, b_3, b_4)$ , under the assumption that  $x$  was picked uniformly at random from  $G$ , then the result  $(0 + b_1, 1 + b_2, 1 + b_3, 0 + b_4)$  is also uniformly distributed.

### 3.2 Self correction aka “random self-reducibility”

Suppose you are given a function  $f : G \rightarrow G^*$  such that there exists a linear function  $g : G \rightarrow G$  and  $Pr_{x \in G}[f(x) = g(x)] \geq \frac{7}{8}$ . Some important notes on this statement:

- This definition is closely related to the definition of  $\epsilon$ -linear. ( $\epsilon = \frac{1}{8}$  here)
- We do not know what  $f$  looks like; it is handed to us as a blackbox whose functional form is unknown. However, by making calls to  $f$ , we can approximate what  $g$  is.
- There are likely to be multiple such  $g$  but we focusing on show that we can approximate one such  $g$  with only  $O(\log \frac{1}{\beta})$  calls to  $f$  but with probability of error at most  $\beta$ .

The idea that we can approximate a linear function  $g$  from another function  $f$  that may or may not be linear gives rise to the idea of a *self-corrector*. The idea behind a self-corrector is that we would ideally like to compute  $g(x)$  but we do not know what it is and do not have blackbox access to it either. However, there exists a function  $f(x)$  which usually agrees with  $g(x)$  (at least  $\frac{7}{8}$  of the time). We can therefore use calls to  $f(x)$  to estimate  $g(x)$ . The algorithm is as follows:

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For i=1 to c*log(1/β):
    Pick y uniformly at random from G
    Record answer = f(y) + f(x-y) for this round
Output the most common answer from all rounds.

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**Figure 2:**  $A_2(x)$ : Algorithm runs on the same input  $x$  for each of the  $c \log \frac{1}{\beta}$  rounds

We make our first claim for the algorithm:

Claim 1 :  $Pr[A_2(x) = g(x)] \geq 1 - \beta$ .

Proof: Recall that

$$Pr[f(y) \neq g(y)] \leq \frac{1}{8}$$

<sup>†</sup>This notation simply means that  $G$  consists of vectors of length  $n$  whose entries are either 0 or 1.

\*Could also do this definition in terms of  $G \rightarrow H$

$$Pr[f(x-y) \neq g(x-y)] \leq \frac{1}{8}$$

from our definition above. Using union bound on these two probabilities, we can write that :

$$Pr[f(y) = g(y) \& f(x-y) = g(x-y)] \geq 1 - \left(\frac{1}{8} + \frac{1}{8}\right) = \frac{3}{4}$$

Since  $Pr[f(y) + f(x-y) = g(y) + g(x-y)] \geq Pr[f(y) = g(y) \& f(x-y) = g(x-y)] \geq \frac{3}{4}$  †, we can write that:

$$Pr[f(y) + f(x-y) = g(y) + g(x-y)] \geq \frac{3}{4}$$

But notice that  $f(y) + f(x-y)$  is the recorded answer for a single round in  $A_2$  and  $g(x) = g(y) + g(x-y)$ . Therefore, this tell us the probability that the answer we get on any round is indeed  $g(x)$ . To analyze this over all the rounds, we use Chernoff bounds where the  $X_i$ s are indicator variables for round  $i$  and is 1 iff in that round the recorded answer is indeed equal to  $g(x)$  and 0 otherwise

$$Pr[\text{failure}] = Pr[X < \frac{1}{2} * \mu] < e^{-\frac{3k}{32}}, \quad \mu = \frac{3k}{4}$$

Since we want an error of at most  $\beta$ , it follows that:

$$\beta = e^{-\frac{3k}{32}}$$

Rearranging yields:

$$k = \frac{32}{3} \ln\left(\frac{1}{\beta}\right)$$

so we indeed require  $O(\log(\frac{1}{\beta}))$  rounds to achieve an error of at most  $\beta$ .

### 3.3 Linearity Testing is non-trivial

The motivation for discussing self-correction and linearity testing is that if a given function is  $\epsilon$ -linear and we can test for it, we can hopefully correct for it as we did in the previous subsection because we know the  $Pr[\text{failure}]$  However, developing tests for linearity is notoriously difficult. To see this, consider the same linearity testing scheme in Figure 1 and suppose we have a function  $f : Z_m \rightarrow Z_m$ . We have that  $f$  is defined as follows:

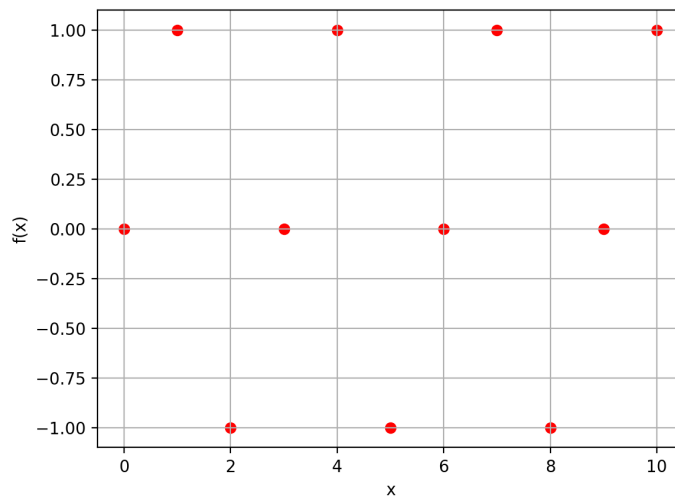
$$f(x) = \begin{cases} 1 & x \equiv 1 \pmod{3} \\ 0 & x \equiv 0 \pmod{3} \\ -1 & x \equiv 2 \pmod{3} \end{cases}$$

A graph of the function is found in Figure 3. From the graph, we see that the best linear function  $g(x) = 0$  that best approximates  $f(x)$  will only align with at most  $\frac{1}{3}$  of the points in  $f(x)$ . Therefore, we say that  $g(x)$  is  $\frac{2}{3}$ -far from linear.

Notice that when  $g(x) = 0$ , our algorithm  $A_1$  only fails‡ anytime  $x \equiv y \equiv 1 \pmod{3}$  and whenever  $x \equiv y \equiv 2 \pmod{3}$  but it passes for all other  $x, y$ . Therefore with our algorithm, the function  $f$  succeeds on a large number of  $x, y$  even though it is clearly far from linear. We call this example the **Coppersmith's Example** and it is an example of the worst-case.

†One way to see this is to consider probability from a counting perspective. The number of ways that  $f(y) + f(x-y) = g(y) + g(x-y)$  includes the case where  $f(y) = g(y) \& f(x-y) = g(x-y)$ . As a result, the probability of the former occurring is larger than just the latter.

‡Here fails means that the test on the third line with  $f$  fails



**Figure 3:** “tough” function  $f(x)$  from Coppersmith’s Example

### 3.4 Rejection probability

As seen in the previous subsection, there are cases where our algorithm does not perform well for certain choices of  $f$  and  $x, y$ . As a result, our algorithm isn’t guaranteed to return the correct answer all the time. To this end, we define  $\delta_f$  to be the rejection probability of linearity testing which is the probability that for any  $x, y$   $f(x) + f(y) \neq f(x + y)$ . In the Coppersmith example,  $\delta_f = \frac{2}{9}$  for a function  $f$  that is  $\frac{2}{3}$ -far from any  $g(x)$ . Since the Coppersmith Example is a worst case scenario,  $\frac{2}{9}$  is in fact a threshold for defining an important theorem:

*Theorem 1:* If  $\delta_f < \frac{2}{9}$ , then  $f$  must be  $\delta_f$ -close to linear <sup>§</sup>

Given this theorem about the rejection probability, we can set  $k$  from  $A_1$  to  $\frac{1}{\delta_f}$ . The reasoning here is that if a function is far from linear, then there is some probability of rejection and so we exploit that probability to find the number of times we need to repeat the algorithm  $A_1$  until we encounter a failing  $x, y$ .

## 4 Linearity Testing for Boolean functions

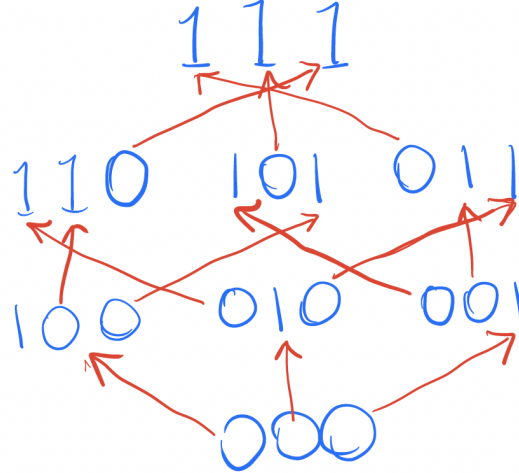
In this section, we restrict ourselves to looking at linearity testing for Boolean functions i.e.  $f : G \rightarrow \{0, 1\}$ . to be able to analyze this case, we need a few important definitions and tools like Fourier analysis over Boolean cube. We define these tools and definitions today and will finish up Fourier analysis over Boolean cube next lecture.

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<sup>§</sup>Or equivalently  $(1 - \delta_f)$ -far from linear.

## 4.1 Boolean cube

The Boolean cube of size  $n$  is best described by example:



**Figure 4:** Boolean cube for  $n = 3$ .

It consists of  $i$  0s and  $n - i$  1s at each level  $i$ <sup>¶</sup>. It is funnel shaped at both ends with 111 at the top and 000 at the bottom. The arrows are drawn between levels to connect bits that differ by a single bit flip from 0 to 1.

## 4.2 Inner product and notation change

We define the *inner product* on two  $n$ -bit binary digits  $x, y$  as:

$$x \cdot y = \sum_{i=1}^n x_i y_i \pmod{2}$$

From here, we define a set of linear functions on the domain  $\{0, 1\}^n$ :

$$L_a(x) = ax$$

for a fixed  $a \in \{0, 1\}^n$ . Since these functions are parameterized by  $a$  and there are  $2^n$  choices for  $a$ , there are also  $2^n$  of these functions. However, using our inner product notation, we can rewrite this as:

$$L_a(x) = L_A(x) = \sum_{i \in A} x_i \pmod{2}$$

where  $A$  are the set of indices  $i$  where  $a_i = 1$

The change of notation we propose is for the function  $f$ . Before we had  $f : \{0, 1\}^n \rightarrow \{0, 1\}$ . Now we change  $f$  such that  $f : \{+1, -1\}^n \rightarrow \{+1, -1\}$ . Essentially, we are letting  $0 \rightarrow +1$  and  $1 \rightarrow -1$ . This means we have that:

$$a \rightarrow (-1)^a \quad (A)$$

<sup>¶</sup>Count levels from the top downward as you would a recursion tree

$$a + b \rightarrow (-1)^{(a+b)} = (-1)^a(-1)^b \quad (B)$$

Essentially by making this change, we are mapping addition to multiplication<sup>‡</sup>. This also changes our linearity condition:

$$f(a) + f(b) = f(a + b) \rightarrow f(a)f(b) = f(a * b)$$

As a result,  $A_1$  changes also. It's condition for passing the test is as follows:

$$f(x)f(y)f(x * y) = \begin{cases} 1 & \text{test accepts} \\ -1 & \text{test rejects} \end{cases}$$

We can redefine this test for a passed round as follows:

$$\frac{1 - f(x)f(y)f(x * y)}{2} = \begin{cases} 0 & \text{test accepts} \\ 1 & \text{otherwise} \end{cases}$$

We also redefine the rejection probability from  $\delta_f = Pr_{x,y}[f(x) + f(y) \neq f(x + y)]$  to  $\delta_f = Pr_{x,y}[f(x)f(y) \neq f(x * y)]$

## 5 Conclusion

In the next lecture, we look at Fourier analysis on the Boolean cube which will help us get stronger bounds than we achieved with Theorem 1.

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<sup>‡</sup>We see this clearly in (B)