

## Lecture 2:

- Estimate MST weight
  - Estimate average degree
- 

Recall from last time:

- Can estimate # connected components of degree  $\leq d$  graph to within  $\pm \varepsilon n$  in time  $O(d/\varepsilon^4)$  with prob  $\geq 3/4$   
*no dependence on  $n$*

- HWO + above  $\Rightarrow \pm \varepsilon n$  estimate with prob  $\geq 1 - \beta$  in time  $O(d/\text{poly}(\varepsilon) \cdot \log \frac{1}{\beta})$

# Approximate Min Spanning Tree (MST)

Input (1)  $G = (V, E)$

adjacency list representation

$$n = |V|$$

max degree  $d$

each edge has weight

$$w_{uv} \in \{1, \dots, w\} \cup \{\infty\}$$

Connected

ie.  $w_{uv} \notin E$

(2)  $\varepsilon$

Output

$$\text{let } M = \min_{\substack{T \text{ spans } G \\ \text{tree touches} \\ \text{every node}}} \underbrace{\sum_{(i,j) \in T} w_{ij}}_{w(T)}$$

$$\text{output } \hat{M} \text{ st. } (1-\varepsilon) \cdot M \leq \hat{M} \leq (1+\varepsilon) \cdot M$$

assumption on wts  $\Rightarrow n-1 \leq w(T) \leq w(n-1)$

A different characterization of MST:

$$E^{(i)} = \{(u,v) \mid w_{uv} \in \{1..i\}\}$$

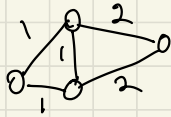
$$G^{(i)} = (V, E^{(i)})$$

$$C^{(i)} = \# \text{ conn comp of } G^{(i)}$$

Some examples:

1)  $w=1$  all edges have wt 1  
 $M = n-1$

2)  $w=2$   $w_{ij} \in \{1,2\}$  (or  $\infty$ )



$G$

$$C = 1$$



$G^{(1)}$

$$C^{(1)} = 2$$

Kruskal's Alg: 

- sort edges, consider them from smallest to largest
- add next edge if doesn't create cycle

$$E^{(i)} = \{ (u,v) \mid w_{u,v} \in \{1 \dots i\} \}$$

$$G^{(i)} = (V, E^{(i)})$$

$$C^{(i)} = \# \text{ conn comp of } G^{(i)}$$

Kruskal's idea:

Use as many wt 1 edges as you can  
then only need wt 2 edges to connect  
components

$\Rightarrow$  need  $C^{(1)} - 1$  wt 2 edges

Total MST wt:

$$M = 1 \cdot (\# \text{ wt 1 edges}) + 2 \cdot (\# \text{ wt 2 edges})$$

$$= (n-1) + \# \text{ wt 2 edges}$$

$$= (n-1) + C^{(1)} - 1 = n-2 + C^{(1)}$$

Claim  $M = n - w + \sum_{i=1}^{w-1} C^{(i)}$

Pf  $\alpha_i \equiv \#$  edges of wt  $i$  in any MST of  $G$

Kruskal's alg  $\Rightarrow$  all MST's have same values of  $\alpha_i$

$$\sum_{i \geq 2} \alpha_i = C^{(2)} - 1$$

where  $C^{(0)} = n$

# conn comp of  $G^{(i)} - 1$

since no edges in  $G^{(0)}$

$$E^{(i)} = \{ (u,v) \mid w_{u,v} \in \{1 \dots i\} \}$$

$$G^{(i)} = (V, E^{(i)})$$

$$C^{(i)} = \# \text{ conn comp of } G^{(i)}$$

Claim  $M = n - w + \sum_{i=1}^{w-1} C^{(i)}$

$$M = \sum_{i=1}^w i \cdot \alpha_i$$

$$= \sum_{i=1}^w \alpha_i + \sum_{i=2}^w \alpha_i + \sum_{i=3}^w \alpha_i + \dots + \sum_{i=w}^w \alpha_i$$

$$= (n-1) + (C^{(1)} - 1) + (C^{(2)} - 1) + \dots + (C^{(w-1)} - 1)$$

$$= n - w + \sum_{i=1}^{w-1} C^{(i)}$$



# Approximation algorithm:

$$E^{(i)} = \{ (u,v) \mid w_{u,v} \in \{1..i\} \}$$

$$G^{(i)} = (V, E^{(i)})$$

$$C^{(i)} = \# \text{ conn comp of } G^{(i)}$$

For  $i=1$  to  $w-1$

Claim  $M = n - w + \sum_{i=1}^{w-1} C^{(i)}$

$\hat{C}^{(i)}$  ← approx # conn comp of  $G^{(i)}$  to within

$$\frac{\epsilon}{2w} \cdot n$$

additive error & confidence parameter  $\beta$

Output  $\hat{M} = n - w + \sum_{i=1}^{w-1} \hat{C}^{(i)}$

let  $\epsilon' = \frac{\epsilon}{2w}$

Can use  $G$  & ignore edges of wt  $\geq i$

Runtime:

$\tilde{O}\left(\frac{d}{\epsilon'^4}\right) = \tilde{O}\left(\frac{d w^4}{\epsilon^4}\right) \cdot \log \frac{1}{\beta}$  for each call to approx conn comp

Total  $\tilde{O}\left(\frac{d w^5}{\epsilon^4} \cdot \log \frac{1}{\beta}\right) \quad \beta \sim \Theta\left(\frac{1}{w}\right)$

Can improve to  $O\left(\frac{dw}{\epsilon^2} \log \frac{dw}{\epsilon}\right)$  need  $\Omega(dw/\epsilon^2)$  } no dependence on  $n$

## Approximation algorithm:

$$E^{(i)} = \{(u,v) \mid w_{u,v} \in \{1..i\}\}$$

$$G^{(i)} = (V, E^{(i)})$$

$$C^{(i)} = \# \text{ conn comp of } G^{(i)}$$

For  $i=1$  to  $w-1$

Claim  $M = n - w + \sum_{i=1}^{w-1} C^{(i)}$

$\hat{C}^{(i)} \leftarrow$  approx  $\#$  conn comp of  $G^{(i)}$  to within

$\frac{\varepsilon}{2w} \cdot n$  additive error

Output  $\hat{M} = n - w + \sum_{i=1}^{w-1} \hat{C}^{(i)}$  let  $\varepsilon' = \frac{\varepsilon}{2w}$

## Approximation guarantee:

• Call approx  $\#$  conn comp with failure prob  $\beta \leq \frac{1}{4w}$   
runtime additional  $\log \frac{1}{\beta}$  (HW O) mult factor  $(\times \log w)$

•  $\Pr$  [all calls to approx  $\#$  C.C. give output that is  $\varepsilon'$  additive approx]  $\geq 1 - \frac{w}{4w} \geq 3/4$

• If  $\Rightarrow$  happens:  $|M - \hat{M}| \leq w \cdot \frac{\varepsilon n}{2w} = \frac{\varepsilon n}{2}$  additive error  
since  $M \geq n-1 \geq \frac{n}{2}$   $|M - \hat{M}| \leq \varepsilon M$  mult error

Which edges are on MST?

What if  $w$  is big?

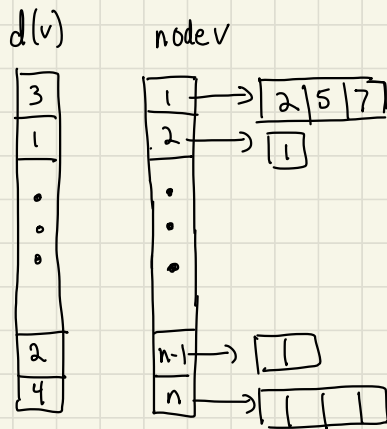


# Estimating the average degree of a graph

def Average degree  $\bar{d} = \frac{\sum_{u \in V} d(u)}{n}$

Assume:  $G$  simple (no parallel edges, self-loops)  
 $\Omega(n)$  edges (not "ultra-sparse")

Representation via adj list + degrees:



- degree queries: on  $v$  return  $d(v)$
- neighbor queries: on  $(v, j)$  return  $j$ th nbr of  $v$

Naïve sampling:

Pick  $O(??)$  sample nodes  $v_1 \dots v_s$

output ave degree of sample:

$$\frac{1}{s} \sum_i d(v_i)$$

Straight forward Chernoff/Hoeffding needs  $\Omega(n)$  samples

lower bound?

$$d(v) = (0 \ 0 \ 0 \ 0 \ n-1 \ 0 \ 0 \ 0 \ 0)$$

need  $\Omega(n)$  samples to  
find "needle in haystack"

$$(n-1, 1, 1, 1, \dots, 1)$$

not possible  
is possible

Some lower bounds:

"ultrasparse" case:

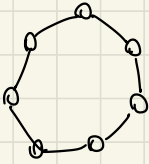
graph with 0 edges  
ave deg = 0

vs.

graph with 1 edge  
ave deg =  $\frac{2}{n}$

need  $\Omega(n)$  to distinguish

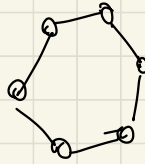
ave deg  $\geq 2$ :



n-cycle

ave deg = 2

vs.



$n - c \cdot n^{1/2}$  cycle

ave deg =  $2 + c^2$



$c \cdot n^{1/2}$  clique

need  $\Omega(\sqrt{n})$  queries to distinguish

Algorithm idea:

group nodes of similar degrees  
estimate average w/in each group

why does this help?

recall Chernoff:

$X_1, \dots, X_r$  iid  $X_i \in [0, 1]$

$$S = \sum_{i=1}^r X_i \quad p = E[X_i] = E[S]/r \quad -\Omega(rp\delta^2)$$

$$\text{Then } \Pr\left[\left|\frac{S}{r} - p\right| \geq \delta p\right] \leq e^{-\Omega(rp\delta^2)}$$

need to pick  $r$  to be  $\Omega\left(\frac{1}{p\delta^2}\right)$

assume  $\delta$  is  $\Theta(1)$

but  $X_i$  needs to be in  $[0, 1]$

what if let  $X_i = \frac{\deg(i)}{n}$

then  $p$  can be as small as  $\frac{1}{n}$

$$\Rightarrow r = \Omega(n)$$

but if  $b \leq \deg(v) \leq (1+\epsilon)b$

can set  $x_i \leftarrow \frac{\deg(v)}{(1+\epsilon)b}$

$$\frac{1}{1+\epsilon} \leq x_i \leq 1$$

so  $p \approx \frac{1}{1+\epsilon} \Rightarrow r$  can be  $O(\frac{1}{\sqrt{\epsilon}})$   
for group

Bucketing:

set parameters  $\beta = \frac{\epsilon}{c}$   
 $t = O\left(\frac{\log n}{\frac{\epsilon}{c}}\right)$  # buckets

$$B_i = \{v \mid (1+\beta)^{i-1} \leq d(v) \leq (1+\beta)^i\}$$

for  $i \in \{0, \dots, t-1\}$

total degree of nodes in  $B_i$ :

$$(1+\beta)^{i-1} |B_i| \leq d_{B_i} \leq (1+\beta)^i |B_i|$$

total degree of graph:  $\sum_i (1+\beta)^{i-1} |B_i| \leq d_{\text{total}} \leq \sum_i (1+\beta)^i |B_i|$

$$\sum_i (1+\beta)^{i-1} |B_i| \leq d_{\text{total}} \leq \sum_i (1+\beta)^i |B_i|$$

Recall:

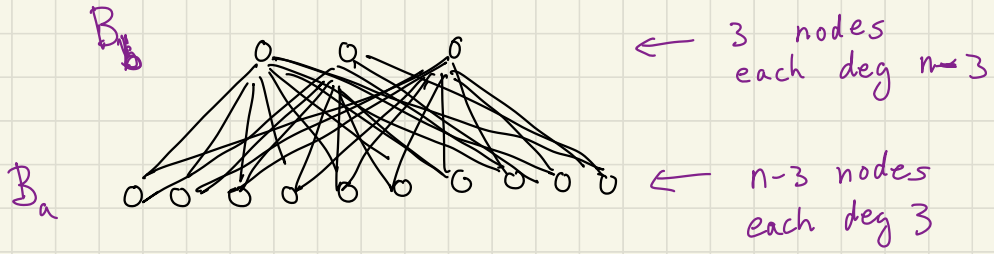
$$B_i = \{v \mid (1+\beta)^{i-1} < d(v) \leq (1+\beta)^i\}$$

First idea for algorithm:

- Take sample  $S$  of nodes
- $S_i \leftarrow S \cap B_i$
- estimate  $|B_i|$ :

$$p_i \leftarrow \frac{|S_i|}{|S|}$$

- Output  $\sum_i p_i (1+\beta)^{i-1}$



$$a \leftarrow i \text{ s.t. } (1+\beta)^{i-1} \leq 3 \leq (1+\beta)^i$$

$$b \leftarrow i \text{ s.t. } (1+\beta)^{i-1} \leq n-3 \leq (1+\beta)^i$$