

## Lecture 4

- p.i. random bits to reduce error
- Random bits for Interactive proofs
  - IP
  - Graph  $\neq$

## Using Pairwise Independence to reduce error

Setting:

Given RP algorithm  $A$

- if  $x \in L$   $\Pr_R[A \text{ on input } x, \text{ random bits } R, \text{ outputs ACCEPT}] > \frac{1}{2}$
- if  $x \notin L$  " " = 0

How can we reduce error?

- 1) Repeat  $A$   $k$  times  
 use new random bits each time  
 if ever see "ACCEPT" then output "ACCEPT"  
 else output "REJECT"
 

} uses  $O(k \cdot |R|)$  random bits

behavior:

$$\text{if } x \in L \quad \Pr[\text{"ACCEPT"}] \geq 1 - (1 - \frac{1}{2})^k \geq 1 - \frac{1}{2^k}$$

$$\text{if } x \notin L \quad \Pr[\text{"ACCEPT"}] = 0$$

$$\therefore \text{error probability} \leq 2^{-k}$$

## 2) "2-point sampling"

idea: use p.i. samples instead

assumption: given  $\mathcal{H}$ , family of p.i. fctns  $h \in \mathcal{H}$  with  $O(k+|R|)$  random bits + poly  $(k, |R|)$  time

each one  $\checkmark$  mapping  $[2^{k+2}] \rightarrow \{0,1\}$

Sampling algorithm

- pick  $h \in_R \mathcal{H}$
- for  $i = 1 \dots 2^{k+2}$

$$r_i \leftarrow h(i)$$

if  $A(x, r_i) = \text{"ACCEPT"}$  output "ACCEPT" + halt

- output "REJECT"

if  $h = ax + b \pmod{p}$   
 e.g.  $r_1 = a + b \pmod{p}$   
 $r_2 = 2a + b \pmod{p}$   
 $\vdots$

random bits used:  $O(k+|R|)$

behavior:

if  $x \notin L$ ,  $P_r[\text{ACCEPT}] = 0$

if  $x \in L$ :

will misclassify if never see  $r_i$  st.  $A(x, r_i) = \text{"ACCEPT"}$

let  $\delta(r_i) = \begin{cases} 0 & \text{if } A(x, r_i) = \text{"REJECT"} \\ 1 & \text{o.w.} \end{cases}$

← A correct!

let  $Y = \sum_{i=1}^{2^{k+2}} \delta(r_i)$

$$E\left[\frac{Y}{q}\right] > \frac{2^{k+2}}{2^{k+2}} \cdot \frac{1}{2} = \frac{1}{2}$$

← if  $x \in L$  expect to see  $> \frac{1}{2}$  "0"  
 what is probability you don't see any

Two useful lemmas:

Chebyshev's  $\neq$ :  $X$  r.v.  
 $E[X] = \mu$   
 $\Pr[|X - \mu| \geq \varepsilon] \leq \frac{\text{Var}[X]}{\varepsilon^2}$

Pairwise Independence Tail  $\neq$ :

$X_1, \dots, X_t$  p.i. r.v.'s in  $[0, 1]$

$$X = \frac{\sum X_i}{t}$$

$$\mu = E[X]$$

$$\text{then } \Pr[|X - \mu| \geq \varepsilon] \leq \frac{1}{t} \varepsilon^2$$

What is  $\Pr[\frac{Y}{q} = 0]$ ? i.e.  $\Pr[\text{"REJECT"}]$

$$\begin{aligned} \Pr[\text{"REJECT"}] &= \Pr[\frac{Y}{q} = 0] \\ &\leq \Pr[|Y - E[\frac{Y}{q}]| \geq \underbrace{E[\frac{Y}{q}]}_{\varepsilon}] \\ &\leq \frac{1}{q \cdot (\frac{1}{2})^2} \\ &= 2^{-(k+2)} \cdot 4 = 2^{-k} \end{aligned}$$

so,  $O(k + (R))$  random bits give  $\leq 2^{-k}$  prob error

Note: runtime is

$$O(2^k \cdot T_A(n))$$

↑  
bad, but doesn't depend on  $n$

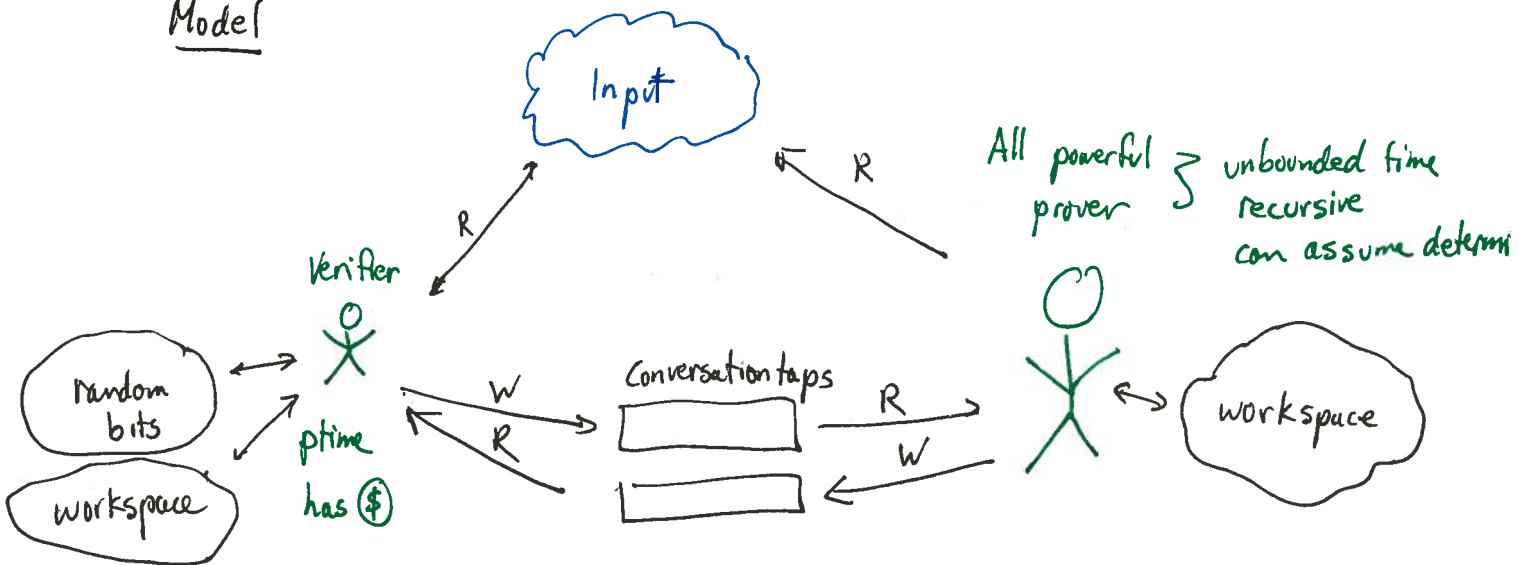
# Interactive Proofs

NP = all decision problems for which "Yes" answers can be verified in ptime by a deterministic TM ("verifier")

IP: generalization of NP:

- short proofs  $\Rightarrow$  short interactive proofs  
 "Conversations that convince"

## Model



def "Interactive Proof Systems" (IPs) [Goldwasser Micali Rackoff]

for language  $L$  is protocol st.

• if  $V, P$  follow protocol +  $x \in L$  then  $\Pr_{V's \text{ coins}} [V \text{ accepts } x] \geq \frac{2}{3}$

• if  $V$  follows protocol +  $x \notin L$  then (no matter what  $P$  does)

$\Pr_{V's \text{ coins}} [V \text{ rejects } x] \geq \frac{2}{3}$

def  $IP = \{L \mid L \text{ has IPs}\}$

Note: Clearly  $NP \subseteq IP$

turns out that  $IP = PSPACE!$

### Graph Isomorphism (GI)

Input  $G, H$

Output is  $G \cong H?$  (i.e.  $\exists \pi$  st.  $(u, v) \in E_G$  if  $(\pi(u), \pi(v)) \in E_H$ )

NOTE:  $GI \in NP \Rightarrow GI \in IP$   
 $GI$  not known to be in  $P$  (though is now known to be in quasi- $P$  [Babai])

### Graph Non-Isomorphism (GNI)

Input  $G, H$

Output is  $G \not\cong H?$

Note:  $GNI$  not known to be in  $P$  or  $NP$   
 but is in  $IP!$  [Goldreich Micali Wigderson]

IP Protocol for graph  $\neq$ :

Input  $G, H$

Protocol Do  $O(1)$  times:

- V computes  $G'$ : random permutation of  $G$   
 $H'$ : " " "  $H$

- V flips coin

H: sends  $(G, G')$  to P

T: sends  $(G, H')$  to P

- $P \rightarrow V: \approx / \neq$

<u>V flip</u>	<u>P response</u>	<u>V output</u>
H	$\approx$	continue
H	$\neq$	fail + halt
T	$\approx$	fail + halt
T	$\neq$	continue

} not same as  $\neq L$  unless V follows protocol

Output "ACCEPT"

# Proof of correctness

- if  $G \neq H$ ,  $P$  can figure out coin toss & always answer correctly } here we use that  $P$  has unbounded time

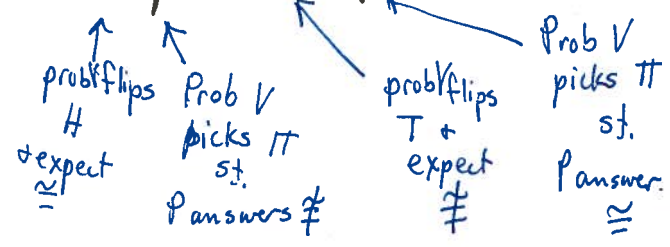
- if  $G \cong H$ ,

- distribution of  $V$ 's msgs are identical under  $H/T$

- since  $P$  deterministic wlog

let  $q \equiv$  fraction of random permutations  $\pi$  s.t.  $\text{Prver}(G, \pi(G)) = \neq$

$$\Pr[\text{fail in round } i] = \frac{1}{2} \cdot q + \frac{1}{2} (1-q) = \frac{1}{2}$$



Note  $V$ 's random perm + coin flips must be hidden, or  $P$  could cheat!