

# Deflating The Pentagon

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**Abstract.** In this paper we consider deflations (inverse pocket flips) of  $n$ -gons for small  $n$ . We show that every pentagon can be deflated after finitely many deflations, and that any infinite deflation sequence of a pentagon results from deflating an induced quadrilateral on four of the vertices. We describe a family of hexagons that deflate infinitely for a specific deflation sequence, yet induce no infinitely deflating quadrilateral. We also review the known understanding of quadrilateral deflation.

## 1 Introduction

A *deflation* of a simple planar polygon is the operation of reflecting a subchain of the polygon through the line connecting its endpoints such that (1) the line intersects the polygon only at those two polygon vertices, (2) the resulting polygon is simple (does not self-intersect), and (3) the reflected subchain lies inside the hull of the resulting polygon. A polygon is *deflated* if it does not admit any deflations, i.e., every pair of polygon vertices either defines a line intersecting the polygon elsewhere or results in a nonsimple polygon after reflection.

Deflation is the inverse operation of pocket flipping. Given a nonconvex simple planar polygon, a *pocket* is a maximal connected region exterior to the polygon and interior to its convex hull. Such a pocket is bounded by one edge of the convex hull of the polygon, called the *pocket lid*, and a subchain of the polygon, called the *pocket subchain*. A *pocket flip* (or simply *flip*) is the operation of reflecting the pocket subchain through the line extending the pocket lid. The result is a new, simple polygon of larger area with the same edge lengths as the original polygon. A convex polygon has no pocket and hence admits no flip.

In 1935, Erdős conjectured that every nonconvex polygon convexifies after a finite number of flips [5]. Four years later, Nagy [2] claimed a proof of Erdős's conjecture. Recently, Demaine et al. [3, 4] uncovered a flaw in Nagy's argument, as well as other claimed proofs, but fortunately correct proofs remain.

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In the same spirit of finite flips, Wegner conjectured in 1993 that any polygon becomes deflated after a finite number of deflations [8]. Eight years later, Fevens et al. [6] disproved Wegner’s conjecture by demonstrating a family of quadrilaterals that admit an infinite number of deflations. They left an open problem of characterizing which polygons deflate infinitely. Ballinger [1] closed the problem for quadrilaterals by proving that all infinitely deflating simple quadrilaterals are in the family defined by Fevens et al. [6].

This paper attempts to advance the understanding of deflating  $n$ -gons beyond  $n = 4$ . We prove that every pentagon admitting an infinite number of deflations induces an infinitely deflating quadrilateral on four of its vertices. Then we show our main result: unlike quadrilaterals, every pentagon can be deflated after finitely many (well-chosen) deflations. Finally, we construct a family of infinitely deflatable hexagons that induce no infinitely deflating quadrilateral; however, they deflate infinitely only according to a specific deflation sequence.

## 2 Definitions and Notation

Let  $P = \langle v_0, v_1, \dots, v_{n-1} \rangle$  be a polygon together with a clockwise ordering of its vertices. Let  $P^k = \langle v_0^k, v_1^k, \dots, v_{n-1}^k \rangle$  denote the polygon after  $k$  arbitrary deflations, and  $P^*$  denote the limit of  $P^k$ , when it exists, having vertices  $v_i^*$ . Thus, the initial polygon  $P = P^0$ . The *turn angle* of a vertex  $v_i$  is the signed angle  $\theta \in (-180^\circ, 180^\circ]$  between the two vectors  $v_i - v_{i-1}$  and  $v_i - v_{i+1}$ . A vertex of a polygon is *straight* if the angle between its incident edges is  $180^\circ$ , i.e., forming a turn angle of  $0^\circ$ . A *flat polygon* is a polygon with all its vertices collinear. A *hairpin* vertex  $v_i$  is a vertex whose incident edges overlap each other, i.e., forming a turn angle of  $180^\circ$ .<sup>6</sup> A polygon vertex is *sharpened* when its absolute turn angle decreases.

## 3 Deflation in General

In this section, we prove general properties about deflation of arbitrary simple polygons. Our first few lemmata are fairly straightforward, while the last lemma is quite intricate and central to our later arguments.

**Lemma 1.** *Deflation only sharpens angles.*

This result follows from an analogous result for pocket flips, which only flatten angles (see, e.g., [7]). For completeness, we provide a proof.

*Proof.* Consider the chain  $v_i, v_{i+1}, \dots, v_j$  that is to be deflated across line  $\ell$  passing through  $v_i$  and  $v_j$ . The two vertices  $v_{i+1}$  and  $v_{i-1}$  are on different sides of  $\ell$ . After deflating the chain  $v_i, v_{i+1}, \dots, v_j$ ,  $v_{i+1}$  is reflected across  $\ell$  and its reflection is  $v'_{i+1}$ . Consider the two triangles  $v_{i-1}v_i v_{i+1}$  and  $v_{i-1}v_i v'_{i+1}$ . The

<sup>6</sup> This terminology was introduced in [4] where it plays a role in pocket flips.

sides  $v_i v_{i+1}$  and  $v_i v'_{i+1}$  have the same length (deflation preserves edge lengths). Because  $v_{i+1}$  and  $v'_{i+1}$  have the same distance from  $\ell$ , and  $v'_{i+1}$  is on the same side of  $\ell$  as  $v_{i-1}$ , then the length of  $v_{i-1} v'_{i+1}$  is less than the length of  $v_{i-1} v_{i+1}$ . This implies that the angle opposite edge  $v_{i-1} v'_{i+1}$  is smaller than the angle opposite edge  $v_{i-1} v_{i+1}$  (by Euclid's Propositions I.24 and I.25). Thus, the angle at vertex  $v_i$  sharpens.  $\square$

**Corollary 1.** *Any  $n$ -gon with no straight vertices will continue to have no straight vertices after deflation, even in an accumulation point  $P^*$ .*

**Lemma 2.** *In any infinite deflation sequence  $P^0, P^1, P^2, \dots$ , the absolute turn angle  $|\tau_i|$  at any vertex  $v_i$  has a (unique) limit  $|\tau_i^*|$ .*

*Proof.* By Lemma 1,  $|\tau_i|$  never increases. Also,  $|\tau_i|$  is bounded in the range  $[0, 360^\circ)$ . Hence,  $|\tau_i|$  has a limit  $|\tau_i^*|$ .  $\square$

**Corollary 2.** *In any infinite deflation sequence  $P^0, P^1, P^2, \dots$ ,  $v_i^*$  is a hairpin vertex in some accumulation point  $P^*$  if and only if  $v_i^*$  is a hairpin vertex in all accumulation points  $P^*$ .*

**Lemma 3.** *Any  $n$ -gon with  $n$  odd and having no straight vertices cannot flatten in an accumulation point of an infinite deflation sequence.*

*Proof.* Suppose for contradiction that there is a flat accumulation point. By Lemma 1, this limit has no straight vertices, so all vertices must be hairpins. Hence, the edges of the polygon alternate left and right. Because the edges form a closed cycle, when the first edge goes left, the last edge has to come back right in order to close the cycle. Hence, the number of edges of a flat polygon must be even. Therefore, any polygon with an odd number of vertices cannot flatten.  $\square$

**Lemma 4.** *For any infinite deflation sequence  $P^0, P^1, P^2, \dots$ , there is a subchain  $v_i, v_{i+1}, \dots, v_j$  (where  $j - i \geq 2$ ) that is the pocket chain of infinitely many deflations.*

*Proof.* Label each time  $t$  with  $(i, j)$  if the  $t$ -th deflation has pocket chain  $v_i, v_{i+1}, \dots, v_j$  (with  $j - i \geq 2$ ). There are only finitely many labels, but infinitely many deflations, so some label must appear infinitely often. This label  $(i, j)$  corresponds to the desired subchain  $v_i, v_{i+1}, \dots, v_j$ .  $\square$

We conclude this section with a challenging lemma showing that infinitely deflating pockets flatten:

**Lemma 5.** *Assume  $P = P^0$  has no straight vertices. If  $P^*$  is an accumulation point of the infinite deflation sequence  $P^0, P^1, P^2, \dots$ , and subchain  $v_i, v_{i+1}, \dots, v_j$  (where  $j - i \geq 2$ ) is the pocket chain of infinitely many deflations, then  $v_i^*, v_{i+1}^*, \dots, v_j^*$  are collinear and  $v_{i+1}^*, \dots, v_{j-1}^*$  are hairpin vertices. Furthermore, if  $v_{i+1}^*, \dots, v_{j-1}^*$  extends beyond  $v_j^*$ , then  $v_j^*$  is a hairpin vertex; and if  $v_{i+1}^*, \dots, v_{j-1}^*$  extends beyond  $v_i^*$ , then  $v_i^*$  is a hairpin vertex. In particular, if  $j - i = 2$ , then either  $v_i^*$  or  $v_j^*$  is a hairpin vertex.*

*Proof.* Because  $P^0 \supseteq P^1 \supseteq P^2 \supseteq \dots$ , we have  $\text{hull}(P^0) \supseteq \text{hull}(P^1) \supseteq \text{hull}(P^2) \supseteq \dots$ , and in particular  $\text{area}(\text{hull}(P^0)) \geq \text{area}(\text{hull}(P^1)) \geq \text{area}(\text{hull}(P^2)) \geq \dots \geq 0$ . Thus,  $\sum_{t=1}^{\infty} [\text{area}(\text{hull}(P^t)) - \text{area}(\text{hull}(P^{t-1}))] \leq \text{area}(\text{hull}(P^0))$ , so  $\text{area}(\text{hull}(P^t)) - \text{area}(\text{hull}(P^{t-1})) \rightarrow 0$  as  $t \rightarrow \infty$ . Hence, for any  $\epsilon > 0$ , there is a time  $T_\epsilon$  such that, for all  $t \geq T_\epsilon$ ,  $\text{area}(\text{hull}(P^t)) - \text{area}(\text{hull}(P^{t-1})) \leq \epsilon$ . As a consequence, for all  $t \geq T_\epsilon$ ,  $\text{hull}(P^{t-1}) \subseteq \text{hull}(P^t) \oplus D_{\epsilon/\ell}$  where  $\oplus$  denotes Minkowski sum,  $D_x$  denotes a disk of radius  $x$ , and  $\ell$  is the length of the longest edge in  $P$ , which is a lower bound on the perimeter of  $\text{hull}(P^t)$ .

Let  $t_1, t_2, \dots$  denote the infinite subsequence of deflations that use  $v_i, v_{i+1}, \dots, v_j$  as the pocket subchain, where  $P^{t_r}$  is the polygon immediately after the  $r$ th deflation of the pocket chain  $v_i, v_{i+1}, \dots, v_j$ . Consider any vertex  $v_k$  with  $i < k < j$ . If  $t_r \geq T_\epsilon$ , then  $v_k^{t_r-1} \in \text{hull}(P^{t_r}) \oplus D_{\epsilon/\ell}$ . Also,  $v_k^{t_r-1}$  is in the halfplane  $H_r$  exterior to the line of support of  $P^{t_r}$  through  $v_i^{t_r}$  and  $v_j^{t_r}$ . Now, the region  $(\text{hull}(P^{t_r}) \oplus D_{\epsilon/\ell}) \cap H_r$  converges to a subset of the line  $\ell_{i,j}^{t_r}$  through  $v_i^{t_r}$  and  $v_j^{t_r}$  as  $\epsilon \rightarrow 0$  while keeping  $t_r \geq T_\epsilon$ . Thus, for any accumulation point  $P^*$ ,  $v_k^*$  is collinear with  $v_i^*$  and  $v_j^*$ , for all  $i < k < j$ . In other words,  $v_{i+1}^*, \dots, v_{j-1}^*$  lie on the line  $\ell_{i,j}^*$  through  $v_i^*$  and  $v_j^*$ . By Corollary 1,  $v_{i+1}^*, \dots, v_{j-1}^*$  are not straight, so they must be hairpins.

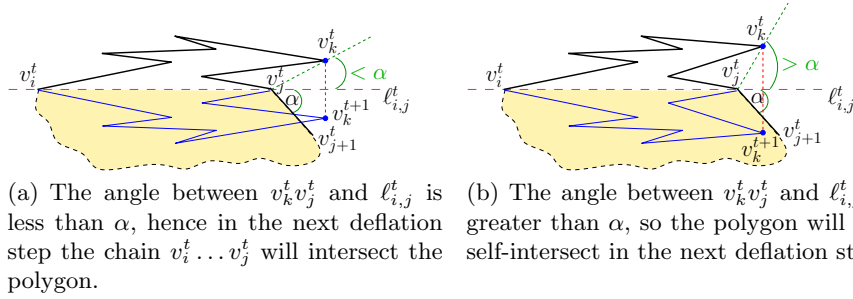
By Lemma 2, the absolute turn angle  $|\tau_j|$  of vertex  $v_j$  has a limit  $|\tau_j^*|$ . If  $|\tau_j^*| > 0$  (i.e.,  $v_j^*$  is not a hairpin in all limit points  $P^*$ ), then by Lemma 1,  $|\tau_j^t| \geq |\tau_j^*| > 0$ . For sufficiently large  $t$ ,  $v_{j-1}^t$  approaches the line  $\ell_{i,j}^t$ . To form the absolute turn angle  $|\tau_j^t| \geq |\tau_j^*| > 0$  at  $v_j$ ,  $v_{j+1}^t$  must eventually be bounded away from the line  $\ell_{i,j}^t$ : after some time  $T$ , the minimum of the two angles between  $v_j^t v_{j+1}^t$  and  $\ell_{i,j}^t$  must be bounded below by some  $\alpha > 0$ . Now suppose that some  $v_k^{t_r-1}$  were to extend beyond  $v_j^{t_r-1}$  in the projection onto the line  $\ell_{i,j}^{t_r-1}$  for some  $t_r - 1 > T$ . As illustrated in Figure 1, for the deflation of the chain  $v_i^{t_r-1}, v_{i+1}^{t_r-1}, \dots, v_j^{t_r-1}$  to not cause the next polygon  $P^{t_r}$  to self-intersect, the minimum of the two angles between  $v_j^{t_r-1} v_k^{t_r-1}$  and  $\ell_{i,j}^{t_r-1}$  must also be at least  $\alpha$ .

But this is impossible for sufficiently large  $t$ , because  $v_k^t$  accumulates on the line  $\ell_{i,j}^t$ . Hence, in fact,  $v_k^t$  must not extend beyond  $v_j^t$  in the  $\ell_{i,j}^t$  projection for sufficiently large  $t$ . In other words, when  $v_j^*$  is not a hairpin, each  $v_k^*$  must not extend beyond  $v_j^*$  on the line  $\ell_{i,j}^*$ . A symmetric argument handles the case when  $v_i^*$  is not a hairpin.

Finally, suppose that  $j - i = 2$ . In this case, because  $v_{i+1}^* = v_{j-1}^*$  is a hairpin, it must extend beyond one of its neighbors,  $v_i^*$  or  $v_j^*$ . By the argument above, in the first case,  $v_i^*$  must be a hairpin, and in the second case,  $v_j^*$  must be a hairpin. Thus, as desired, either  $v_i^*$  or  $v_j^*$  must be a hairpin.  $\square$

## 4 Deflating Quadrilaterals

We briefly review facts about quadrilateral deflation proved by Fevens et al. [6] and Ballinger [1]. For completeness, we also show how to prove these results using, in particular, our new Lemma 5.



**Fig. 1.** Because  $v_j^t$  is not a hairpin, the minimum angle  $\alpha$  between  $v_j^t v_{j+1}^t$  and  $\ell_{i,j}^t$  is strictly positive. If any vertex  $v_k^t$  of the chain  $v_i^t, v_{i+1}^t, \dots, v_j^t$  extends beyond  $v_j^t$ , then the minimum angle between  $v_k^t v_j^t$  and  $\ell_{i,j}^t$  must be at least  $\alpha$  for the next deflation step  $P^{t+1}$  to not self-intersect. The dotted curve represents the rest of the polygon chain and the shaded area is the polygon interior below line  $\ell_{i,j}^t$ .

**Lemma 6.** [1] *Any accumulation point of an infinite deflation sequence of a quadrilateral is flat and has no straight vertices.*

*Proof.* First we argue that all quadrilaterals  $P^1, P^2, \dots$  (excluding the initial quadrilateral  $P^0$ ) have no straight vertices. Because deflations are the inverse of pocket flips, and pocket flips do not exist for convex polygons, deflation always results in a nonconvex polygon. Thus all quadrilaterals  $P^t$  with  $t > 0$  must be nonconvex. Hence no  $P^t$  with  $t > 0$  can have a straight vertex, because then it would lie along an edge of the triangle of the other three vertices, making the quadrilateral convex. By Corollary 1, there are also no straight vertices in any accumulation point  $P^*$ .

By Lemma 4, there is a subchain  $v_i, v_{i+1}, \dots, v_j$ , where  $j - i \geq 2$ , that is the pocket chain of infinitely many deflations. In fact,  $j - i$  must equal 2, because reflecting a longer (4-vertex) pocket chain would not change the polygon. Applying Lemma 5 to  $P^1, P^2, \dots$  (where there are no straight vertices), for any accumulation point  $P^*$ ,  $v_{i+1}^*$  is a hairpin and either  $v_i^*$  or  $v_j^* = v_{i+2}^*$  is a hairpin. Hairpin  $v_{i+1}^*$  implies that  $v_i^*, v_{i+1}^*, v_{i+2}^*$  are collinear, while hairpin  $v_i^*$  or  $v_{i+2}^*$  implies that the remaining vertex  $v_{i+3}^* = v_{i-1}^*$  lie on that same line. Therefore, any accumulation point  $P^*$  is flat.  $\square$

**Theorem 1.** [6, 1] *A simple quadrilateral with side lengths  $\ell_1, \ell_2, \ell_3, \ell_4$  is infinitely deflatable if and only if*

1. *opposite edges sum equally, i.e.,  $\ell_1 + \ell_3 = \ell_2 + \ell_4$ ; and*
2. *adjacent edges differ, i.e.,  $\ell_1 \neq \ell_2, \ell_2 \neq \ell_3, \ell_3 \neq \ell_4, \ell_4 \neq \ell_1$ .*

*Furthermore, every such infinitely deflatable quadrilateral deflates infinitely independent of the choice of deflation sequence.*

*Proof.* Fevens et al. [6] proved that every quadrilateral satisfying the two conditions on its edge lengths is infinitely deflatable, no matter which deflation sequence we make. Thus the two conditions are sufficient for infinite deflation.

To see that the first condition is necessary, we use Lemma 6. Because deflation preserves edge lengths, so do accumulation points of an infinite deflation sequence, so the flat limit configuration from Lemma 6 is a flat configuration of the edge lengths  $\ell_1, \ell_2, \ell_3, \ell_4$ . By a suitable rotation, we may arrange that the flat configuration lies along the  $x$  axis. By Lemma 6, no vertex is straight, so every vertex must be a hairpin. Thus, during a traversal of the polygon boundary, the edges alternate between going left  $\ell_i$  and going right  $\ell_i$ . At the end of the traversal, we must end up where we started. Therefore,  $\pm(\ell_1 - \ell_2 + \ell_3 - \ell_4) = 0$ , i.e.,  $\ell_1 + \ell_3 = \ell_2 + \ell_4$ .

To see that the second condition is necessary, suppose for contradiction that  $\ell_1 = \ell_2$  (the other contrary cases are symmetric). By the first condition,  $\ell_1 + \ell_3 = \ell_2 + \ell_4$ , so  $\ell_3 = \ell_4$ . Thus, the polygon is a kite, having two pairs of adjacent equal sides. (Also, all four sides might be equal.) Every kite has a chord that is a line of reflectional symmetry. No kite can deflate along this line, because such a deflation would cause edges to overlap with their reflections. If a kite is convex, it may deflate along its other chord, but then it becomes nonconvex, so it can be deflated only along its line of reflectional symmetry. Therefore, a kite can be deflated at most once, so any infinitely deflatable quadrilateral must have  $\ell_1 \neq \ell_2$  and symmetrically  $\ell_1 \neq \ell_2, \ell_2 \neq \ell_3, \ell_3 \neq \ell_4$ , and  $\ell_4 \neq \ell_1$ .  $\square$

## 5 Deflating Pentagons

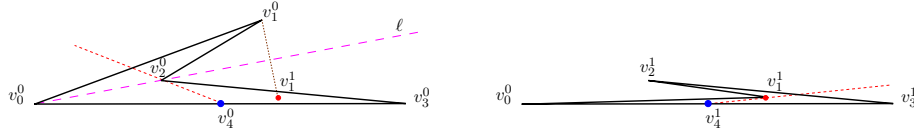
First we observe that the pentagon problem is relatively simple if we allow a straight vertex: we can subdivide the long edge of an infinitely deflating quadrilateral.

**Theorem 2.** *There is a simple pentagon with a straight vertex that deflates infinitely for all deflation sequences, exactly like the quadrilateral on the nonflat vertices.*

*Proof.* See Figure 2. We start with an infinitely deflating quadrilateral  $\langle v_0, v_1, v_2, v_3 \rangle$  according to Theorem 1, and add a straight vertex  $v_4$  along the edge  $v_3v_0$ . As long as we never deflate along a line passing through the straight vertex  $v_4$ , the deflations act exactly like the quadrilateral, and thus continue infinitely no matter which deflation sequence we choose. To achieve this property, we set the length of segment  $v_3v_0$  to 1, with  $v_4$  at the midpoint; we set the lengths of edges  $v_0v_1$  and  $v_2v_3$  to  $2/3$ ; and we set the length of edge  $v_1v_2$  to  $1/3$ . Then we deflate the quadrilateral until the vertices are so close to being hairpins that  $v_4$  cannot see the nonadjacent convex vertex and the line through  $v_4$  and the reflex vertex intersects the pentagon at another point. Thus no line of deflation passes through  $v_4$ , so we maintain infinite deflation as in the induced quadrilateral.  $\square$

Finally we show that any infinitely deflating pentagon induces an infinitely deflating quadrilateral.

**Theorem 3.** *Every simple pentagon with no straight vertices can be deflated by a finite sequence of (well-chosen) deflations. Furthermore, any infinite deflation sequence in such a pentagon induces an infinitely deflating quadrilateral.*

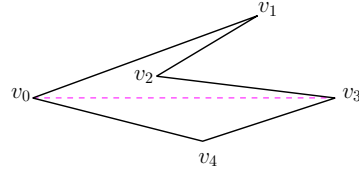


**Fig. 2.** An infinitely deflatable pentagon that induces an infinitely deflatable quadrilateral (left) and its configuration after the first deflation (right).

*Proof.* Let  $P$  be a pentagon with no straight vertices, and assume for the sake of contradiction that  $P$  deflates infinitely. Consider any accumulation point  $P^*$  of an infinite deflation sequence  $P^0, P^1, P^2, \dots$ . By Lemma 4, there is an infinitely deflating pocket chain, say  $v_0, v_1, \dots, v_j$ , where  $j \geq 2$ . By Lemma 5,  $v_1^*, \dots, v_{j-1}^*$  are hairpin vertices. Because the pentagon has only five vertices,  $j \leq 4$ . In fact,  $j \leq 3$ : if  $j = 4$ , this pocket chain would encompass all five vertices, making  $P^*$  collinear, which contradicts Lemma 3. If  $j = 3$ , then  $v_1^*$  and  $v_2^*$  are hairpins. If  $j = 2$ , then by Lemma 5, either  $v_0^*$  or  $v_2^*$  must be a hairpin; assume by symmetry that it is  $v_2^*$ . Thus, in this case, again  $v_1^*$  and  $v_2^*$  are hairpins. Hence, in all cases,  $v_1^*$  and  $v_2^*$  are hairpins, so  $v_0^*, v_1^*, v_2^*, v_3^*$  are collinear, while by Lemma 3 the fifth vertex  $v_4^*$  must be off this line. In particular,  $v_0^*, v_3^*$ , and  $v_4^*$  are not hairpins.

By Lemma 5, any infinitely deflating chain is flat in the accumulation point  $P^*$ , so the only possible infinitely deflating chains are  $v_0, v_1, v_2$ ;  $v_1, v_2, v_3$ ; and  $v_0, v_1, v_2, v_3$  (Figure 3). Let  $T$  denote the time after which only these three chains deflate. Thus, after time  $T$ ,  $v_0, v_3$ , and  $v_4$  stop moving, so in particular,  $v_4$ 's angle and the length of the edge  $v_0v_3$  take on their final values. Therefore, after time  $T$ , the vertices  $v_0, v_1, v_2, v_3$  induce a quadrilateral that deflates infinitely, except that the chain  $v_0, v_1, v_2, v_3$  might deflate. However, if at some time  $t > T$  the chain  $v_0^t, v_1^t, v_2^t, v_3^t$  deflates along the line through  $v_0^t$  and  $v_3^t$  into the triangle  $v_0^t v_3^t v_4^t$ , then the convex hull of  $P^{t+1}$  is  $v_0^{t+1} v_3^{t+1} v_4^{t+1}$ , which is fixed, so no further deflations are possible, resulting in a finite deflation sequence. Therefore the infinite deflation sequence can deflate only the chains  $v_0, v_1, v_2$  and  $v_1, v_2, v_3$  after time  $T$ . Indeed, after time  $T$  the sequence must alternate between deflating these two chains, because no chain can deflate twice in a row.

We claim that  $v_1^*$  and  $v_2^*$  lie along the segment  $v_0^*v_3^*$ . Because  $v_1^*$  and  $v_2^*$  are hairpins, the only other possibilities are that  $v_1^*$  extends beyond  $v_3^*$  or that  $v_2^*$  extends beyond  $v_0^*$ . If  $v_1^*$  extended beyond  $v_3^*$ , then applying Lemma 5 to  $v_1, v_2, v_3$  would imply that  $v_3^*$  is a hairpin, which is a contradiction. Therefore,  $v_1^*$  must lie along the segment  $v_0^*v_3^*$ , and similarly  $v_2^*$  must lie along the segment  $v_0^*v_3^*$ . By Theorem 1, no two adjacent edges of the quadrilateral have the same

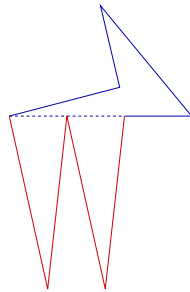


**Fig. 3.** A pentagon with an induced infinitely deflating quadrilateral, which is infinitely deflatable if we deflate only the sub-chain  $v_0, v_1, v_2, v_3$ .

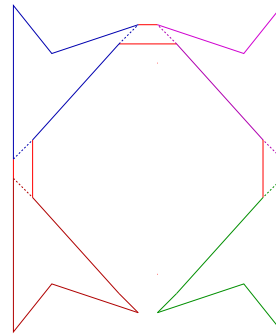
length, so in fact  $v_1^*$  and  $v_2^*$  must be strictly interior to the segment  $v_0^*v_3^*$ . Hence, for sufficiently large  $t > T$ ,  $v_0^t, v_1^t, v_2^t, v_3^t$  are arbitrarily close to collinear with  $v_1^t$  and  $v_2^t$  projecting to the relative interior of segment  $v_0^t v_3^t$ . Also,  $v_1^t$  and  $v_2^t$  must be outside the triangle  $v_0^t v_3^t v_4^t$  because the quadrilateral  $v_0, v_1, v_2, v_3$  remains deflatable. As a consequence, for sufficiently large  $t > T$ , we can deflate the chain  $v_0^t, v_1^t, v_2^t, v_3^t$ , which prevents all further deflations as argued above. Thus we obtain an alternate, finite deflation sequence.  $\square$

## 6 Larger Polygons and Well-Chosen Deflations

It is easy to construct  $n$ -gons with  $n \geq 6$  that deflate infinitely, no matter which deflation sequence we choose. See Figure 4(a) for the idea of the construction. We can add any number of spikes to an infinitely deflating quadrilateral to obtain  $n$ -gons with  $n \geq 6$  and even. For  $n \geq 7$  and odd, we can shave off the tip of one of the spikes. Thus,  $n = 5$  is the only value for which every  $n$ -gon with no straight vertices can be finitely deflated.



(a) An infinitely deflating octagon constructed by adding long spikes to an infinitely deflating quadrilateral.



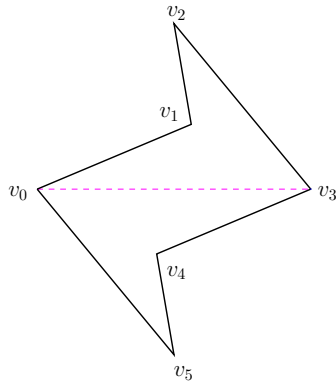
(b) An infinitely deflating 18-gon constructed from four infinitely deflating quadrilaterals.

**Fig. 4.** Infinitely deflating polygons by combining infinitely deflating quadrilaterals.

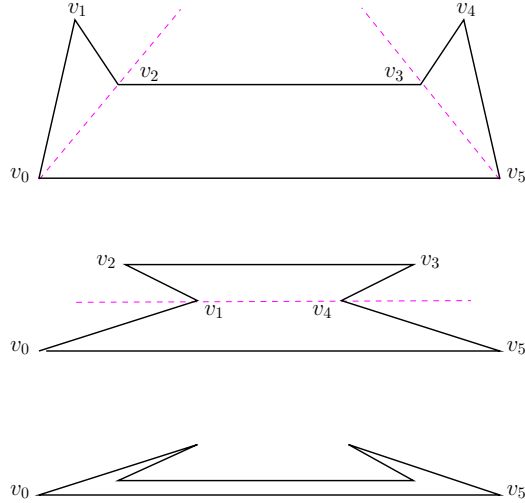
None of the infinitely deflating polygons of Figure 4 are particularly satisfying because their accumulation points are not flat. Are there any  $n$ -gons,  $n > 4$ , that have no straight vertices and always deflate infinitely to flat accumulation points?

If we require that the  $n$ -gon is infinitely deflatable to a flat accumulation point only for at least one deflation sequence, then we can construct such a hexagon by taking two infinitely deflating quadrilaterals  $v_0, v_1, v_2, v_3$  and  $v_3, v_4, v_5, v_0$  (with their longest edge having the same length) and joining them along their longest edge; removing this edge will leave us with hexagon  $v_0, v_1, v_2, v_3, v_4, v_5$ . See Figure 5. This hexagon will deflate infinitely if we deflate only the two subchains  $v_0, v_1, v_2, v_3$  and  $v_3, v_4, v_5, v_0$  independently, and never deflate across the line through  $v_0$  and  $v_3$ . This hexagon has an infinitely deflating quadrilateral





**Fig. 5.** An infinitely deflating hexagon constructed by joining two infinitely deflating quadrilaterals along their longest edge.



**Fig. 6.** A hexagon that deflates infinitely for a well-chosen deflation sequence but induces no infinitely deflating quadrilateral.

as a subpolygon, and indeed its infinite deflation sequences are interleavings of the two such quadrilaterals.

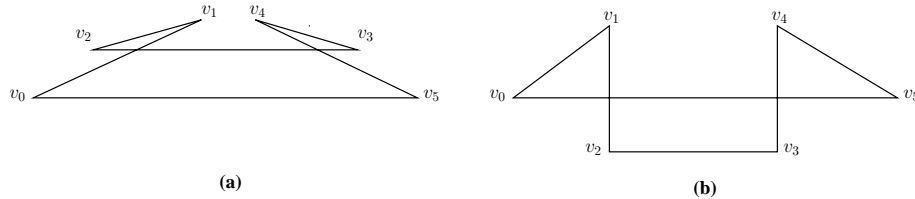
Next we present a family of hexagons that deflate infinitely to a flat accumulation point for some deflation sequence but do not induce an infinitely deflating quadrilateral. Figure 6 shows an example.

**Theorem 4.** *A simple hexagon  $H = \langle v_0, v_1, v_2, v_3, v_4, v_5 \rangle$  with side lengths  $\ell_i = |v_{i-1}v_i|$  (where  $v_6 = v_0$ ) has an infinite deflation sequence with flat accumulation points if it satisfies the following five properties:*

1. *opposite edges sum equally, i.e.,  $\ell_1 + \ell_3 + \ell_5 = \ell_2 + \ell_4 + \ell_6$ ;*
2. *adjacent edges differ, i.e.,  $\ell_1 \neq \ell_2, \ell_2 \neq \ell_3, \ell_3 \neq \ell_4, \ell_4 \neq \ell_5, \ell_5 \neq \ell_6, \ell_6 \neq \ell_1$ ;*
3.  *$\frac{1}{2}\ell_1 < \ell_2 < \ell_1$ ;*
4.  *$\ell_6 > 3\ell_1$ ; and*
5. *the hexagon is symmetric about the perpendicular bisector of the edge  $v_0v_5$ . (In particular,  $\ell_1 = \ell_5$  and  $\ell_2 = \ell_4$ , and  $v_0v_5$  is parallel to  $v_2v_3$ .)*

*Proof.* Consider a hexagon  $H$  satisfying the five properties. Assume by suitable rotation and reflection that  $v_0v_5$  (and hence  $v_2v_3$ ) is horizontal,  $v_0$  is left of  $v_5$ , and  $v_2$  (and hence  $v_3$ ) is above the horizontal line through  $v_0$  and  $v_5$ .

We argue that any such hexagon  $H$  is simple. Obviously, the parallel edges  $v_2v_3$  and  $v_0v_5$  do not cross. If  $v_0v_1$  (and hence  $v_4v_5$ ) intersects  $v_2v_3$ , as in Figure 7(a), then by the planar quadrilateral uncrossing lemma,  $\ell_1 + \ell_3 > \ell_2 + |v_0v_3|$



**Fig. 7.** The two possible configurations of  $H$  if it self-intersects.

and  $\ell_5 + |v_0v_3| > \ell_4 + \ell_6$ , which sum to  $\ell_1 + \ell_3 + \ell_5 + |v_0v_3| > \ell_2 + \ell_4 + \ell_6 + |v_0v_3|$ , contradicting Property 1. Similarly, if  $v_1v_2$  (and hence  $v_3v_4$ ) intersects  $v_0v_5$ , as in Figure 7(b) or its reflection, then  $\ell_2 + \ell_6 > \ell_1 + |v_2v_5|$  and  $\ell_4 + |v_2v_5| > \ell_3 + \ell_5$ , which sum to  $\ell_2 + \ell_4 + \ell_6 + |v_2v_5| > \ell_1 + \ell_3 + \ell_5 + |v_2v_5|$ , contradicting Property 1. In projection onto the horizontal line through  $v_0v_5$ ,  $v_1$  can reach at most  $\ell_1$  to the right of  $v_0$  and  $v_4$  can reach at most  $\ell_5 = \ell_1$  to the left of  $v_5$ . By Property 4, this travel is small enough that  $v_1$  must be left of  $v_4$ . Thus, in particular,  $v_0v_1$  cannot cross  $v_4v_5$ . If  $v_2$  were right of  $v_3$ , then  $|v_0v_2| + |v_3v_5| > \ell_3 + \ell_6$ , so by the triangle inequality,  $\ell_1 + \ell_2 + \ell_4 + \ell_5 > \ell_3 + \ell_6$ , so by Property 4,  $\ell_1 + \ell_2 + \ell_4 + \ell_5 > \ell_3 + 3\ell_1$ , i.e.,  $\ell_2 + \ell_4 > \ell_3 + \ell_1$ , so by Property 1,  $\ell_6 < \ell_5$ , contradicting Property 4. Hence,  $v_2$  is left of  $v_3$ . Thus  $v_0, v_1$ , and  $v_2$  are left of  $v_3, v_4$ , and  $v_5$ , so  $v_0v_1$  and  $v_1v_2$  cannot cross  $v_3v_4$  or  $v_4v_5$ . Hence no pairs of edges can cross. Property 2, together with Properties 1 and 5, forbids edges from overlapping and forbids nonadjacent edges from touching. Therefore  $H$  must be simple.

Next we claim that  $v_1$  (and hence  $v_4$ ), like  $v_2$  and  $v_3$ , is above the horizontal line through  $v_0v_5$ , implying that  $v_0$  (and hence  $v_5$ ) is convex. Because  $\ell_1 = \ell_5$  and  $\ell_2 = \ell_4$ , Property 1 can be rewritten as  $2\ell_1 + \ell_3 = 2\ell_2 + \ell_6$ . By Property 3,  $\ell_2 < \ell_1$ , so  $\ell_3 < \ell_6$ . Thus  $v_2$  is above and to the right of  $v_0$ . Because  $\ell_2 < \ell_1$ , if  $v_1$  were not also above  $v_0$ , the edge  $v_1v_2$  could not reach a point above and to the right of  $v_0$  without crossing  $v_0v_5$ . But we showed that  $H$  is simple, so  $v_1$  must in fact be above  $v_0$ .

Now we claim that the hexagon  $H$  deflates infinitely by repeating the following three-step sequence ad infinitum: first deflate across the line passing through  $v_0$  and  $v_2$ , second across the line through  $v_3$  and  $v_5$ , and third across the line through  $v_2$  and  $v_3$ . Exactly where we begin this infinite sequence depends on the initial hexagon  $H$ : if  $v_2$  (and hence  $v_3$ ) is reflex, we start on the first step; otherwise, we start on the third step. In general, the first step will be executed when  $v_2$  (and  $v_3$ ) is reflex, the second step will be executed when just  $v_3$  is reflex, and the third step will be executed when  $v_2$  and  $v_3$  are convex. We also maintain the invariant that the hexagon is symmetric about the perpendicular bisector of  $v_0v_5$  (Property 5) after every execution of the second and third steps. We need

to show that (1) no deflation step introduces crossings, and (2) every line of deflation intersects the hexagon only at the two vertices defining it.

We have already shown that the hexagon is simple after any execution of the second or third step, because then the hexagon satisfies Property 5. We can argue simplicity after the execution of the first step by comparing with the hexagon that was just before the first step and with the hexagon that will be just after the next second step. Therefore the hexagon is simple at all stages.

It remains to show that every line of deflation hits the hexagon boundary just at its two defining vertices. The argument for the first step, deflating across  $v_0v_2$ , is below. The argument for the second step is similar to simplicity: Properties 3 and 4 guarantee that  $v_1$  is always left of  $v_3$ , and in this case  $v_1$  is below the horizontal line through  $v_3$ , while  $v_0$  is below and right of  $v_3$ , so the line through  $v_3$  and  $v_5$  cannot hit  $v_0v_1$  or  $v_1v_2$ . The argument for the third step is easy: the line through  $v_1$  and  $v_4$  cannot hit any of the incident edges ( $v_0v_1$ ,  $v_1v_2$ ,  $v_3v_4$ , and  $v_4v_5$ ), and by Property 5 the line is horizontal, so it cannot hit the two remaining horizontal edges ( $v_2v_3$  and  $v_0v_5$ ).

Finally we consider deflating across  $v_0v_2$ , where it suffices to prove that  $v_4$  is to the right of the line from  $v_0$  to  $v_2$ . Assume by suitable translation that vertex  $v_0$  is at the origin, and let  $\theta$  be the interior angle at  $v_0$ . Then  $v_1$  has coordinates  $\langle \ell_1 \cos \theta, \ell_1 \sin \theta \rangle$  and  $v_4 = \langle \ell_6 - \ell_1 \cos \theta, \ell_1 \sin \theta \rangle$ . The  $x$  coordinate of  $v_2$  is  $\frac{1}{2}\ell_6 - \frac{1}{2}\ell_3$ , which by adding half of Property 1 is  $\ell_1 - \ell_2$ . Now consider the right triangle  $v_1v_2x$ , where  $x$  is the point below  $v_1$  and horizontal with  $v_2$ . The hypotenuse is  $\ell_2$ , and the horizontal edge has length  $(\ell_1 - \ell_2) - \ell_1 \cos \theta = \ell_1(1 - \cos \theta) - \ell_2$ , so the vertical edge has length  $\sqrt{\ell_2^2 - (\ell_1(1 - \cos \theta) - \ell_2)^2}$ . Thus,  $v_2 = \langle \ell_1 - \ell_2, \ell_1 \sin \theta - \sqrt{\ell_2^2 - (\ell_1(1 - \cos \theta) - \ell_2)^2} \rangle$ . Note that, for  $v_2$  to have a valid (noncomplex) solution, we must have  $2\ell_2 > \ell_1$ , which is part of Property 3.

Now,  $v_4$  is to the right of the line from  $v_0$  to  $v_2$  if and only if the signed area of the triangle  $v_0v_2v_4$  is negative. Thus we desire the following inequality:

$$\begin{vmatrix} x_1 & y_1 & 1 \\ x_3 & y_3 & 1 \\ x_5 & y_5 & 1 \end{vmatrix} = \begin{vmatrix} 0 & 0 & 1 \\ \ell_1 - \ell_2 & \ell_1 \sin \theta - \sqrt{\ell_2^2 - (\ell_1(1 - \cos \theta) - \ell_2)^2} & 1 \\ \ell_6 - \ell_1 \cos \theta & \ell_1 \sin \theta & 1 \end{vmatrix} < 0.$$

After significant simplification, this inequality becomes

$$\ell_1(\cos \theta - 1)(\ell_1 - \ell_2)[\ell_1^2(1 + 3 \cos \theta + 4 \cos^2 \theta) - \ell_6 \ell_1(2 + 6 \cos \theta) + 2\ell_6^2 - \ell_1 \ell_2(1 + \cos \theta)] < 0.$$

Because  $\theta$  is between 0 and  $\pi$ , and  $\ell_2 < \ell_1$ , this inequality is equivalent to

$$\ell_1^2(1 + 3 \cos \theta + 4 \cos^2 \theta) - \ell_6 \ell_1(2 + 6 \cos \theta) + 2\ell_6^2 - \ell_1 \ell_2(1 + \cos \theta) > 0.$$

Also, because  $\ell_2 < \ell_1$ , it is enough to show

$$\ell_1^2(2 \cos \theta + 4 \cos^2 \theta) - \ell_6 \ell_1(2 + 6 \cos \theta) + 2\ell_6^2 > 0.$$

If  $\ell_6 = \alpha \ell_1$ , then the inequality becomes

$$(\cos \theta + 2 \cos^2 \theta) - \alpha(1 + 3 \cos \theta) + \alpha^2 > 0.$$

The maximum lower bound on  $\alpha$  that satisfies this inequality occurs at  $\theta = 0$ ; in this case, we obtain  $3 - 4\alpha + \alpha^2 = 0$ , which has solution  $\alpha = 3$ . Therefore, Condition 4 that  $\ell_6 > 3\ell_1$  suffices.

We can easily show that every accumulation point of our deflation sequence is flat: because each of the chains  $v_0, v_1, v_2$ ;  $v_3, v_4, v_5$ ; and  $v_1, v_2, v_3, v_4$  deflate infinitely, then by Lemma 5, in every accumulation point, the vertices of each of the chains are collinear, forcing all six vertices to be collinear.  $\square$

## 7 Open Problems

It remains open whether there exist  $n$ -gons,  $n \geq 6$ , that have no straight vertices and deflate infinitely for every deflation sequence to flat accumulation points. Also, does every infinite deflation sequence have a (unique) limit? Our proofs would likely simplify if we knew there were only one accumulation point.

Is there an efficient algorithm to determine whether a given polygon  $P$  has an infinite deflation sequence? What about detecting whether all deflation sequences are infinite? Even given a (succinctly encoded) infinite sequence of deflations, can we efficiently determine whether the sequence is valid?

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