

# A Crash Course on Coding Theory

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## Algebraic Geometry Codes

### Summary

- Have seen basic codes.
- Have seen how to get reasonably good codes.
- Next on agenda: The best codes ... at least
  - Best known ...
  - For low rates (high distance) ...
  - To best of my understanding.

### Algebraic-geometry codes

- Conceived by Goppa in late 70's - early 80's.
- 1982 - Surprising breakthrough ...
  - Due to Tsfasman, Vladuts, Zink.
  - Based on some prior work of Ihara.
  - Codes better than random for suff. large, but constant sized, alphabet.
- Almost unique in history of explicit constructions ....

## Asymptotic performance of codes

Fix  $k/n$ , and let  $n \rightarrow \infty$ .

Focus on dependence w.r.t.  $q$ .

- **Random code:** Gives
  - $\left[ n, k, n - k - O\left(\frac{n}{\log q}\right) \right]_q$  codes.
  - For  $q = n$ , only  $\left[ n, k, n - k - O\left(\frac{n}{\log n}\right) \right]_n$  code.
  - Worse than RS code!
- **AG code:** Gives
  - $\left[ n, k, n - k - \frac{n}{\sqrt{q-1}} \right]_q$  code.
  - Needs  $q$  square.
  - Clearly better for large  $q$ .
  - In fact, better for  $q \geq 49$ .

## Motivation: Bivariate Codes

- Consider codes obtained by evaluations of bivariate polynomials  $Q(x, y)$  of deg.  $\leq l$  in each variable.
- Gives  $\left[ q^2, l^2, \left(1 - \frac{l}{q}\right)^2 \right]_q$  code.
- Contrast w.  $\left[ q^2, l^2, q^2 - l^2 \right]_{q^2}$  RS code.
  - Bivariate alphabet smaller.
  - Distance smaller by  $2l(q - l)$ .
- Why this  $q - l$  deficit?
  - On axis-parallel line  $l$  points zero imply  $q$  points zero.
  - For every line defect of  $q - l$ .

## AG code idea

- Don't evaluate poly on all points on plane.
- Ideally, don't use more than  $l$  points on line.
- Pragmatically, don't use much more than  $l$  points on line.
- But there exist other bad examples. Degree 2 curves, Degree 3 curves.
- So, don't use too many points on any (low-degree) curve.
- How to find such points? Use points on some low-degree curve.

## Algebraic curves in the plane

Defn: Given a bivariate polynomial  $R(x, y)$  of total degree  $D$ , the set of points

$$\{(a, b) \in \Sigma^2 \mid R(a, b) = 0\}$$

is called an algebraic curve of degree  $D$  in the plane.

Basic result from algebraic geometry:  
Nice algebraic curves don't meet other nice algebraic curves very often.

Bezout's Thm: Curves  $R_1, R_2$  of deg.  $D_1, D_2$  share at most  $D_1 D_2$  common zeroes.

## Example (stolen from Shokrollahi)

- Let  $q = 13$   
 $R(x, y) = y^2 - 2(x - 1)x(x + 1)$ .
- Code obtained by evaluating (certain) polynomials at zeroes of  $R$ .
- Fact: There exist 19 zeroes of  $R$ .
- Legal polynomials: linear combinations of  $\{1, x, y, x^2, xy, x^3\}$ .
- If legal poly has 6 zeroes, then it is identically zero.
- Gives  $[19, 6, 13]_{13}$  code.  
(RS would give  $[19, 6, 14]_{19}$  code.)

## Codes from Planar Curves

- Generally:
  - Evaluating polys of deg.  $\leq l$
  - At zeroes of  $R$ , irreducible, of degree  $D$ , with  $n$  zeroes.
  - Gives  $[n, k, n - Dl]_q$  code,  
for  $k = \begin{cases} \binom{l+2}{2} & \text{if } l < D \\ \binom{l+2}{2} - \binom{l-D+2}{2} & \text{if } l \geq D \end{cases}$ .
- Distance by Bezout's theorem.

## Finding good curves

How to find  $R$  with large  $n$ ?

- No general method.
- But some well-known curves do well. e.g. Hermitian curve for  $q = r^2$ :
  - $x^{r+1} - y^r - y = 0$
  - has  $r^3 + 1$  points.
  - Gives  $[r^3 + 1, \binom{r+2}{2}, r^3 + 1 - (r)(r + 1)]_{r^2}$  code.
- Bivariate polys gave  $[r^4, \binom{r+2}{2}, r^4 - r^3]_{r^2}$ .

## Going to Higher Dimension

- So far, went from alphabet  $n$  to (at best)  $\sqrt{n}$ .
- To do better need more variables.
- General AG codes:
  - Pick  $m$  variables.
  - Put  $m - 1$  polynomial constraints.
  - Evaluate polynomials on zeroes.

[Garcia & Stichtenoth]

- $q = r^2$ .
- Variables  $x_1, \dots, x_m, y_1, \dots, y_m$ .
- Constraints:
  - $x_1^{r+1} = y_1^r + y_1$ .
  - $x_2 x_1 = y_2$ .
  - $x_2^{r+1} = y_2^r + y_2$ .
  - $\vdots$
  - $x_m x_{m-1} = y_{m-1}$ .
  - $x_m^{r+1} = y_m^r + y_m$ .
- # zeroes  $\geq (r^2 - 1)r^m$ .

- Bezout’s theorem becomes weak.
- Polynomials ordered by “order”.
  - Order axioms:
    - $\text{ord}(f + g) \leq \max\{\text{ord}(f), \text{ord}(g)\}$ .
    - $\text{ord}(f * g) = \text{ord}(f) + \text{ord}(g)$ .
    - $f$  has at most  $\text{ord}(f)$  zeroes.
    - Polynomials of all except  $g$  orders exist.
    - $g =$  genus of curve.
    - Genus of Garcia-Stichtenoth curve  $\leq (r + 1)r^m$ .
- AG codes follow.

Summary: RS vs. AG

	RS	AG
Coordinates	$\mathbb{F}_q$	Points on curves
Messages	Polynomials $\text{deg} < k$	Polynomials <u>order</u> $< k$
Encoding	Evaluations	Evaluations
Distance	$n - k + 1$	$n - k + 1$
Dimension	$k$	$k - \text{genus}$
Axioms	zeroes $\leq$ deg.	zeroes $\leq$ order
	Sum rule	Sum rule
	Product rule	Product rule
	dim. $>$ deg.	dim. $>$ order – g

Computational requirements

- Classical AG codes computable in  $O(n^{30})$  time.
- Newer AG codes computable in  $O(n^{17})$  time.
- Rumors of  $O(n^2)$  time computability.
- Belief in explicit constructions.

## Some best known codes

Fix  $q = 2$ . Given  $k$  and  $d/n = \frac{1}{2} - \epsilon$ , what is the best known code? (Will allow  $\epsilon = \epsilon(n)$ ).

- Random code:  $n = O\left(\frac{k}{\epsilon^2}\right)$ .
- RS  $\circ$  Hadamard:  $n = \frac{k^2}{\epsilon^2}$ .
- AG  $\circ$  Hadamard:  $n = O\left(\frac{k}{\epsilon^3 \log(1/\epsilon)}\right)$ .
- [ABNNR]:  $n = O\left(\frac{k}{\epsilon^3}\right)$ . (Polylog space constructible).