# **Today**

Explicit constructions of asymptotically good codes

- Review Wozencraft ensemble (simplified).
- Codes from other codes:
  - Parity, Puncturing, Restriction, Direct
     Product.
  - Concatenation.
- Forney codes.
- Interlude: What is explicit?
- Justesen codes.

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#### Codes from other codes

Many interesting codes obtained from other codes by simple operations. Also useful in bounds.

**Parity check** Add one bit of parity of code.  $(n, k, 2t - 1)_2 \rightarrow (n + 1, k, 2t)_2$  code.

**Puncturing** Delete one coordinate of code.  $(n, k, d)_q \rightarrow (n - 1, k, d - 1)_q$  code.

**Restricting** Take subcode corresponding to first coordinate being "most common element" and then delete first coordinate.  $(n,k,d)_q \rightarrow (n-1,k-1,d)_q$  code.

# Wozencraft Ensemble: Special Case + Simplified

- Codes  $C_{\alpha}: \{0,1\}^k \to \{0,1\}^{2k}$ .
- Let  $\mathbb{F}$  be field of size  $2^k$ . Then  $C_{\alpha}$ :  $\mathbb{F} \to \mathbb{F}^2$ . One such code for every  $\alpha \in \mathbb{F}$ :  $C_{\alpha}(x) = (x, \alpha x)$ .
- Codes  $C_{\alpha}, C_{\beta}$  don't share non-zero codewords  $((x,y) \in C_{x^{-1}y})$ .
- $\exists C_{\alpha}$  with distance  $\approx H^{-1}(1/2) \cdot (2k)$ .
- Most  $C_{\alpha}$ 's have half that distance!
- Ensemble constructible in time  $2^{O(k)}$ .

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# Codes from other codes (contd.)

**Direct Product** Messages are matrices. Encode rows with  $C_1$  and then columns with  $C_2$ .  $(n_1,k_1,d_1)_q\otimes (n_2,k_2,d_2)_q\to (n_1n_2,k_1k_2,d_1d_2)_q$ .

**Sub-** $\Sigma$  **Subcodes** Take  $\Sigma' \subseteq \Sigma$  and  $C \subseteq \Sigma^n$  and let  $C' = C \cap (\Sigma')^n$ .  $(n,k,d)_q \rightarrow (n,?,d)_{q'}$  code.

- Not generically useful.
- Gives very nice specific codes. (BCH from RS.)

Most operations weaken codes asymptotically. Only one exception.

### **Concatenation of codes**

- Take code  $C_1$  over large alphabet. Encode message with  $C_1$ .
- Then "represent" elements of large alphabets as strings over small alphabet.
- ullet Might as well use small alphabet code  $C_2$ to "represent" elements.
- Specifically:
  - Let  $Q = q^k$ . Let  $C_1 = (N, K, D)_Q$  code. Let  $C_2 = (n, k, d)_q$  code.
  - Message comes from  $Q^K \cong q^{kK}$ .
  - Encoding gives el'ts of  $Q^N$  (first stage) and  $q^{nN}$  (second stage).

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## Asymptotically good codes

- Know how to get  $(N, K, D)_Q$  codes. (Take Reed-Solomon codes.)
- How to get  $(n, k, d)_q$  codes? Forney's idea: Brute force search!
- Why is this ok?
- Example parameters.
  - $-K,D = N/2,Q = N = 2^{\log N},q =$  $2, k = \log N$ .
  - $-n = 2k = O(\log N), d = H^{-1}(1/2)n, q =$
- Brute force search (say for random linear code) takes time  $2^{O(n^2)} = N^{O(\log N)}$ .

dD coordinates.

- Distinct message differ in at least DCOORDINATES, and hence in at least

- $-(N, K, D) \circ (n, k, d) \rightarrow (nN, kK, dD)$ codes.
- Same as direct product? NO! Direct product needs first code to be over q. Concatenation only needs this over Q. Latter easier empirically.
- Idea due to [Forney].

Asympt. good code in quasi-polynomial time (Rate 1/4, Rel. Distance  $1/2H^{-1/2}$ .)

- Search Wozencraft ensemble:  $N^{O(1)}$  time. Gives poly-time construction of asymptotically good codes.
- Two-level concatenation:
  - -K,D as before.
  - $-k = \log N, n = 2k, q = n, Q =$  $\log N^{\log N}$ .
  - $-q_0 = 2, k_0 = \log n = \log \log N, n_0 =$  $2k_0$ , etc.

Use RS codes at outer and middle level. Brute force search at inner level. Now quasi-polynomial in n and so polynomial in N.

• Bibliographic asides: Forney doesn't mention the codes themselves - only concatenation! The tradeoff (distance to rate) was studied later by Zyablov. So what did Forney do? Gave polytime E and D getting arbitrarily close to Shannon capacity for  $\mathsf{BSC}_p$  (as also other, more important channels). Will do this part later. Solves biggest problem in Shannon theory; and the Hamming consequences become a footnote.

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 Are there other definitions of explicit, that appeal to our intuition?

#### **Explicit constructions**

- Are the Forney constructions explicit?
- Standard refrain from the pas: Constructive

   yes! Explicit No! After all we don't know
   the Forney codes. We have to search for them.
- Debate entirely too subjective.
- Complexity theory can make this objective.
   To "know" is to be able to compute efficiently.
- Forney codes are explicit .... if explicit is defined as polynomial time constructible.

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#### **Shades of Explicitness**

Increasingly explicit notions. For simplicity assume linear code, and we wish to construct generator matrix.

- Constructible by finite time procedure!
- Constructible by polynomial time procedure.
- Constructible by logspace procedure: E.g. Forney one-level with brute force is not logspace constructible, but two-level and Wozencraft are logspace constructible.
- Locally polynomial time: Given i,j indices into generator matrix: Can compute  $G_{ij}$  in polytime in |i|,|j|. Don't have such explicitness yet.

• Locally logspace ...

Justesen's construction of explicit codes

 General question: How can you eliminate the "search" in the Forney-type construction.

• Justesen's insights:

- Need to find one out of  $\{0,1\}^n$  codes (when we know most are good enough) ....
- ... to use it  $2^n$  times!
- But who says we must always use the same code?
- Justesen concatenation:
  - Outer code:  $(N, K, D)_Q$

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- Inner sequence of codes:  $\langle C_i \rangle_{i=1}^N$ , with  $C_i = (n,k,?)_q$  codes, with  $Q = q^k$  and all but  $\epsilon N$  of the  $C_i$ 's having distance d.
- Concatenation: Encode ith symbol of outer encoding by  $C_i$ .
- Yields:  $(Nn, Kk, (D \epsilon N)d)_q$  code!
- Gets about as explicit as we can handle!

# **Notes on linearity**

- Both direct product, and concatenation, can be applied to get linear codes.
- Former case: Just linear algebra.
- Latter case: Make sure elements of  $\mathbb{F}_Q$  represented as vectors over  $\mathbb{F}_q$  satisfying additivity constraints.
- Details omitted.