

# Learning to separate shading from paint

Marshall F. Tappen<sup>1</sup>

William T. Freeman<sup>1</sup>

Edward H. Adelson<sup>1,2</sup>

<sup>1</sup>MIT Computer Science and Artificial  
Intelligence Laboratory (CSAIL)

<sup>2</sup>MIT Dept. of Brain and Cognitive Sciences

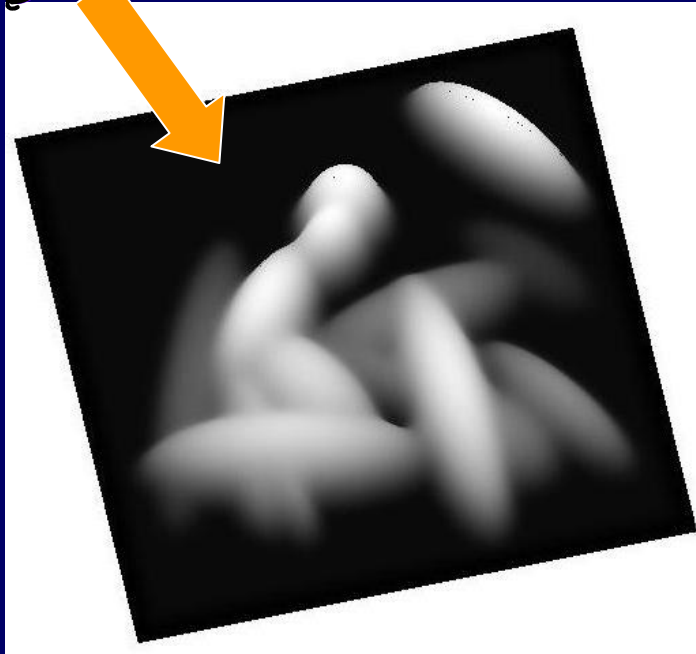


Marshall

# Forming an Image



Illuminate the surface to get:



Surface

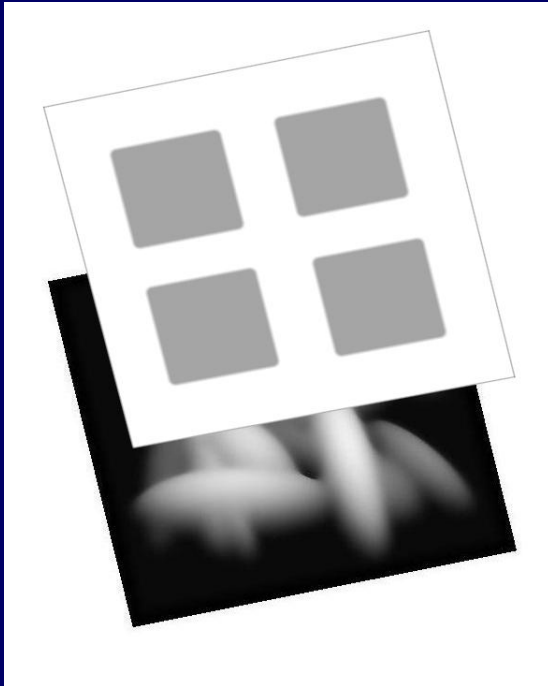


Shading Image

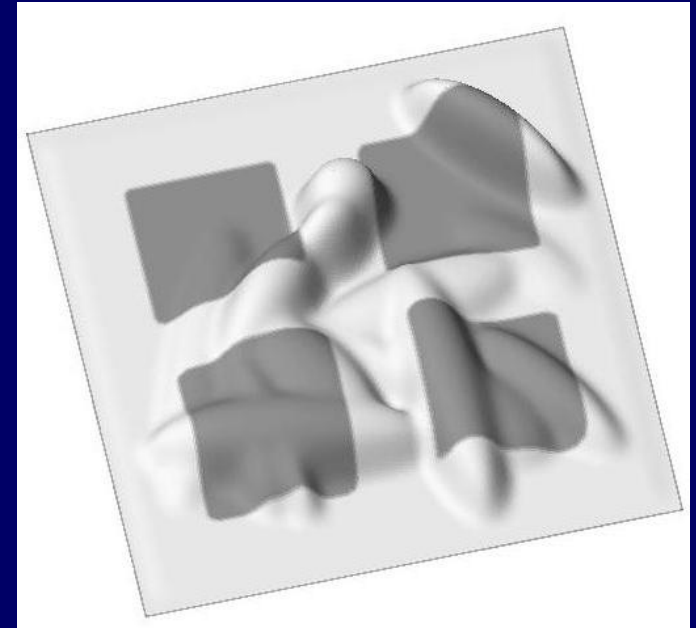
The “shading image” is the interaction of the shape of the surface and the illumination



# Painting the Surface



Scene



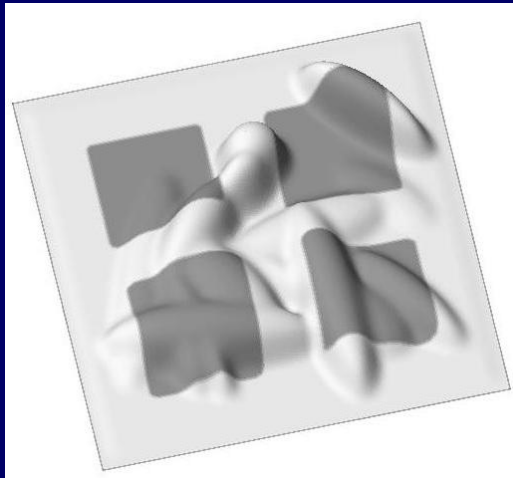
Image

We can also include a reflectance pattern or a “paint” image. Now shading and reflectance effects combine to create the observed image.

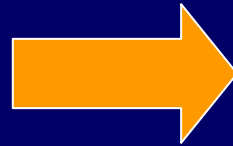
# Problem

How can we access shape or reflectance information from the observed image?

For example:

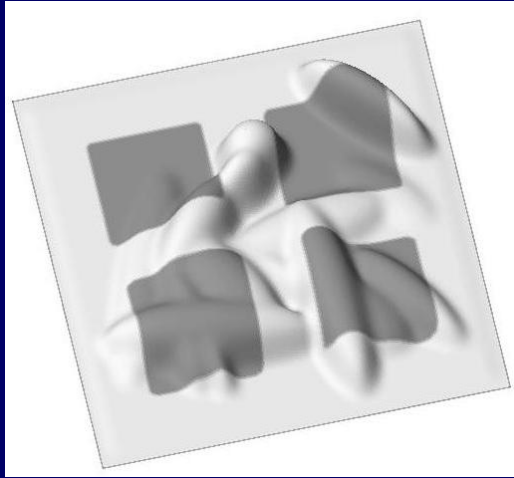


image



estimate of shape

# Goal: decompose the image into shading and reflectance components.



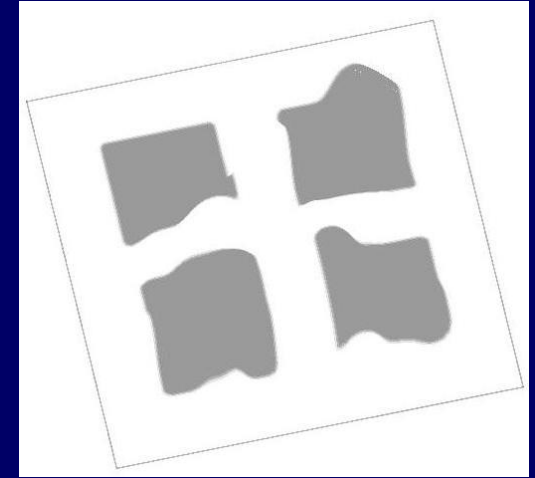
Image

=



Shading Image

×



Reflectance Image

- These types of images are known as intrinsic images (Barrow and Tenenbaum).
- Note: while the images multiply, we work in a gamma-corrected domain and assume the images add.

# Why you might want to compute these intrinsic images

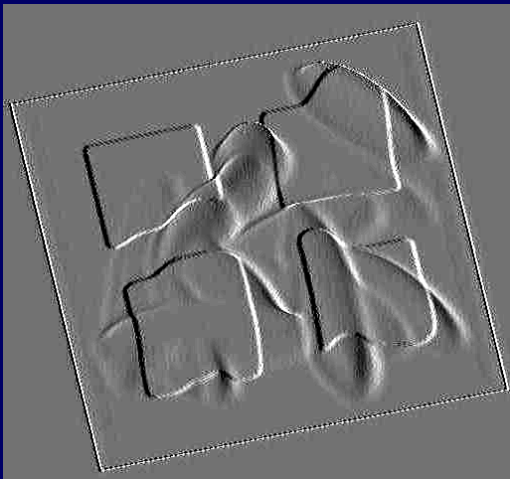
- Ability to reason about shading and reflectance independently is necessary for most image understanding tasks.
  - Material recognition
  - Image segmentation
- Want to understand how humans might do the task.
- An engineering application: for image editing, want access and modify the intrinsic images separately
- Intrinsic images are a convenient representation.
  - More informative than just the image
  - Less complex than fully reconstructing the scene

# Treat the separation as a labeling problem

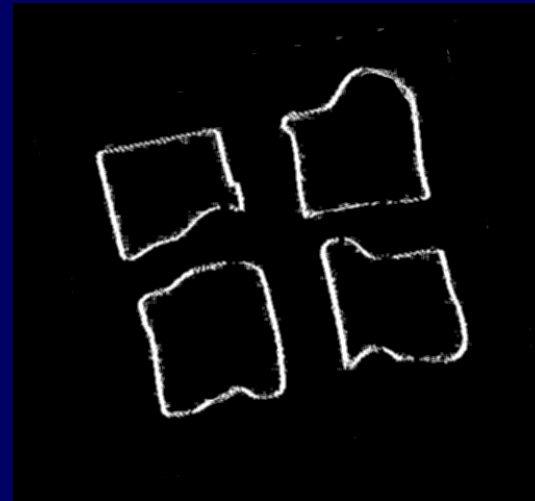
- We want to identify what parts of the image were caused by shape changes and what parts were caused by paint changes.
- But how represent that? Can't label pixels of the image as “shading” or “paint”.
- Solution: we'll label *gradients* in the image as being caused by shading or paint.
- Assume that image gradients have only one cause.

# Recovering Intrinsic Images

- Classify each  $x$  and  $y$  image derivative as being caused by *either* shading or a reflectance change
- Recover the intrinsic images by finding the least-squares reconstruction from each set of labeled derivatives. (Fast Matlab code for that available from Yair Weiss's web page.)



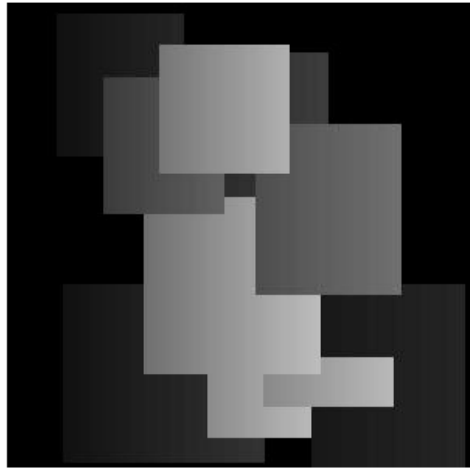
Original  $x$  derivative image



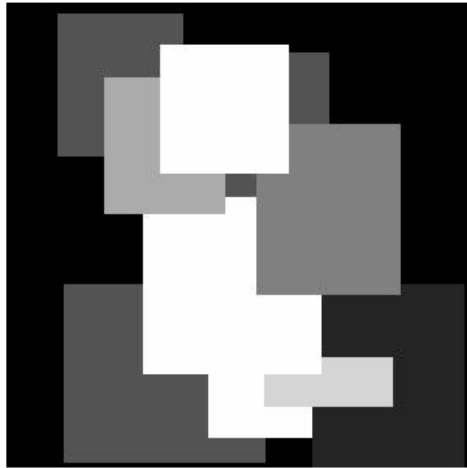
Classify each derivative  
(White is reflectance)



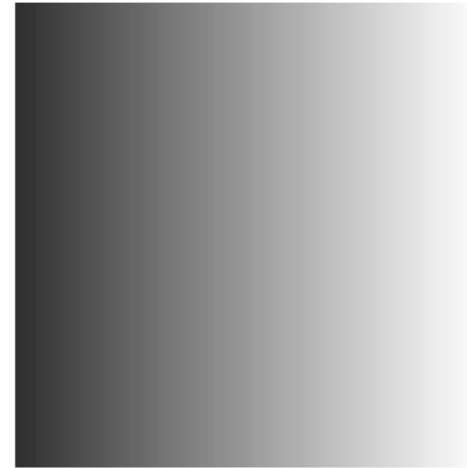
# Classic algorithm: Retinex



(a) An example of a Mondrian image.



(b) The reflectance pattern of the image.



(c) The illumination pattern of the image.

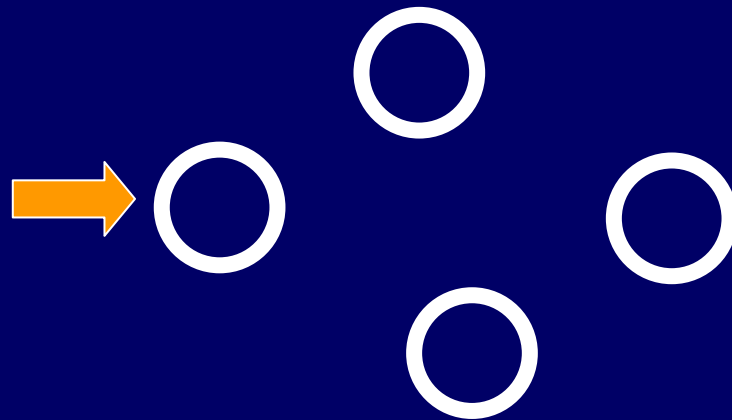
- Assume world is made up of Mondrian reflectance patterns and smooth illumination
- Can classify derivatives by the magnitude of the derivative

# Outline of our algorithm (and the rest of the talk)

- Gather local evidence for shading or reflectance
  - Color (chromaticity changes)
  - Form (local image patterns)
- Integrate the local evidence across space.
  - Assume a probabilistic model and use belief propagation.
- Show results on example images

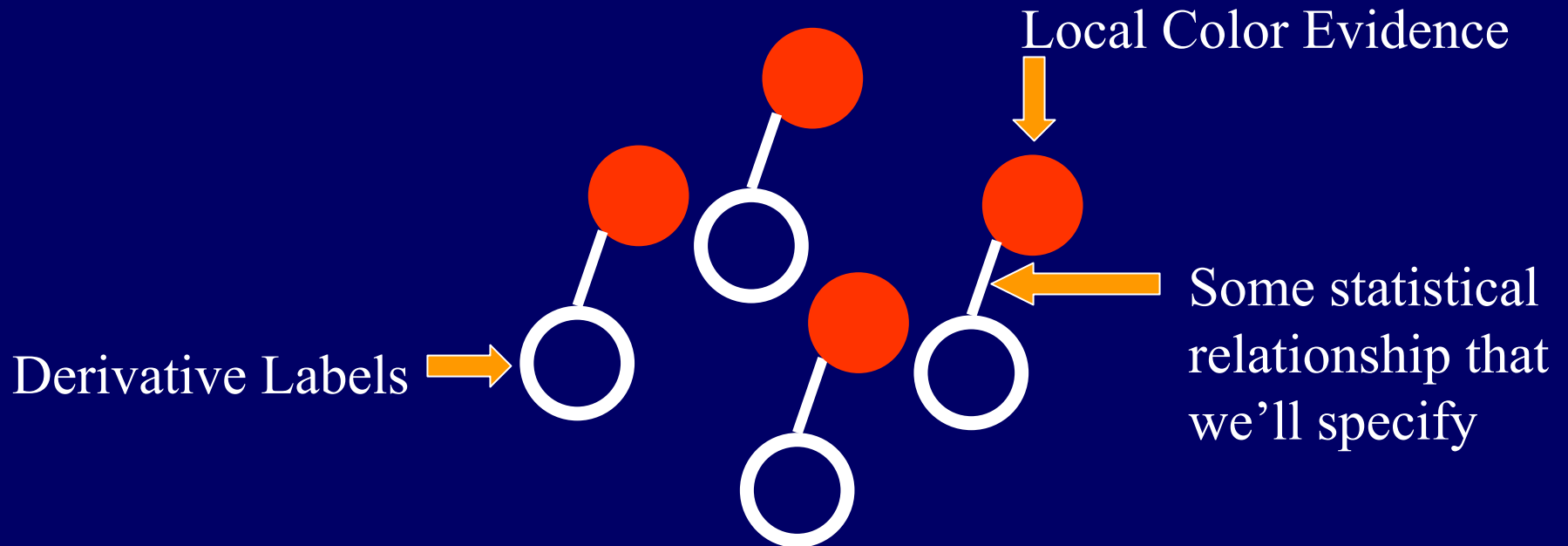
# Probabilistic graphical model

Unknown  
Derivative Labels  
(hidden random  
variables that we  
want to estimate)



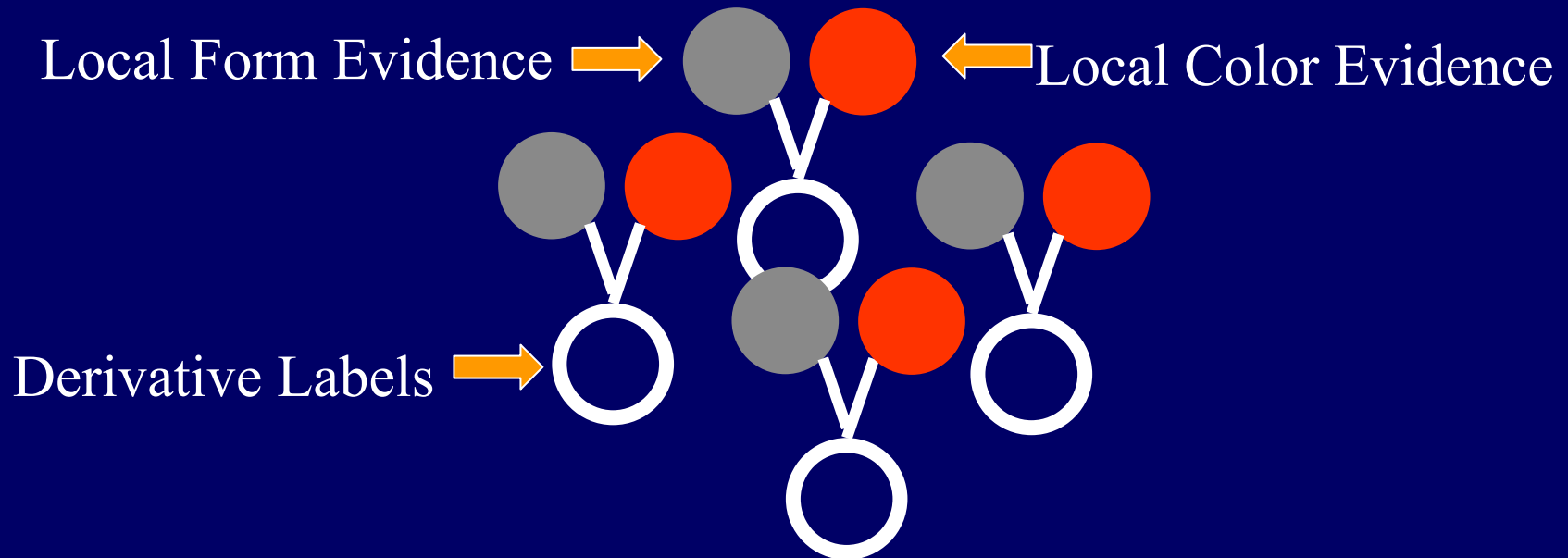
# Probabilistic graphical model

- Local evidence



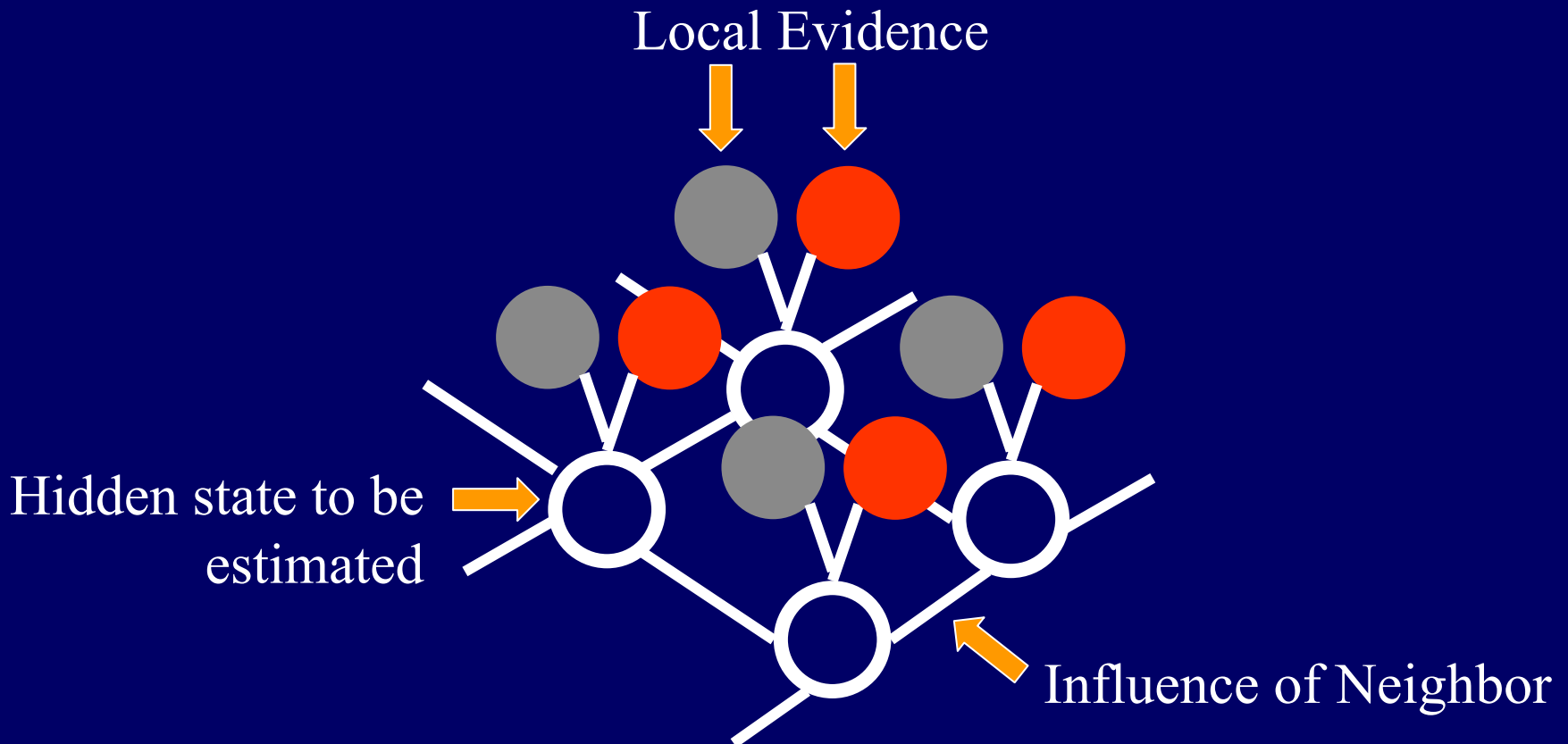
# Probabilistic graphical model

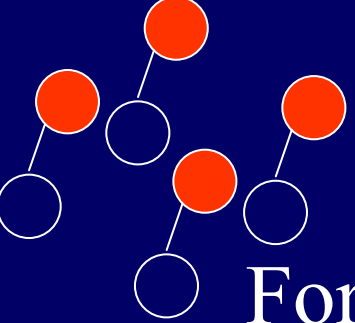
- Local evidence



# Probabilistic graphical model

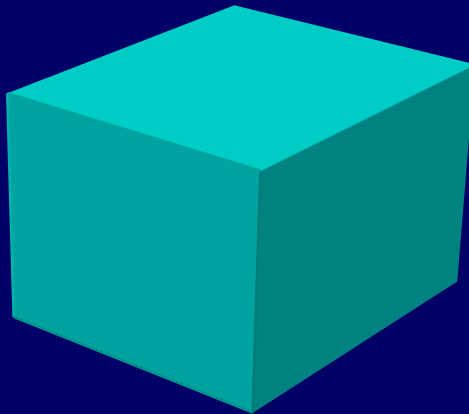
Propagate the local evidence in Markov Random Field.  
This strategy can be used to solve other low-level vision problems.





# Local Color Evidence

For a Lambertian surface, and simple illumination conditions, shading only affects the intensity of the color of a surface

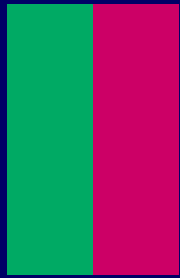


Notice that the chromaticity of each face is the same

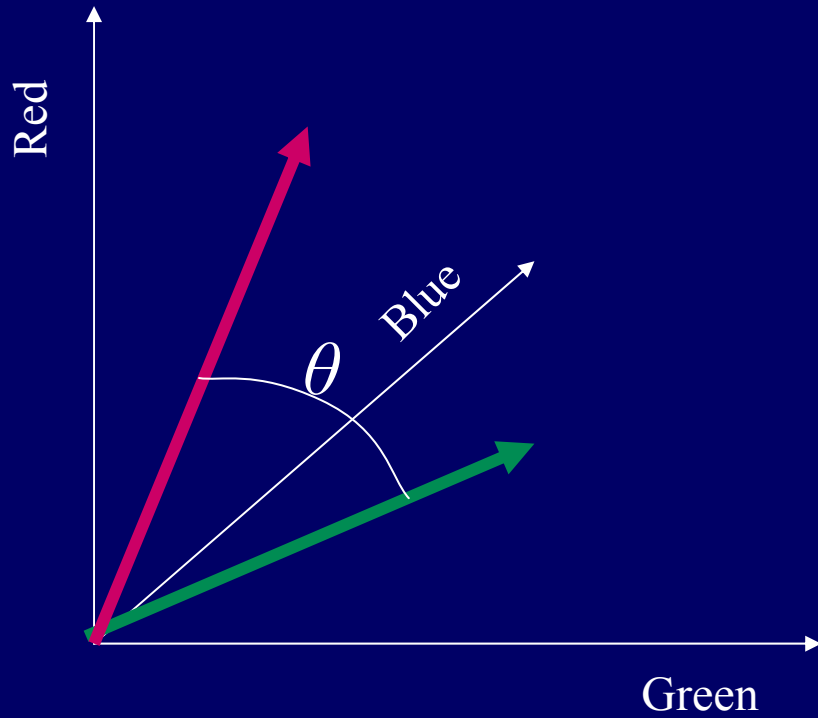
Any change in chromaticity must be a reflectance change

# Classifying Color Changes

## Chromaticity Changes



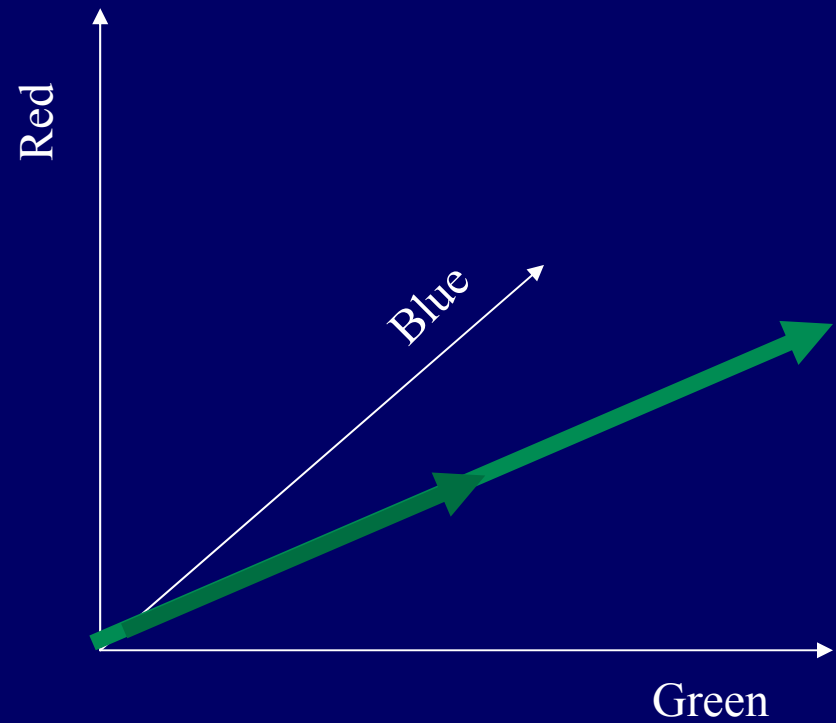
Angle between the two vectors,  $\theta$ , is greater than 0



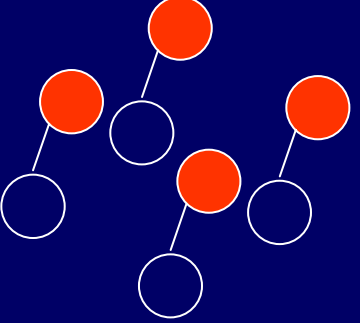
## Intensity Changes



Angle between two vectors,  $\theta$ , equals 0

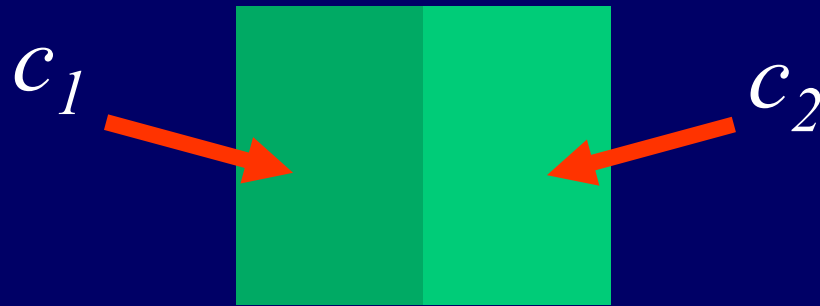






# Color Classification Algorithm

1. Normalize the two color vectors  $c_1$  and  $c_2$



2. If  $(c_1 \cdot c_2) > T$ 
  - Derivative is a reflectance change
  - Otherwise, label derivative as shading

# Result using only color information



(a) Original Image

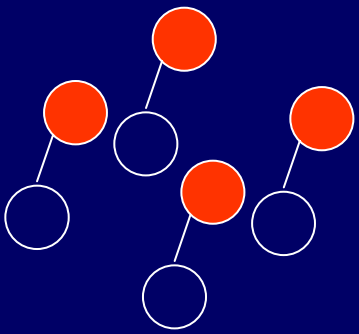


(b) Shading Image



(c) Reflectance Image

Figure 1: Example. Computed using Color Detector. To facilitate printing, the intrinsic images have been computed from a gray-scale version of the image. The color information is used solely for classifying derivatives in the gray-scale copy of the image.



# Results Using Only Color



Input

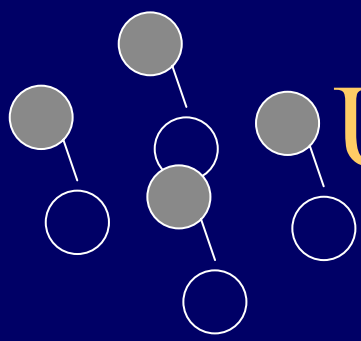


Shading

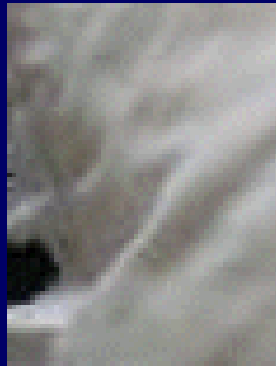
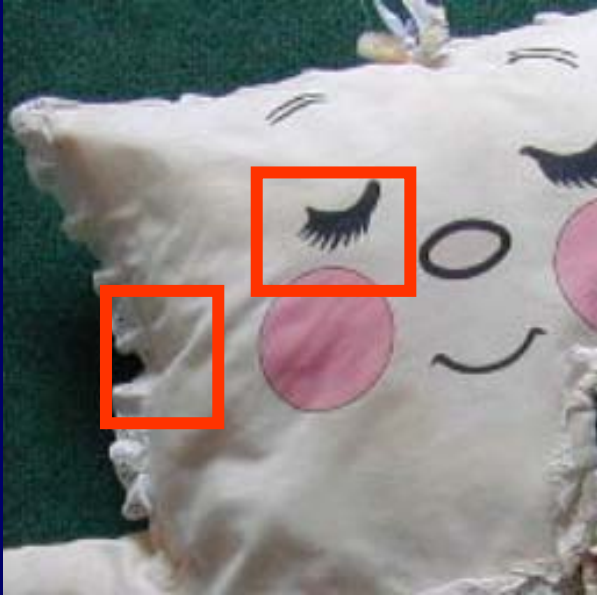


Reflectance

- Some changes are ambiguous
- Intensity changes could be caused by shading or reflectance
  - So we label it as “ambiguous”
  - Need more information



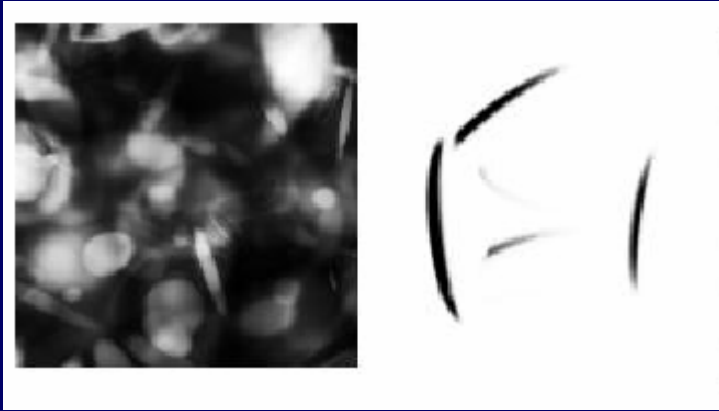
# Utilizing local intensity patterns



- The painted eye and the ripples of the fabric have very different appearances
- Can learn classifiers which take advantage of these differences

# Shading/paint training set

Examples from Reflectance Change Training Set



Examples from Shading Training Set



# From Weak to Strong Classifiers: Boosting

- Individually these weak classifiers aren't very good.
- Can be combined into a single strong classifier.
- Call the classification from a weak classifier  $h_i(x)$ .
- Each  $h_i(x)$  votes for the classification of  $x$  (-1 or 1).
- Those votes are weighted and combined to produce a final classification.

$$H(x) = \text{sign} \left( \sum_i \alpha_i h_i(x) \right)$$

# Using Local Intensity Patterns

- Create a set of weak classifiers that use a small image patch to classify each derivative
- The classification of a derivative:

$$\text{abs} \left[ \begin{array}{c} \text{[Image of a dog's head]} \\ I_p \end{array} * \begin{array}{c} \text{[Image of a Gabor filter]} \\ F \end{array} \right] > T$$

# AdaBoost

(Freund & Shapire '95)

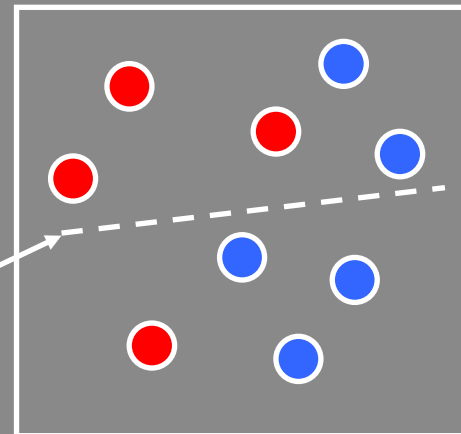
$$f(x) = \theta \left( \sum_t \alpha_t h_t(x) \right)$$

$$\alpha_t = 0.5 \log \left( \frac{\text{error}_t}{1 - \text{error}_t} \right)$$

$$w_t^i = \frac{w_{t-1}^i e^{-y_i \alpha_t h_t(x_i)}}{\sum_i w_{t-1}^i e^{-y_i \alpha_t h_t(x_i)}}$$

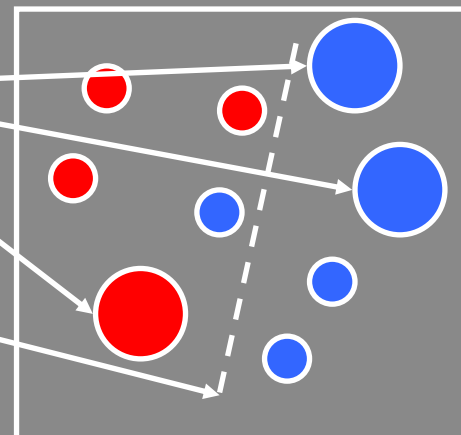
Initial uniform weight  
on training examples

weak classifier 1



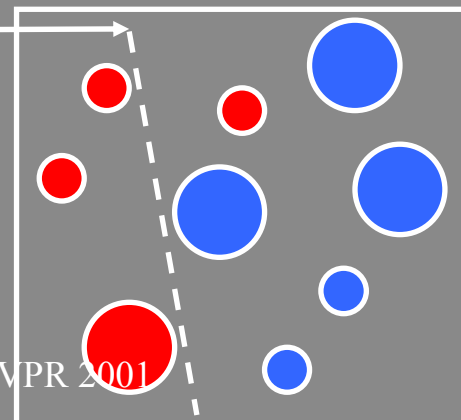
Incorrect classifications  
re-weighted more heavily

weak classifier 2



weak classifier 3

Final classifier is weighted  
combination of weak classifiers





# Use Newton's method to reduce classification cost over training set

Classification cost  $J = \sum_i e^{-y_i f(x_i)}$

Treat  $h_m$  as a perturbation, and expand loss  $J$  to second order in  $h_m$

$$\arg \min_{h_m} J(H+h_m) \simeq \arg \min_{h_m} \sum_{c=1}^C E \left[ e^{-z^c H(v,c)} (z^c - h_m)^2 \right]$$

cost  
function

classifier with  
perturbation

reweighting

squared error

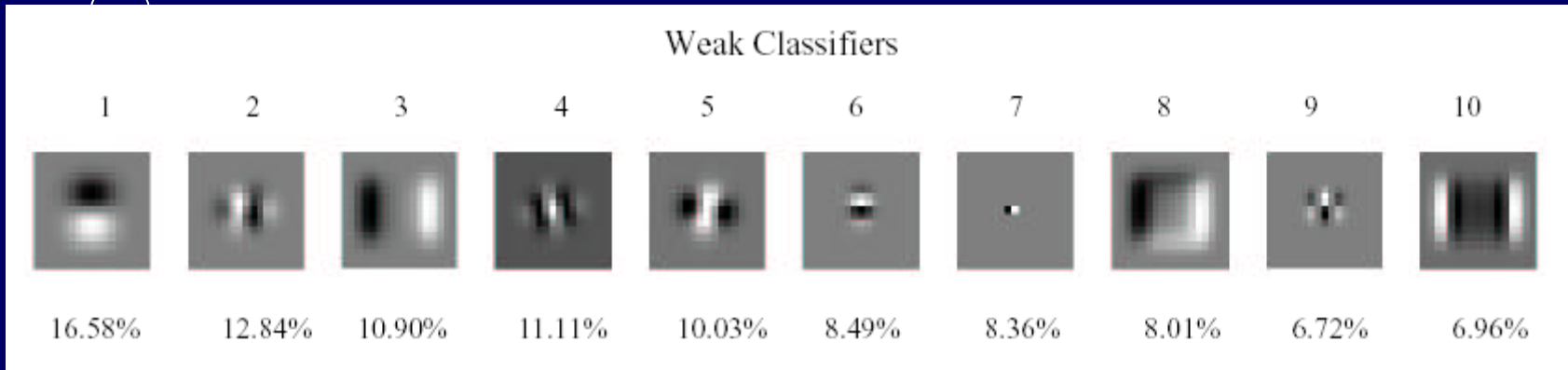
Adaboost demo...



# Learning the Classifiers

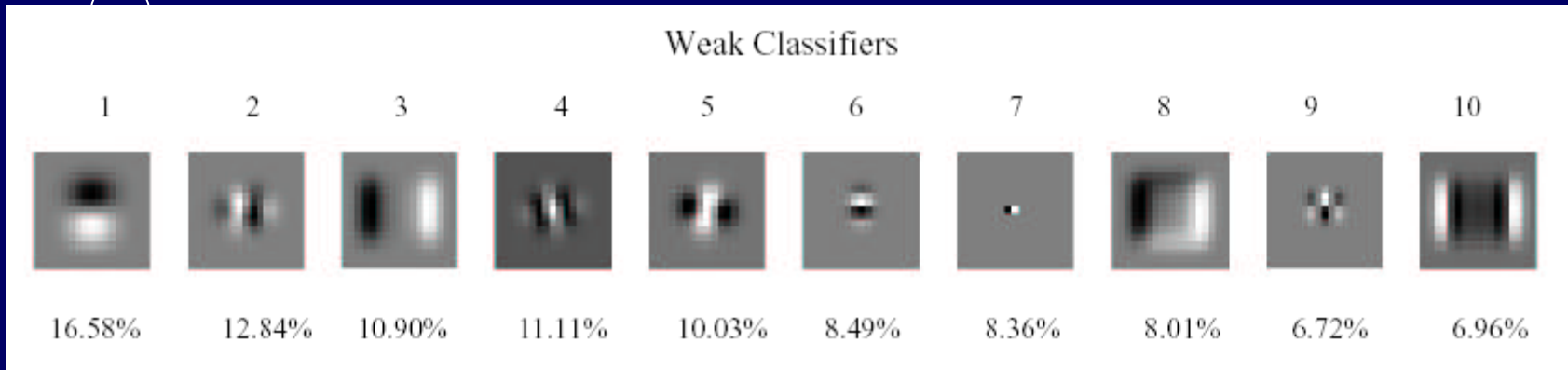
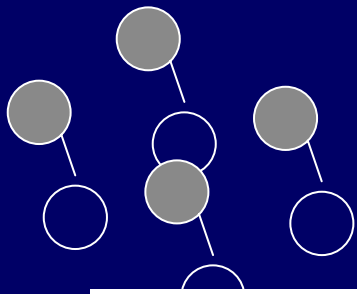
- The weak classifiers,  $h_i(x)$ , and the weights  $\alpha$  are chosen using the *AdaBoost* algorithm (see [www.boosting.org](http://www.boosting.org) for introduction).
- Train on synthetic images.
- Assume the light direction is from the right.
  
- Filters for the candidate weak classifiers—cascade two out of these 4 categories:
  - Multiple orientations of 1<sup>st</sup> derivative of Gaussian filters
  - Multiple orientations of 2<sup>nd</sup> derivative of Gaussian filters
  - Several widths of Gaussian filters
  - impulse

# Classifiers Chosen



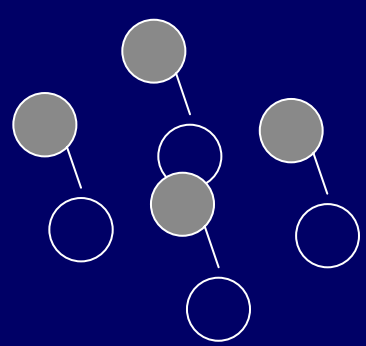
- These are the filters chosen for classifying vertical derivatives when the illumination comes from the top of the image.
- Each filter corresponds to one  $h_i(x)$

# Characterizing the learned classifiers



- Learned rules for all (but classifier 9) are: if rectified filter response is above a threshold, vote for reflectance.
- Yes, contrast and scale are all folded into that. We perform an overall contrast normalization on all images.
- Classifier 1 (the best performing single filter to apply) is an empirical justification for Retinex algorithm: treat small derivative values as shading.
- The other classifiers look for image structure oriented perpendicular to lighting direction as evidence for reflectance change.

# Results Using Only Form Information



Input Image

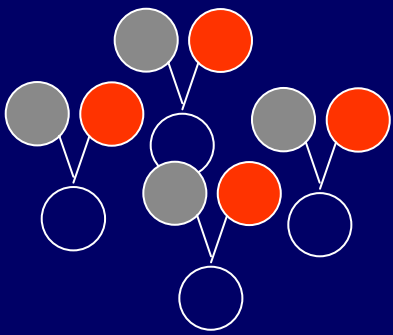


Shading Image

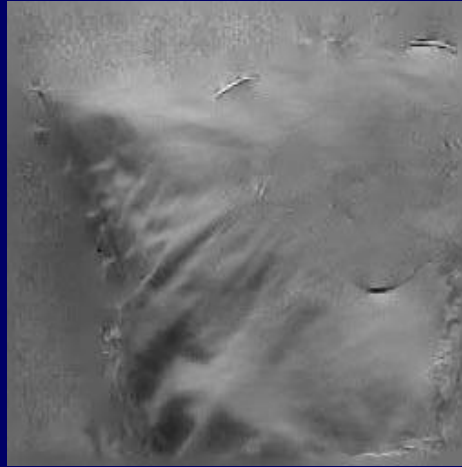


Reflectance Image

# Using Both Color and Form Information



Input image

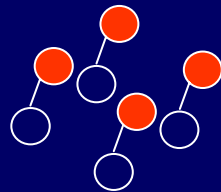


Shading

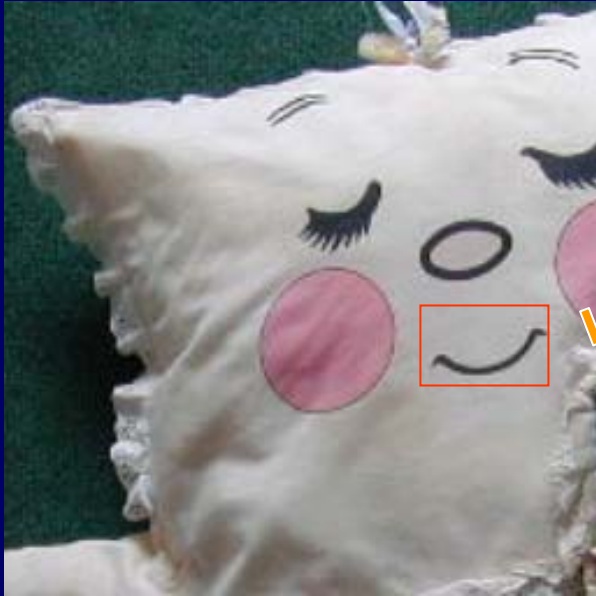


Reflectance

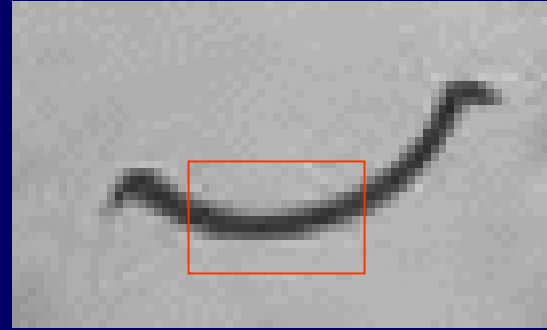
Results only using chromaticity.



# Some Areas of the Image Are Locally Ambiguous



Input



Is the change here better explained as



Shading

or



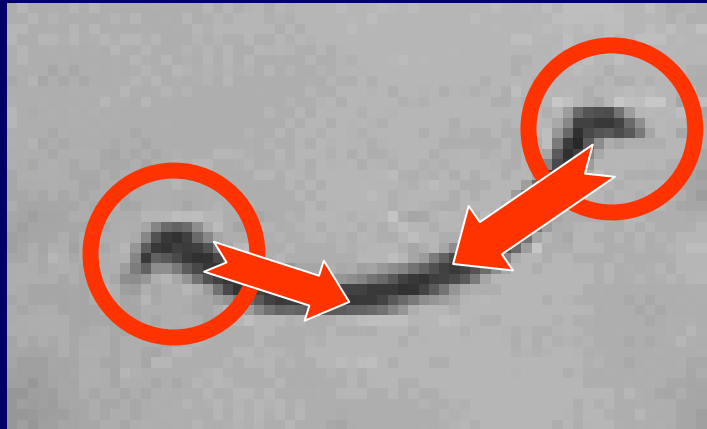
Reflectance

?



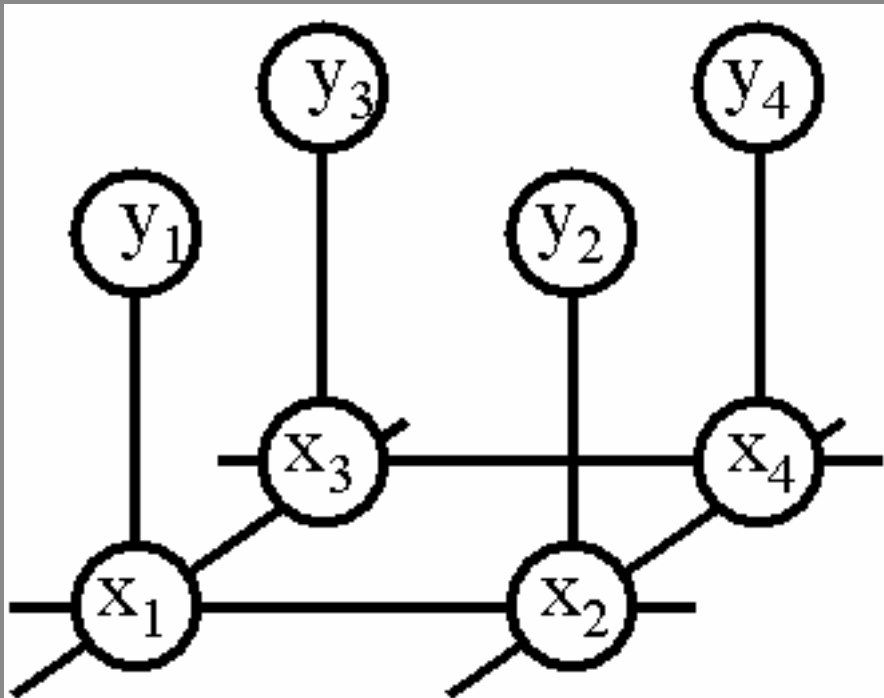
# Propagating Information

- Can disambiguate areas by propagating information from reliable areas of the image into ambiguous areas of the image



# Markov Random Fields

- Allows rich probabilistic models for images.
- But built in a local, modular way. Learn local relationships, get global effects out.



# Network joint probability

$$P(x, y) = \frac{1}{Z} \prod_{i,j} \Psi(x_i, x_j) \prod_i \Phi(x_i, y_i)$$

scene image

Scene-scene compatibility function

neighboring scene nodes

Image-scene compatibility function

local observations

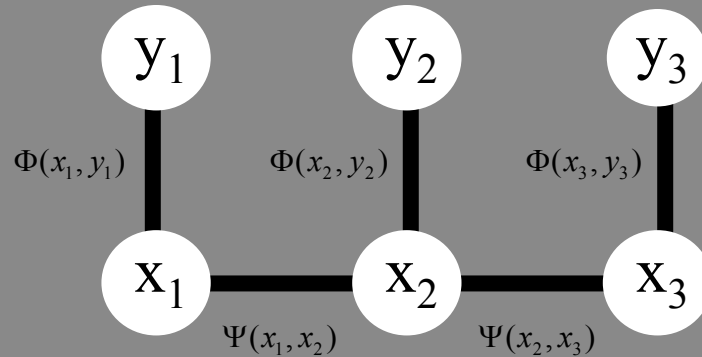
The diagram illustrates the network joint probability equation  $P(x, y) = \frac{1}{Z} \prod_{i,j} \Psi(x_i, x_j) \prod_i \Phi(x_i, y_i)$ . Annotations include: 'scene image' with arrows pointing to  $x$  and  $y$ ; 'Scene-scene compatibility function' with an arrow pointing to  $\Psi(x_i, x_j)$ ; 'neighboring scene nodes' with a bracket under  $x_i, x_j$  and an arrow pointing to the product; 'Image-scene compatibility function' with an arrow pointing to  $\Phi(x_i, y_i)$ ; and 'local observations' with an arrow pointing to  $y_i$ .

# Inference in MRF's

- Inference in MRF's. (given observations, how infer the hidden states?)
  - Gibbs sampling, simulated annealing
  - Iterated conditional modes (ICM)
  - Variational methods
  - Belief propagation
  - Graph cuts

See [www.ai.mit.edu/people/wtf/learningvision](http://www.ai.mit.edu/people/wtf/learningvision) for a tutorial on learning and vision.

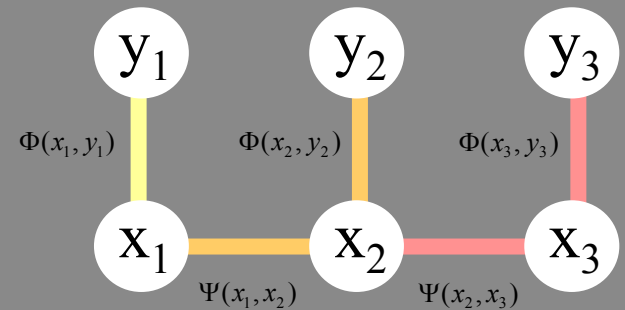
# Derivation of belief propagation



$$x_{1MMSE} = \underset{x_1}{\text{mean}} \underset{x_2}{\text{sum}} \underset{x_3}{\text{sum}} P(x_1, x_2, x_3, y_1, y_2, y_3)$$

# The posterior factorizes

$$\begin{aligned}x_{1MMSE} &= \underset{x_1}{\text{mean}} \underset{x_2}{\text{sum}} \underset{x_3}{\text{sum}} P(x_1, x_2, x_3, y_1, y_2, y_3) \\ &= \underset{x_1}{\text{mean}} \underset{x_2}{\text{sum}} \underset{x_3}{\text{sum}} \Phi(x_1, y_1) \\ &\quad \Phi(x_2, y_2) \Psi(x_1, x_2) \\ &\quad \Phi(x_3, y_3) \Psi(x_2, x_3)\end{aligned}$$



# Propagation rules

$$x_{1MMSE} = \underset{x_1}{\text{mean}} \underset{x_2}{\text{sum}} \underset{x_3}{\text{sum}} P(x_1, x_2, x_3, y_1, y_2, y_3)$$

$$x_{1MMSE} = \underset{x_1}{\text{mean}} \underset{x_2}{\text{sum}} \underset{x_3}{\text{sum}} \Phi(x_1, y_1)$$

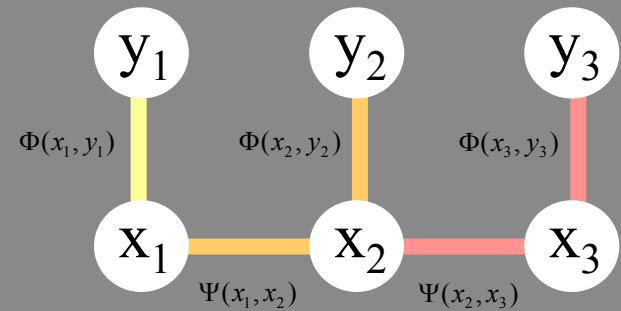
$$\Phi(x_2, y_2) \Psi(x_1, x_2)$$

$$\Phi(x_3, y_3) \Psi(x_2, x_3)$$

$$x_{1MMSE} = \underset{x_1}{\text{mean}} \Phi(x_1, y_1)$$

$$\underset{x_2}{\text{sum}} \Phi(x_2, y_2) \Psi(x_1, x_2)$$

$$\underset{x_3}{\text{sum}} \Phi(x_3, y_3) \Psi(x_2, x_3)$$



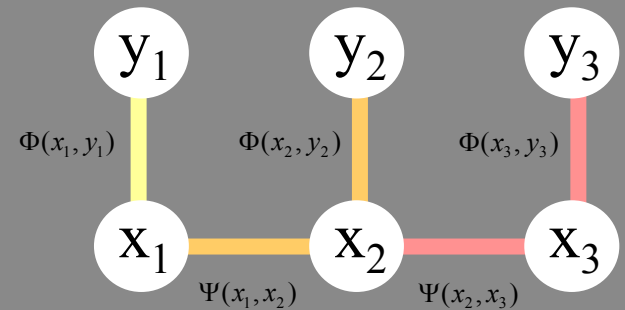
# Propagation rules

$$x_{1MMSE} = \text{mean}_{x_1} \Phi(x_1, y_1)$$

$$\text{sum}_{x_2} \Phi(x_2, y_2) \Psi(x_1, x_2)$$

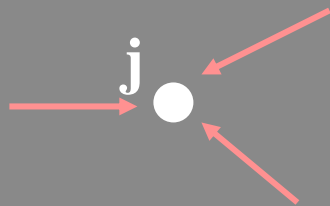
$$\text{sum}_{x_3} \Phi(x_3, y_3) \Psi(x_2, x_3)$$

$$M_1^2(x_1) = \text{sum}_{x_2} \Psi(x_1, x_2) \Phi(x_2, y_2) M_2^3(x_2)$$



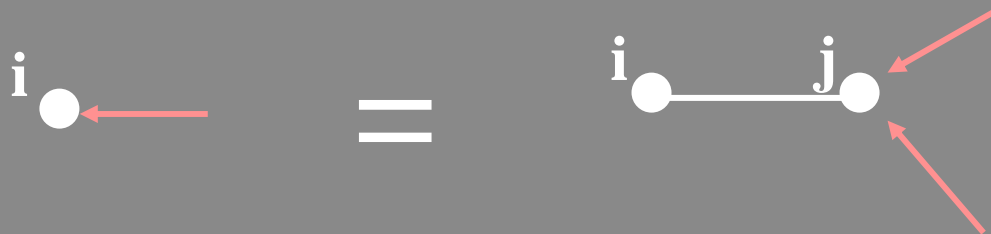


# Belief, and message updates



$$b_j(x_j) = \prod_{k \in N(j)} M_j^k(x_j)$$

$$M_i^j(x_i) = \sum_{x_j} \psi_{ij}(x_i, x_j) \prod_{k \in N(j) \setminus i} M_j^k(x_j)$$

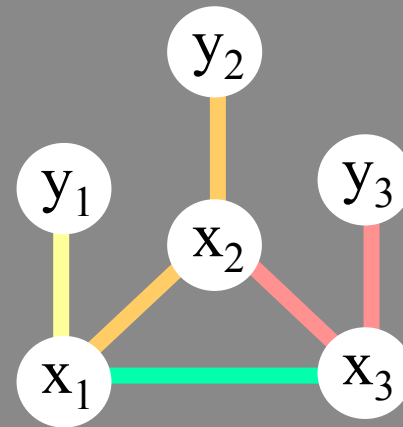


# Optimal solution in a chain or tree: Belief Propagation

- “Do the right thing” Bayesian algorithm.
- For Gaussian random variables over time:  
Kalman filter.
- For hidden Markov models:  
forward/backward algorithm (and MAP  
variant is Viterbi).

# No factorization with loops!

$$x_{1MMSE} = \underset{x_1}{\text{mean}} \Phi(x_1, y_1) \underset{x_2}{\text{sum}} \Phi(x_2, y_2) \Psi(x_1, x_2) \underset{x_3}{\text{sum}} \Phi(x_3, y_3) \Psi(x_2, x_3) \Psi(x_1, x_3)$$

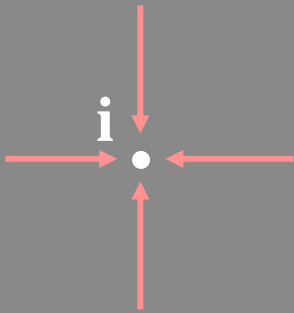


# Justification for running belief propagation in networks with loops

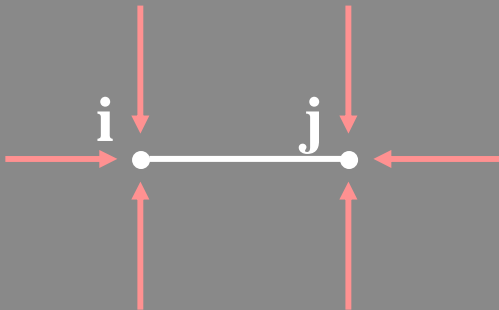
- Experimental results:
  - Error-correcting codes [Kschischang and Frey, 1998;](#)  
[McEliece et al., 1998](#)
  - Vision applications [Freeman and Pasztor, 1999;](#)  
[Frey, 2000](#)
- Theoretical results:
  - For Gaussian processes, means are correct.  
[Weiss and Freeman, 1999](#)
  - Large neighborhood local maximum for MAP.  
[Weiss and Freeman, 2000](#)
  - Equivalent to Bethe approx. in statistical physics.  
[Yedidia, Freeman, and Weiss, 2000](#)
  - Tree-weighted reparameterization  
[Wainwright, Willsky, Jaakkola, 2001](#)

# Region marginal probabilities

$$b_i(x_i) = k \Phi(x_i) \prod_{k \in N(i)} M_i^k(x_i)$$

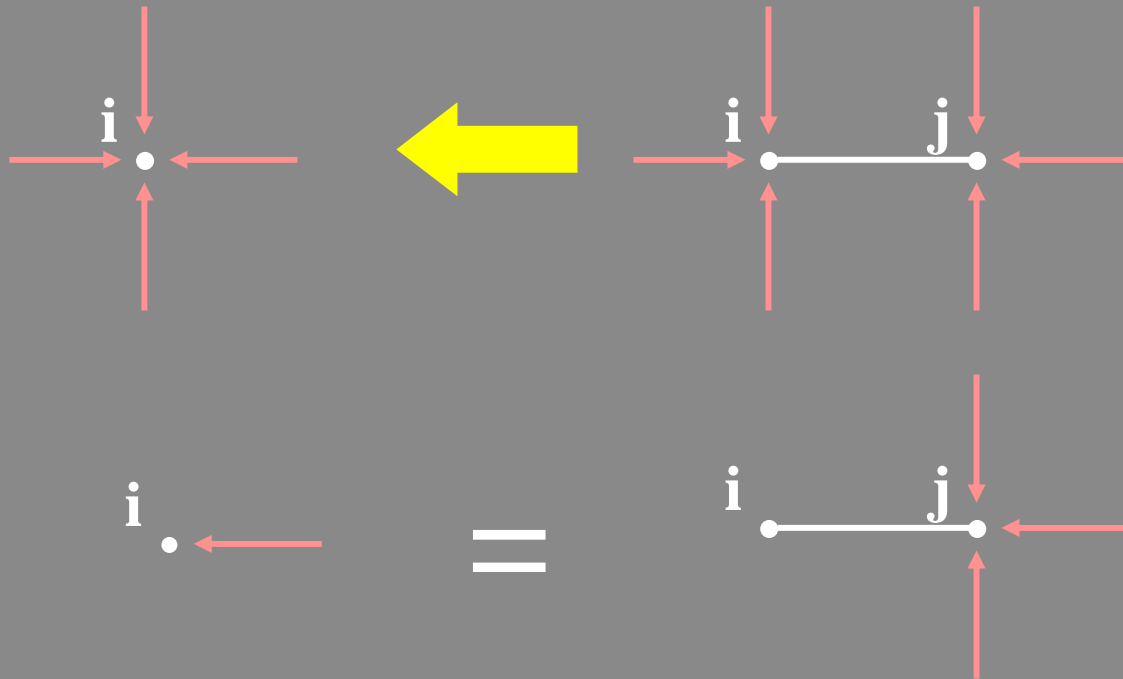


$$b_{ij}(x_i, x_j) = k \Psi(x_i, x_j) \prod_{k \in N(i) \setminus j} M_i^k(x_i) \prod_{k \in N(j) \setminus i} M_j^k(x_j)$$



# Belief propagation equations

Belief propagation equations come from the marginalization constraints.



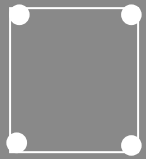
$$M_i^j(x_i) = \sum_{x_j} \psi_{ij}(x_i, x_j) \prod_{k \in N(j) \setminus i} M_j^k(x_j)$$

# Results from Bethe free energy analysis

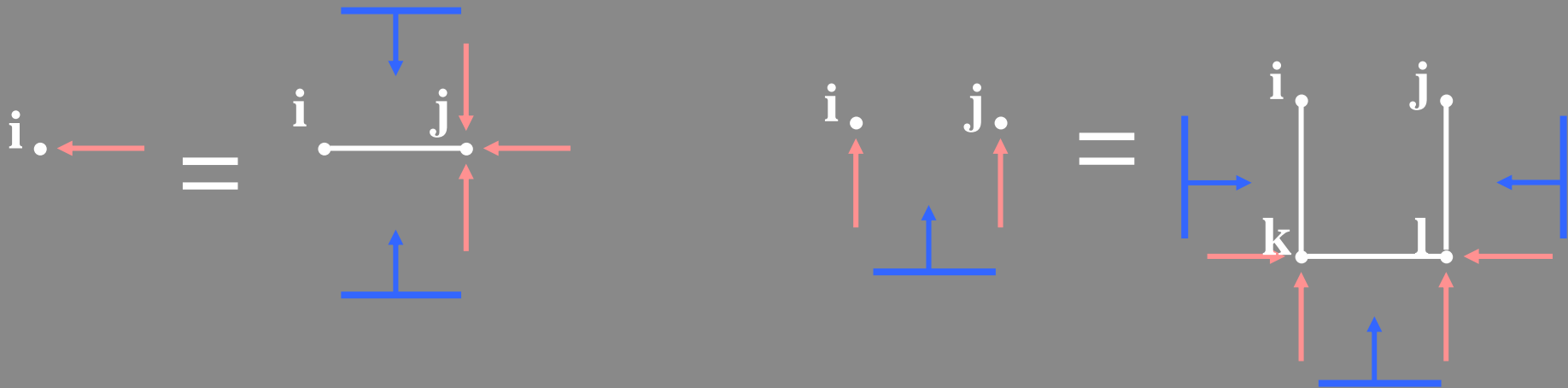
- Fixed point of belief propagation equations iff. Bethe approximation stationary point.
- Belief propagation always has a fixed point.
- Connection with variational methods for inference: both minimize approximations to Free Energy,
  - **variational**: usually use primal variables.
  - **belief propagation**: fixed pt. eqs. for dual variables.
- Kikuchi approximations lead to more accurate belief propagation algorithms.
- Other Bethe free energy minimization algorithms—  
Yuille, Welling, etc.

# Kikuchi message-update rules

Groups of nodes send messages to other groups of nodes.



Typical choice for Kikuchi cluster.

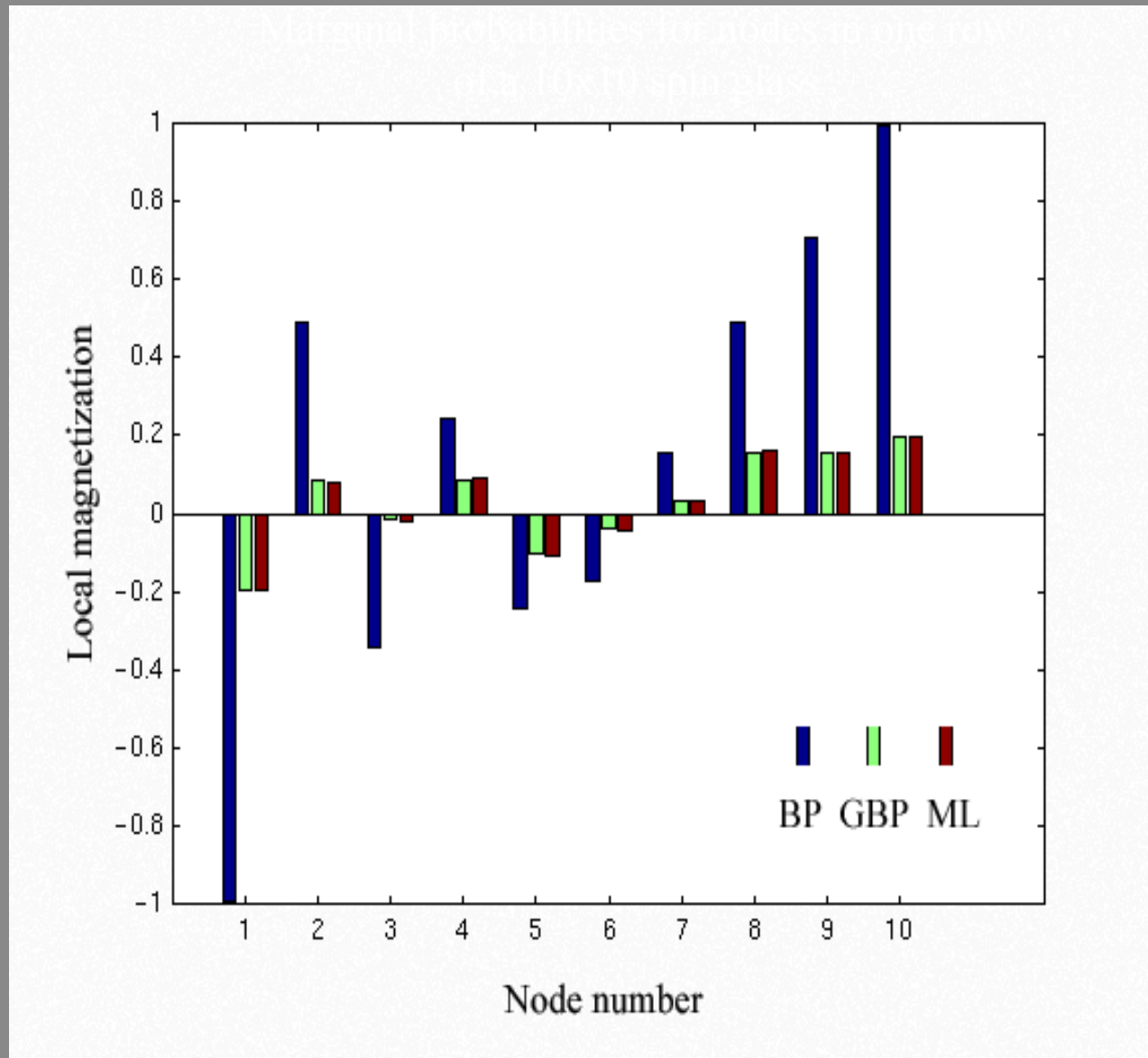


Update for  
messages 

Update for  
messages 



# Generalized belief propagation

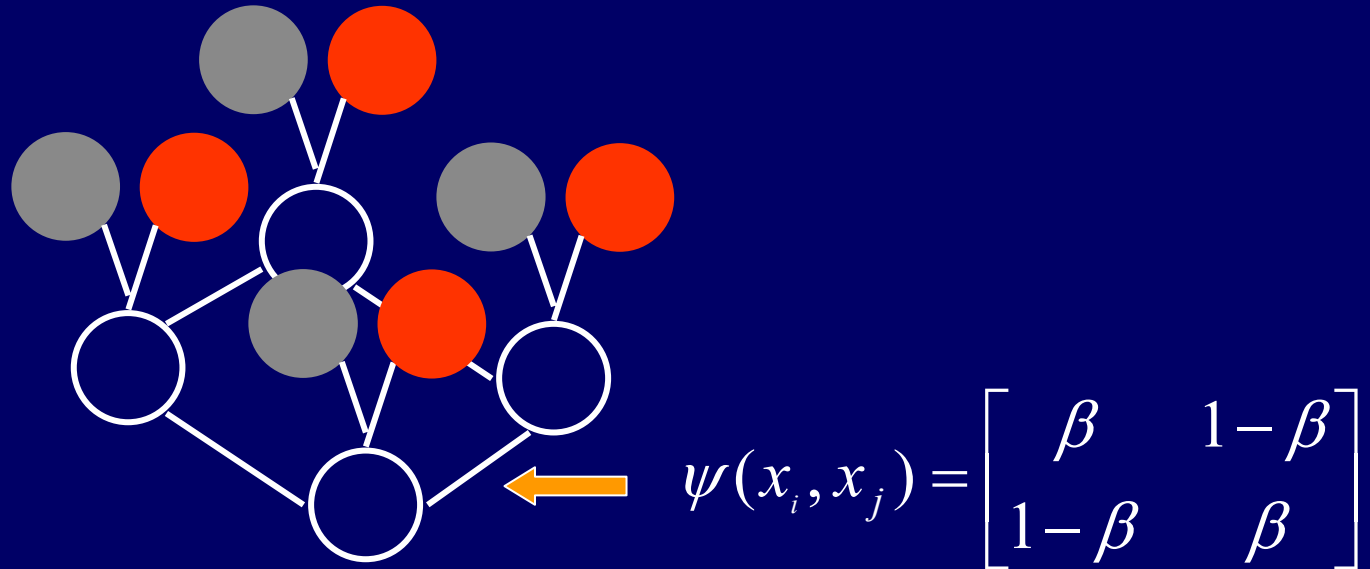


# References on BP and GBP

- J. Pearl, 1985
  - classic
- Y. Weiss, NIPS 1998
  - Inspires application of BP to vision
- W. Freeman et al learning low-level vision, IJCV 1999
  - Applications in super-resolution, motion, shading/paint discrimination
- H. Shum et al, ECCV 2002
  - Application to stereo
- M. Wainwright, T. Jaakkola, A. Willsky
  - Reparameterization version
- J. Yedidia, AAAI 2000
  - The clearest place to read about BP and GBP.

# Propagating Information

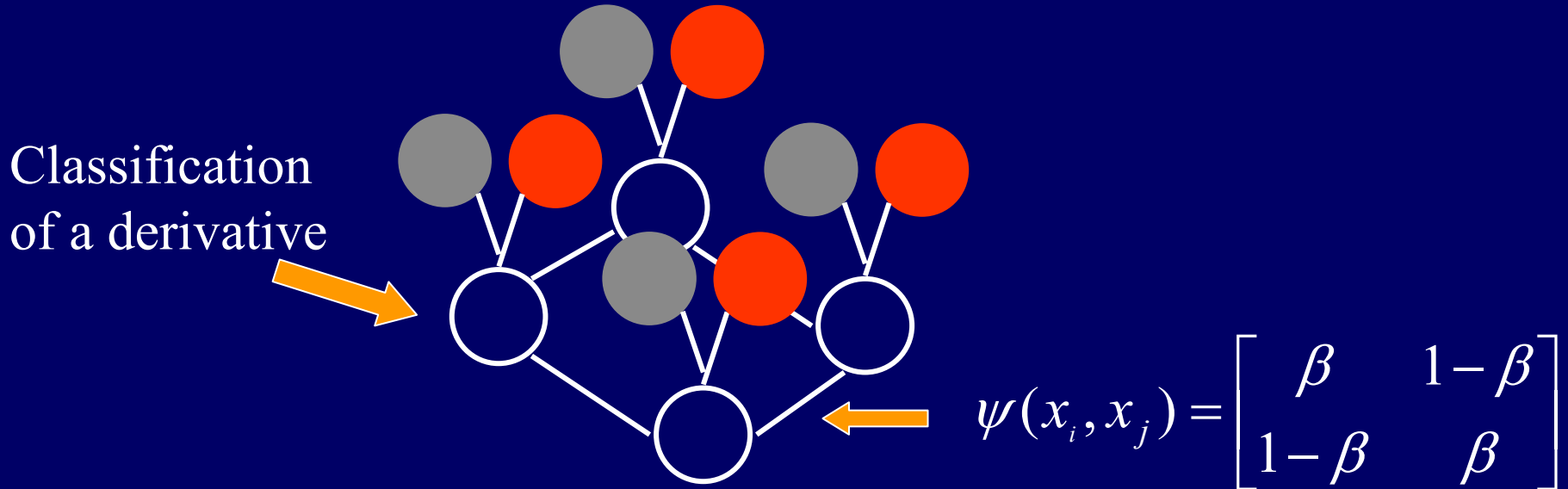
- Extend probability model to consider relationship between neighboring derivatives



- $\beta$  controls how necessary it is for two nodes to have the same label
- Use Generalized Belief Propagation to infer labels. (Yedidia et al. 2000)

# Propagating Information

- Extend probability model to consider relationship between neighboring derivatives



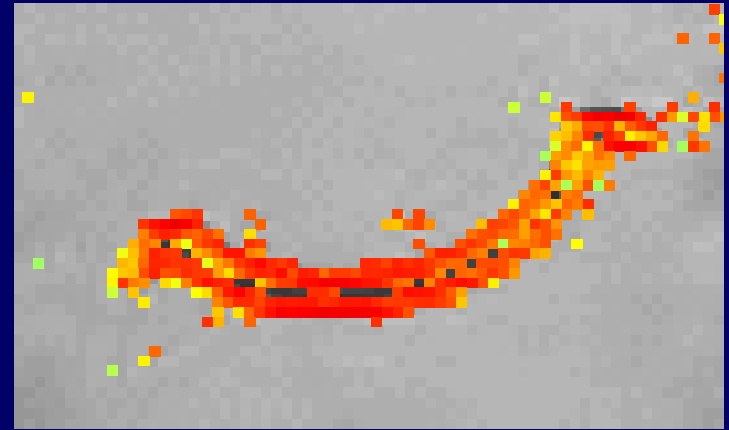
- $\beta$  controls how necessary it is for two nodes to have the same label
- Use Generalized Belief Propagation to infer labels. (Yedidia et al. 2000)

# Setting Compatibilities

- All compatibilities have form

$$\psi(x_i, x_j) = \begin{bmatrix} \beta & 1 - \beta \\ 1 - \beta & \beta \end{bmatrix}$$

- Assume derivatives along image contours should have the same label
- Set  $\beta$  close to 1 when the derivatives are along a contour
- Set  $\beta$  to 0.5 if no contour is present
- $\beta$  is computed from a linear function of the image gradient's magnitude and orientation



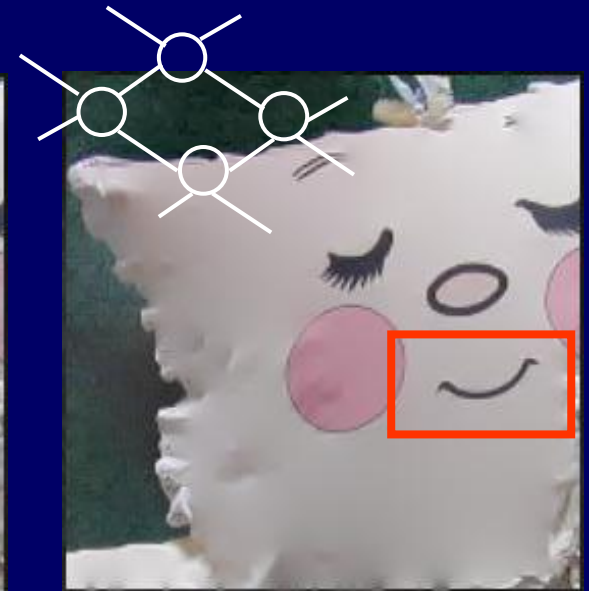
# Improvements Using Propagation



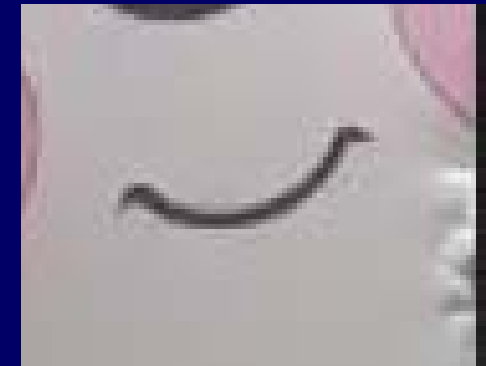
Input Image



Reflectance Image  
Without Propagation



Reflectance Image  
With Propagation



More results...

# J. J. Gibson, 1968

## The Senses Considered as Perceptual Systems

James J. Gibson | *Cornell University*

ple of differing reflectances or surfaces may combine to cause borders in the ambient array. That is, they may cooperate, providing a double assurance of a border; or either may cause a border independently of the other (see Figure 10.13). For example, one kind of wallpaper may structure light only by being embossed, having no differences of color or printed pattern. Another kind may structure light only by differences in pigment or ink, having no appreciable roughness of texture. But a common sort of wallpaper has both embossing and printing in coincidence. The same thing happens in nature with surfaces of rock and vegetation. One or the other kind of optical structuring, if not both, is practically guaranteed in nature. For this reason the information for the existence of a surface as against empty air is usually trustworthy.

Conceivably these two principles could work in exact opposition to one another. It is theoretically possible to construct a room which would be invisible at a fixed monocular station-point. It could be done with very smooth unpatterned surfaces by a precise counterbalancing of inclination and reflectance so that all borders in the array corresponding to the junctions of planes in the room disappeared. The room would simply

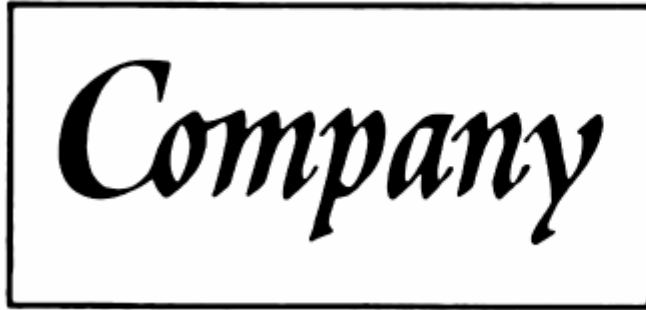


Figure 10.13 Embossing without printing and printing without embossing. Letters can be made by altering only the inclination of a paper surface or by altering only the reflectance. (Photo by Benjamin Morse)

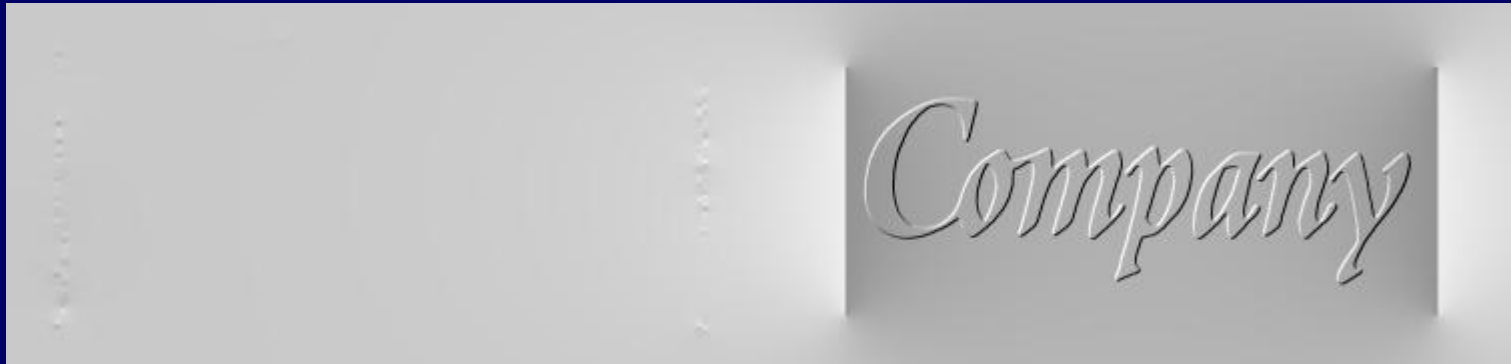


# Gibson image

original



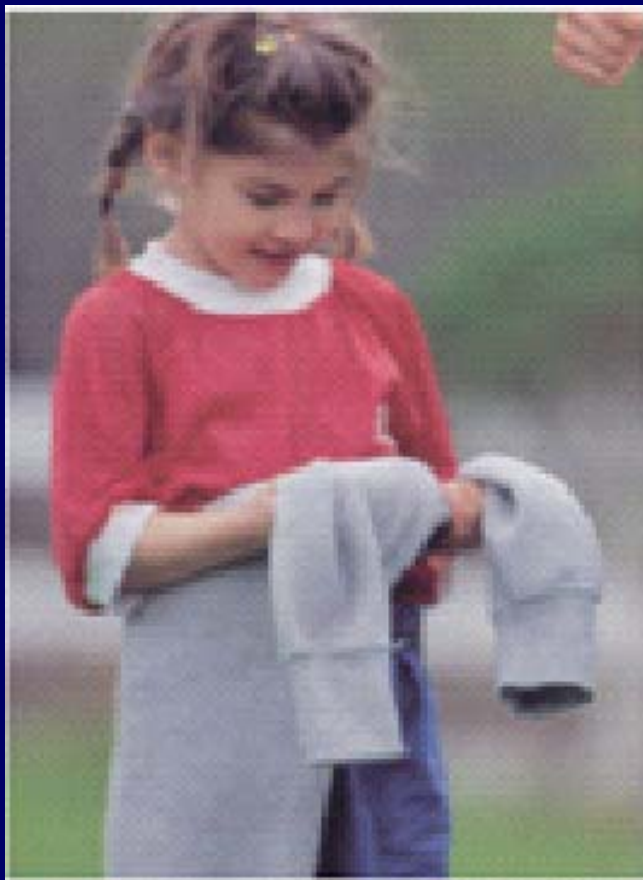
shading



reflectance



# Clothing catalog image



Original

(from LL Bean catalog)

Shading

Reflectance

# Sign at train crossing



# Separated images



original



shading



reflectance

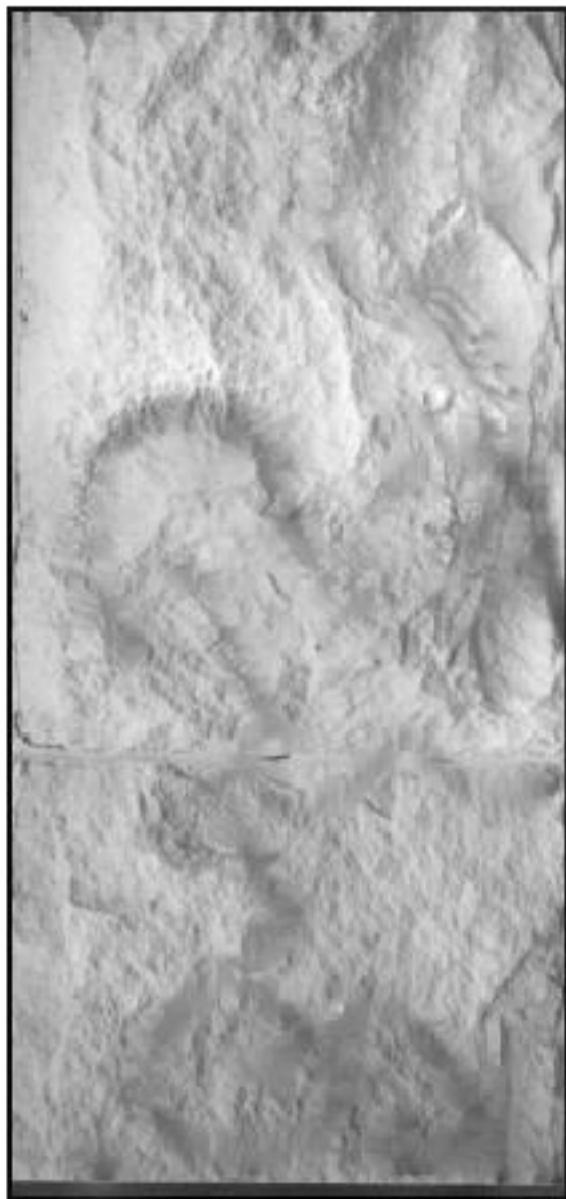
Note: color cue omitted for  
this processing



(a) Original Image



(a) Original Image

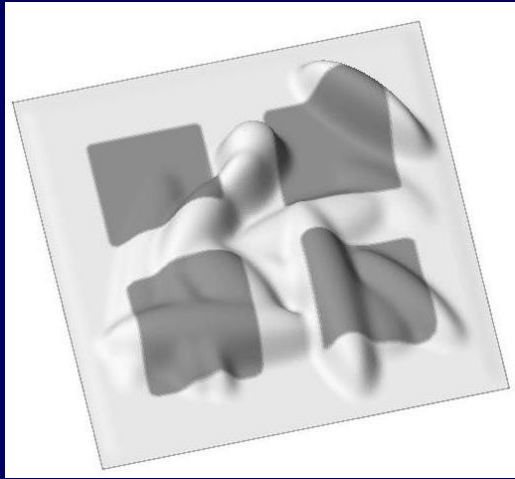


(b) Shape Image



(c) Reflectance Image

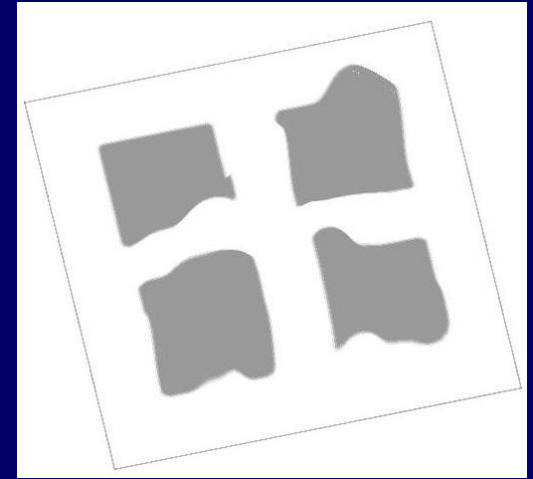
# Finally, returning to our explanatory example...



input

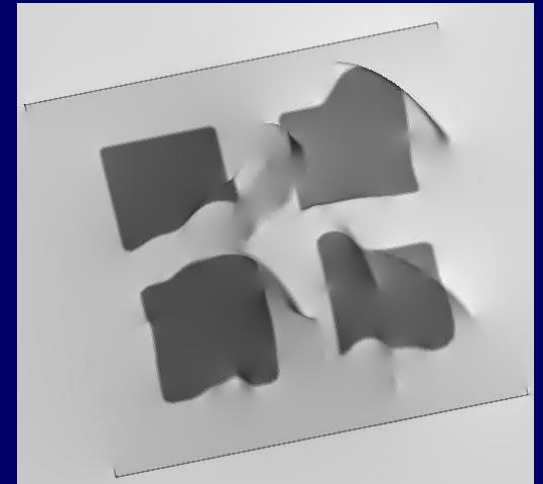


Ideal shading image



Ideal paint image

Algorithm output.  
Note: occluding edges  
labeled as reflectance.



# Summary

- Sought an algorithm to separate shading and reflectance image components.
- Achieved good results on real images.
- Classify local derivatives
  - Learn classifiers for derivatives based on local evidence, both color and form.
- Propagate local evidence to improve classifications.

For manuscripts, see [www.ai.mit.edu/people/wtf/](http://www.ai.mit.edu/people/wtf/)