### Foundations of Shared Memory

Nir Shavit Subing for N. Lynch Fall 2003

### Fundamentals

- What is the weakest form of communication that supports mutual exclusion?
- What is the weakest shared object that allows shared-memory computation?

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Shavit

### Alan Turing

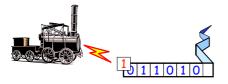


- First (and still the best!) mathematical model of sequential computation
- First to distinguish between what is and is not computable

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# Turing Machine Finite State Controller Reads and writes Infinite tape 1 1 1 0 1 0 © 2003 Herlihy & Shavit 4

### Turing Computability



- · Mathematical model of computation
- · What is (and is not) computable
- Efficiency (mostly) irrelevant

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### Shared-Memory Computability?

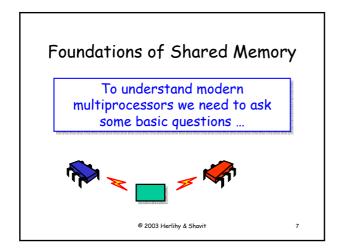


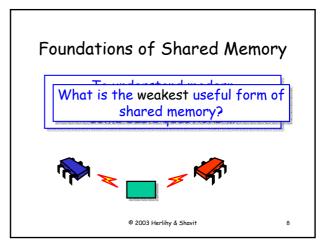


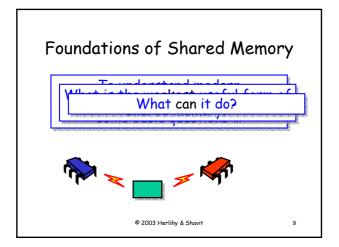
- Mathematical model of concurrent computation
- · What is (and is not) concurrently computable
- · Efficiency (mostly) irrelevant

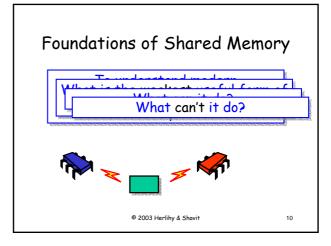
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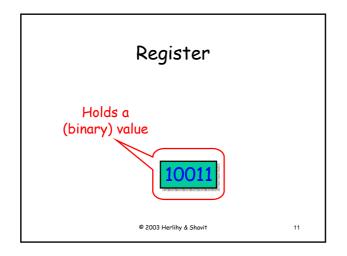
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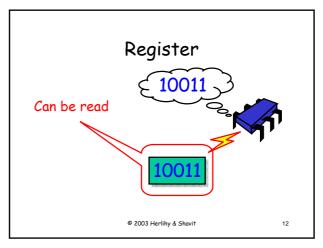


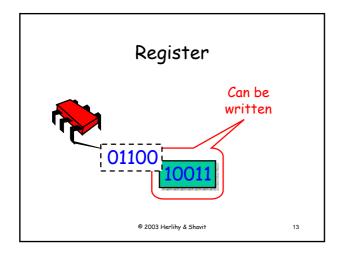












```
Registers

public interface BooleanRegister {
    public boolean read();
    public void write(boolean v);
}

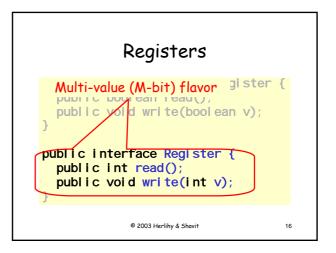
public interface Register {
    public int read();
    public void write(int v);
}
```

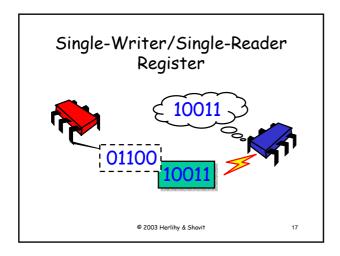
```
Registers

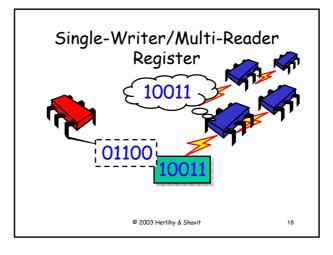
public interface Bool eanRegister {
    public bool ean read();
    public void write(bool ean v);

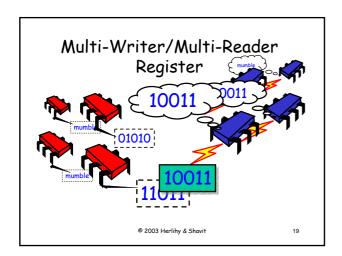
public interface Register {
    public int read();
    public void write(lat v);
    }

    Boolean (1-bit) flavor
```







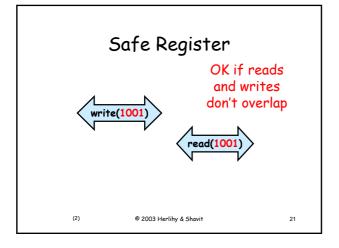


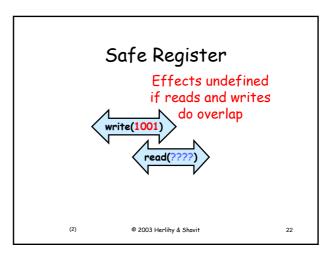
### Jargon Watch

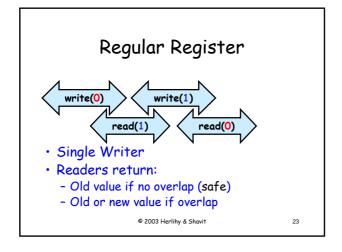
- · SRSW
  - Single-reader single-writer
- · MRSW
  - Multi-reader single-writer
- · MRMW
  - Multi-reader multi-writer

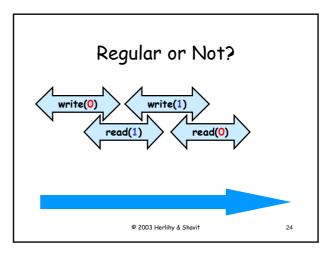
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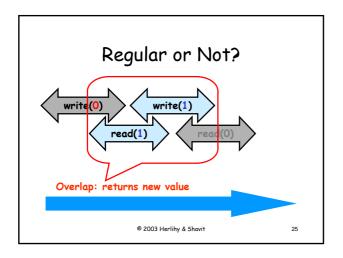
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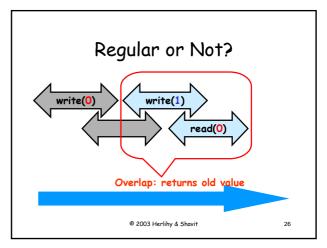


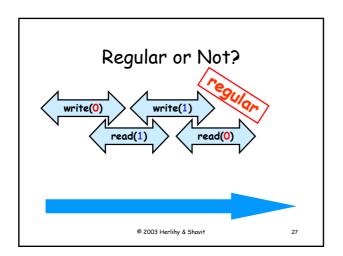


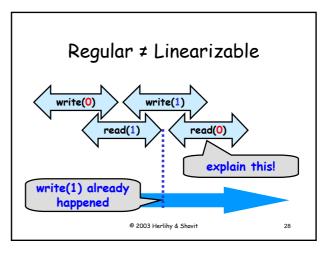


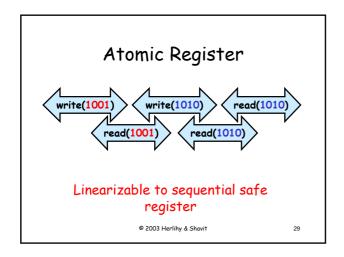


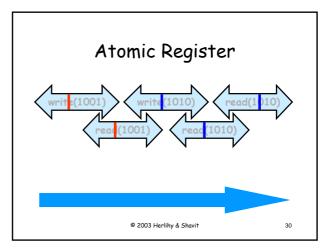


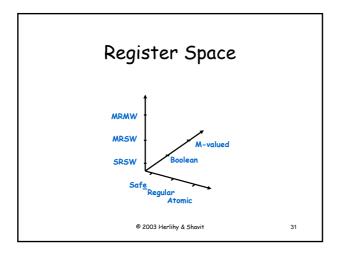








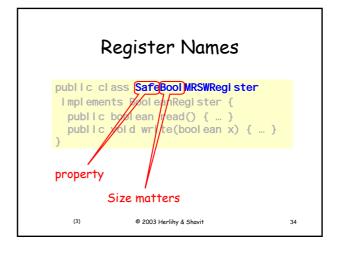


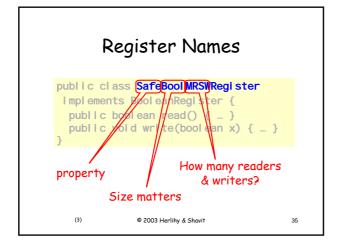


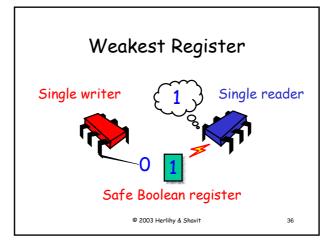
```
Register Names

public class SafeBool MRSWRegister
implements Bool eanRegister {
  public bool ean read() { ... }
  public void write(bool ean x) { ... }
}
```

## Public class SafeBool MRSWRegister Implements VoleanRegister { public by lean read() { ... } public old write(boolean x) { ... } } property (3) © 2003 Herlihy & Shavit 33







### Results • From SRSW safe Boolean register - All the other registers - Mutual exclusion • But not everything! - Consensus hierarchy The really cool stuff ...

### Locking within Registers

- Not interesting to rely on mutual exclusion in register constructions
- We want registers to implement mutual exclusion!
- No fun to use mutual exclusion to implement itself!

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### Wait-Free Implementations

Definition: An object implementation is *wait-free* if every thread completes a method in a finite number of steps

### No mutual exclusion

- Thread could halt in critical section
- Build mutual exclusion from registers

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### Road Map

- · SRSW safe Boolean
- · MRSW safe Boolean
- · MRSW regular Boolean
- MRSW regular
- · MRSW atomic | omitted
- · MRMW atomic
- Atomic snapshot

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### Road Map

- · SRSW safe Boolean
- · MRSW safe Boolean
- · MRSW regular Boolean
- · MRSW regular
- · MRSW atomic
- · MRMW atomic
- Atomic snapshot

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### Safe Boolean MRSW from Safe Boolean SRSW

```
public class SafeBool MRSWRegister
Implements Bool eanRegister {
  private SafeBool SRSWRegister[] r =
    new SafeBool SRSWRegister[N];
  public void write(bool ean x) {
    for (Int J = 0; J < N; J++)
      r[J].write(x);
  }
  public bool ean read() {
    int i = Thread.myIndex();
    return r[i].read();
  }}
</pre>
```

# Safe Boolean MRSW from Safe Boolean SRSW public class SafeBool MRSWRegister Implements BooleanRegister { private SafeBool SRSWRegister[] r = new SafeBool SRSWRegister[N]; public void write(bolean x) { for (Int J = 0; J < N J++) r[J].write(x); } public boolean read() { Int I = Thread.myIndex(); return r[I].read(); Each thread has own safe SRSW register (2) @ 2003 Herlihy & Shovit 43

```
Safe Boolean MRSW from
Safe Boolean SRSW

public class SafeBool MRSWRegister
Implements BooleanRegister {
private SafeBool SRSWRegister[] r =
new SafeBool SRSWRegister[N];

public void write(boolean x) {
for (int j = 0; j < N; j++)
r[j]. write(x);

public boolean read() {
int i = Thread.myIndex();
return r[i]. read();
 write method
}}

(2) @ 2003 Herlihy & Shavit 44
```

```
Safe Boolean MRSW from
       Safe Boolean SRSW
public class SafeBool MRSWRegister
implements BooleanRegister {
pri vate SafeBool SRSWRegi ster[] r =
  new SafeBool SRSWRegister[N];
   ublic vold write(boolean
  for (int j = 0; j < N; j++)
   r[j]. wri te(x);
 public boolean read()
                                Write each
  int i = Thread.myIndex();
                             thread's register
   return r[i].read();
                                one at a time
    (2)
                © 2003 Herlihy & Shavit
                                             45
```

```
Safe Boolean MRSW from
       Safe Boolean SRSW
public class SafeBool MRSWRegister
 implements BooleanRegister {
 pri vate SafeBool SRSWRegi ster[] r =
  new SafeBool SRSWRegister[N];
  public void write(boolean x)
   for (int j = 0; j < N; j++)
    r[j]. write(x);
                                read method
 public boolean read() {
  int i = Thread.myindex();
   return r[i].read();
    (2)
                © 2003 Herlihy & Shavit
                                              46
```

```
Safe Boolean MRSW from
       Safe Boolean SRSW
public class SafeBool MRSWRegister
 Implements BooleanRegister {
 pri vate SafeBool SRSWRegi ster[] r =
  new SafeBool SRSWRegl ster[N];
  public void write(boolean x) {
   for (int J = 0; J < N; J ++)
   r[j]. wri te(x);
  int i = Thread.myIndex();
                                   Read my own
  return r[i].read();
                                     register
    (2)
                © 2003 Herlihy & Shavit
                                              47
```

## Road Map SRSW safe Boolean MRSW safe Boolean MRSW regular Boolean MRSW regular MRSW atomic MRSW atomic MRMW atomic Atomic snapshot

## Road Map SRSW safe Boolean MRSW safe Boolean MRSW regular Boolean MRSW regular MRSW atomic MRSW atomic Atomic snapshot © 2003 Herlihy & Shavit 49

```
Regular Boolean MRSW from
Safe Boolean MRSW

public class RegBool MRSWRegI ster
Implements BooleanRegI ster {
  private boolean old;
  private SafeBool MRSWRegI ster value;
  public void write(boolean x) {
    If (old I= x) {
      value. write(x);
      old = x;
    }}
  public boolean read() {
    return value. read();
  }}

(2) @ 2003 Herlihy & Shavit 50
```

```
Regular Boolean MRSW from
       Safe Boolean MRSW
public class RegBool MRSWRegister
 implements BooleanRegister {
 pri vate bool ean old;
 pri vate SafeBool MRSWRegister value;
 public void write(boolean x) {
  If (old != x) {
   value. write(x);
                Last bit this thread wrote
                  (OK, we're cheating here on Java syntax)
 public boolean read() {
  return value.read();
    (2)
                © 2003 Herlihy & Shavit
                                              51
```

```
Regular Boolean MRSW from
       Safe Boolean MRSW
public class RegBool MRSWRegister
Implements BooleanRegister {
 private boolean old
 pri vate SafeBool MRSWRegi ster val ue;
  public void write(bo
  If (old != x) {
   value. write(x);
   old = x;
 public boolean read() {
   return value.read();
                         Actual value
    (2)
                © 2003 Herlihy & Shavit
                                             52
```

```
Regular Boolean MRSW from
       Safe Boolean MRSW
public class RegBool MRSWRegister
Implements BooleanRegister {
 pri vate bool ean old;
 pri vate SafeBool MRSWRegister value;
  public void write(boolean x) {
 if (old != x) {
   value. wri te(x
                    Is new value different
   old = x;
                    from last value I wrote?
 public boolean read() {
  return value. read();
   (2)
               © 2003 Herlihy & Shavit
                                            53
```

```
Regular Boolean MRSW from
       Safe Boolean MRSW
public class RegBool MRSWRegister
Implements BooleanRegister {
 pri vate bool ean old;
 pri vate SafeBool MRSWRegi ster value;
  public void write(boolean x) {
  if (old!=x)
  value.wrl te(x);
  old = x;
  public boolean rea
                          If so, change it
  return value.read();
  }}
    (2)
               © 2003 Herlihy & Shavit
```

# Regular Boolean MRSW from Safe Boolean MRSW public class RegBool MRSWRegI ster Implements BooleanRegI ster { private boolean old; private SafeBool MRSWRegI ster value; public vold write(boolean x) { if (old I = x) { ·Overlap? No Overlap? value.write(x); old = x; value.write(x); old = x; public boolean read() { return value.read(); } public boolean read(); }

### Road Map SRSW safe Boolean MRSW safe Boolean MRSW regular Boolean MRSW regular MRSW atomic MRSW atomic MRMW atomic Atomic snapshot © 2003 Herlihy & Shavit 56

### Road Map SRSW safe Boolean MRSW safe Boolean MRSW regular Boolean MRSW regular MRSW atomic MRSW atomic MRMW atomic Atomic snapshot

```
MRSW Regular M-valued from MRSW Regular Boolean

public class RegMRSWRegIster Implements RegIster(RegBool MRSWRegIster[M] bit;

public Viewer discretion advised

public (sorry, tuition is non-refundable)

for (this.bit[i].read())
    return i;

}

(1) @ 2003 Herlihy & Shavit 58
```

```
MRSW Regular M-valued from MRSW Regular Boolean

public class RegMRSWRegister Implements Register{
RegBool MRSWRegister[M] blt;

public vold write(int x) {
  this.bit[x].write(true);
  for (int i=x-1; i>=0; i--)
    this.bit[i].write(false);
}

public int read() {
  for (int i=0; i < M; i++)
    if (this.bit[i].read())
    return i;
}}
```

```
MRSW Regular M-valued from MRSW Regular Boolean

public class ReamRSWReal ster implements Register (
RegBool MRSWRegister [M] bit;

public void write(in x)  
    this.bit[x].write(true)  
    for (int i=x-1; l>=0; i--)  
    this.bit[i].write(false);

}

Unarry representation:

public int read() { bit[i] means value i  
    for (int i=0; i < M; i++)  
    if (this.bit[i].read())  
    return i;

})
```

# MRSW Regular M-valued from MRSW Regular Boolean public class RegMRSWRegIsterImplements RegIster { RegBool MRSWRegIster[m] blt; public vold write(Int x) { this.bit[x].write(true); for (Int I = x - 1; 0; 1 + 1); this.bit[I].write(false); } public Int read() { for (Int I = 0; I < M; I + +) if (this.bit[I].read()) return I; }} (1) @ 2003 Herlihy & Shavit 61

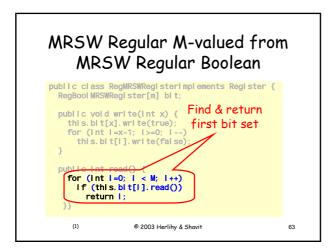
```
MRSW Regular M-valued from
MRSW Regular Boolean

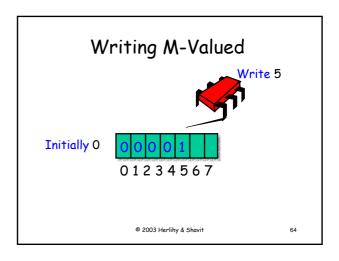
public class RegMRSWRegIsterImplements RegIster {
RegBool MRSWRegIster[m] bit;

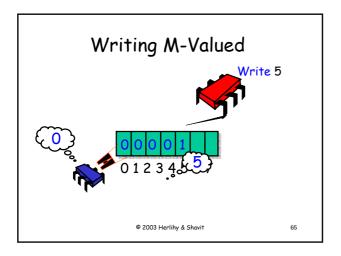
public vold write(Int x) {
    this. bit[x]. write(true);
    for (Int !=x-1; i>=0; i--)
    this. bit[i]. write(false);
}

public Int read() {
    for (Int !=0; i < M; i++)
    if (this. bit[i]. read())
    return i;
}}

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```







## Road Map SRSW safe Boolean MRSW safe Boolean MRSW regular Boolean MRSW regular MRSW atomic MRSW atomic MRMW atomic Atomic snapshot © 2003 Herlihy & Shavit 66

## Road Map SRSW safe Boolean MRSW safe Boolean MRSW regular Boolean MRSW regular Complicated and boring MRSW atomic MRSW atomic MRMW atomic Atomic snapshot © 2003 Herlihy & Shavit 67

### Road Map SRSW safe Boolean MRSW safe Boolean MRSW regular Boolean MRSW regular MRSW atomic MRSW atomic MRMW atomic Atomic snapshot © 2003 Herlihy & Shavit

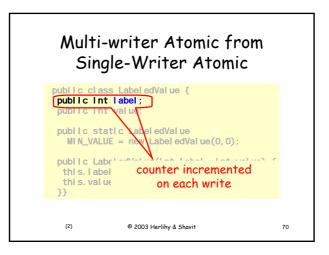
```
Multi-writer Atomic from
Single-Writer Atomic

public class LabeledValue {
  public int label;
  public int value;

public static LabeledValue
  MIN_VALUE = new LabeledValue(0,0);

public LabeledValue(int label, int value) {
  this.label = label;
  this.value = value;
  }}

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```



```
Multi-writer Atomic from Single-Writer Atomic

public class LabeledValue {
    public int value;
    public static LabeledValue
    MIN_VALUE = new abeledValue(0,0);

public LabeledValue(int abel, int value) {
    this.label
    this.value
    }
}
```

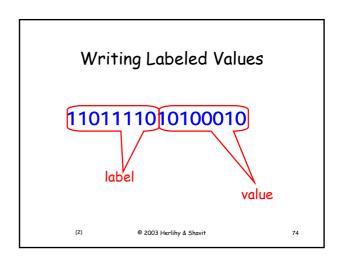
```
Multi-writer Atomic from
Single-Writer Atomic

public class LabeledValue {
  public constructor
  public static LabeledValue
  MIN_VALUE = new LabeledValue(0);

public LabeledValue(Int label, Int value) {
  this. label = label;
  this. value = value;
  }}

(2) © 2003 Herlihy & Shovit 72
```

# Multi-writer Atomic from Single-Writer Atomic public class LabeledValue { public Int label; public Int value; public static LabeledValue MIN\_VALUE = new LabeledValue(0,0); public LabeledValue(Int label, Int value) { this.label = label; this.value = Smallest label ever } (2) @ 2003 Herlihy & Shavit 73



```
Multi-Writer Atomic from
Single-Writer Atomic

public class AtomicMRMWRegister {

private AtomicMRSWRegister[] r =
    new AtomicMRSWRegister[n];

public AtomicMRMWRegister() {
    for (int j = 0; j < n; j++) {
        r[j] = new AtomicMRSWRegister();
        r[j].write(Label edVal ue. MIN_VALUE);
    }
}
```

```
Multi-Writer Atomic from
Single-Writer Atomic

public class AtomicMRMWRegister {

private AtomicMRSWRegister[] r =
    new AtomicMRSWRegister[n];

public AtomicMRMWRegister[n];

public AtomicMRMWRegister[n];

public AtomicMRMWRegister[n];

public AtomicMRSWRegister[n];

public A
```

```
Multi-Writer Atomic from Single-Writer Atomic

Initialize all to min label

pri vate AtomicMRSWRegister[] r = new Atomic (RSWRegister[n];

public AtomicMRSWRegister(n];

public AtomicMRSWRegister() {
    for (int j = 0; j < n; j++) {
        r[j] = new AtomicMRSWRegister();
        r[j]. write(Label edValue. MIN_VALUE);
}

(2) © 2003 Herlihy & Shavit 77
```

```
Multi-Writer Atomic from
Single-Writer Atomic

public void write(Int value) {
  int i = Thread. myIndex();
  Label edVal ue max = Label edVal ue. MIN_VALUE;
  for (Int j = 0; j < n; j++) {
    Label edVal ue other = r[j].read();
    if (other.label > max.label)
      max = other;
    r[i].write(new Label edVal ue(max.label+1, val ue));
}}
```

```
Multi-Writer Atomic from

Single-Writer Atomic

public void write(int value) {
    int i = Thread.mvindex():
        Write new value with higher label
        for (int j = 0; j < n; j++) {
        Label edValue other = r[j].read();
        if (other.label > max.label)
        max = other;
        r[i].write(new Label edValue(max.label+1, value));
}

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```

```
Multi-Writer Atomic from
Single-Writer Atomic

Int read() {
    Label edVal ue max = Label edVal ue. MI N_VALUE;
    for (Int j = 0; j < n; j++) {
        Label edVal ue other = r[j]. read();
        If (other. label > max. label)
        max = other;
    }
    return max. val ue;
}

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```

```
Multi-Writer Atomic from
Single-Writer Atomic

Int read() {
Label edVal ue max = Label edVal ue. MIN_VALUE;
for (Int | = 0: | < n: | ++) {
Label edVal ue other = r[] | read();
If (other. label > max. label)
max = other;
}

return max. val Find highest label

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```

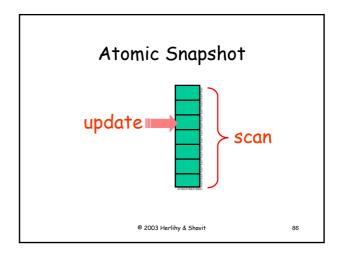
```
Multi-Writer Atomic from
Single-Writer Atomic

Int read() {
   Label edVal ue Return value with for (Int J = highest label
   Label edVal ue Virei Pax. Label | highest label
   Teturn max. val ue;
}

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```

### Road Map SRSW safe Boolean MRSW safe Boolean MRSW regular Boolean MRSW regular MRSW atomic MRSW atomic MRMW atomic Atomic snapshot





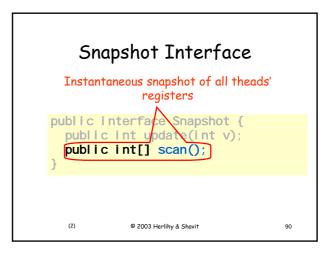
### Atomic Snapshot Array of SWMR atomic registers Take instantaneous snapshot of all Generalizes to MRMW registers ...

```
Snapshot Interface

public interface Snapshot {
    public int update(int v);
    public int[] scan();
}
```

```
Snapshot Interface
Thread i writes v to its register

public interface Snapshot {
    public int update(int v);
    public int[] scan();
}
```



### Atomic Snapshot

- · Collect
  - Read values one at a time
- Problem
  - Incompatible concurrent collects
  - Result not linearizable

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### Clean Collects

- · Clean Collect
  - Collect during which nothing changed
  - Can we make it happen?
  - Can we detect it?

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### 

```
public class SimpleSnapshot implements Snapshot {
  private AtomicMRSWRegister[] register;

public void update(int value) {
  int i = Thread.myindex();
    LabeledValue oidValue = register[i].read();
  LabeledValue newValue =
    new LabeledValue(oidValue.label+1, value);
  register[i].write(newValue);
}

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```

```
public class SimpleSnapshot implements Snapshot {
    private AtomicMRSWRegister[] register;

    public vold update(int value) {
        int i = Thread.myindex();
        LabeledValue oldValue = register[i].read();
        LabeledValue nevValue =
            new LabeledValue(oldValue.label+1, value);
        register[i].wite(newValue);
    }

    One single-writer register per thread
```

```
public class SimpleSnapshot: Update

public class SimpleSnapshot implements Snapshot {
    private AtomicMRSWRegister[] register;

public void update(int value) {
    int i = Thread.myIndex();
    Label edValue oidValue = register[i].read();
    Label edValue newValue =
    new Label edValue(oidValue.label+1, value);

    register[k] write(newValue);
}

Write each time with higher label

(1) © 2003 Herlihy & Shavit 96
```

### Simple Snapshot: Collect pri vate Label edVal ue[] collect() { Label edVal ue[] copy = new Label edVal ue[n]; for (int j = 0; j < n; j++) copy[j] = this.register[j].read(); return copy; }</pre> (1) © 2003 Herlihy & Shavit 97

```
Simple Snapshot

pri vate Label edVal ue[] collect() {
   Label edVal ue[] copy =
   new Label edVal ue[n]:

for (int j = 0; j < n; j++)
   copy[j] = this. register[j]. read();

return copy;
}

Just read each register into array

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```

```
public Int[] scan() {
   Label edValue[] oldCopy, newCopy;
   oldCopy = collect();
   collect: while (true) {
    newCopy = collect();
    if (lequals(oldCopy, newCopy)) {
      oldCopy = newCopy;
      continue collect;
   }}
   return getValues(newCopy);
})
```

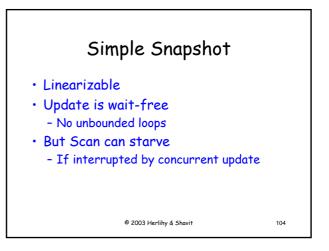
```
Simple Snapshot: Scan

public Int[] scan() {
    Label edVal ue[] oldCopy, newCopy, Collect once
    oldCopy = collect();
    collect: while (true) {
        newCopy = collect();
        if (lequals(oldCopy, newCopy)) {
            oldCopy = newCopy;
            continue collect;
        }}
        return getVal ues(newCopy);
    }}

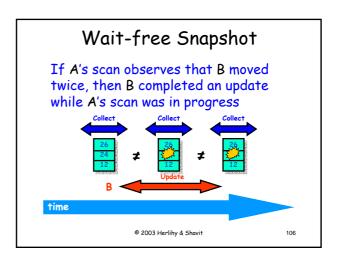
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```

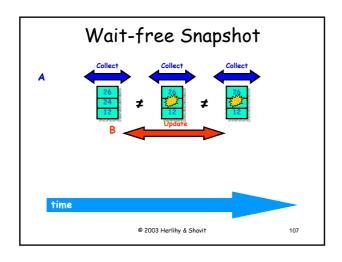
```
Simple Snapshot: Scan
public int[] scan() {
                               _ Collect once
Label edVal ue[] ol dCop
oldCopy = collect();
                              · Collect twice
 newCopy = collect();
 (! equal s(ol dCopy, newCopy))
  oldCopy = newCopy;
 continue collect;
                              On mismatch,
 return getValues(newCopy);
                                 try again
}}}
     (1)
                 © 2003 Herlihy & Shavit
```

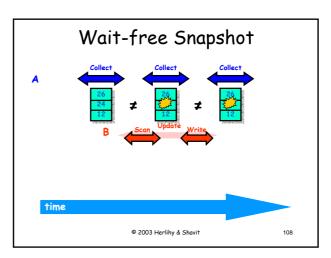
## Simple Snapshot: Scan public Int[] scan() { Label edValue[] oldCopy, newCopy; Collect once oldCopy = collect(); collect: while (true) { newCopy = collect(); lf (lequals(oldCopy, newCopy)) { oldCopy = newCopy; continue collect; } return getValues(newCopy); values }} (1) @ 2003 Herlihy & Shavit 103

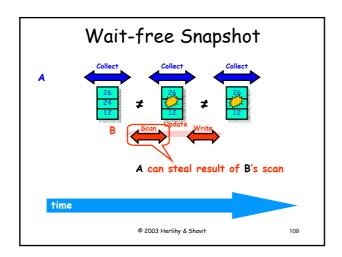


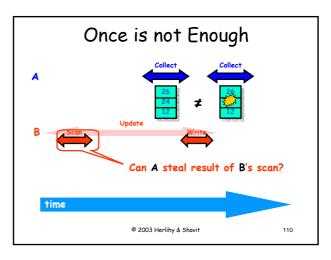
# Wait-Free Snapshot • Add a scan before every update • Write resulting snapshot together with update value • If scan is continuously interrupted by updates, scan can take the update's snapshot

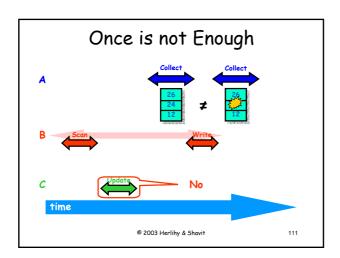


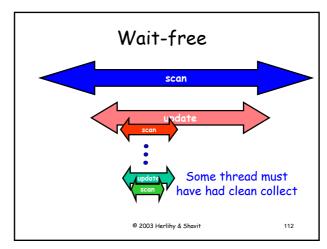


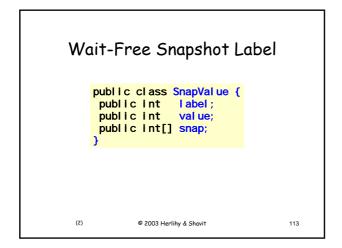


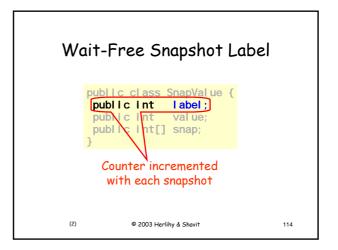


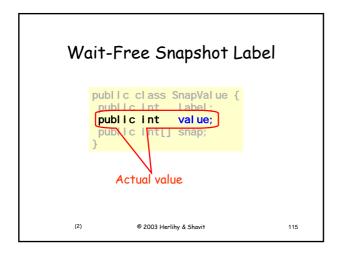


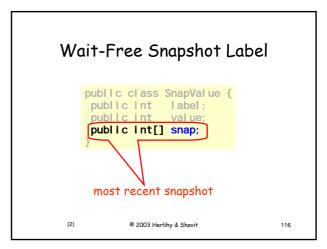


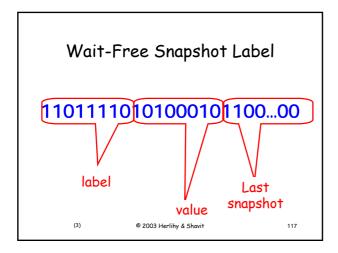


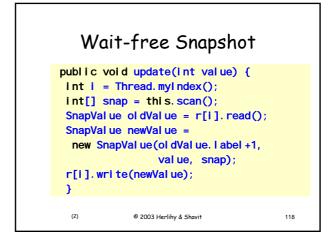












```
Wait-free Snapshot

public void update(int value) {
  int i = Thread.myIndex()
  Take scan
  int[] snap = this.scan();
  SnapValue oldValue = r[i].read();
  SnapValue newValue =
  new SnapValue(oldValue.label+1,
      value, snap);
  r[i].write(newValue);
  }
  Label value with scan

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```

```
Mismatch Detected

If (oldCopy[]].label |= newCopy[]].label) {

If (moved[]]) {

return oldCopy[]].snap;
} else {

moved[]] = true;
oldCopy = newCopy;
continue collect; If thread moved twice,
}}}

return getValues(newCopy);
}}

(2) © 2003 Herlihy & Shavit 124
```

## Observations • Uses unbounded counters - can be replaced with 2 bits • Assumes SWMR registers - for labels - Can be extended to MRMW

### Grand Challenge

- Snapshot means
  - Write any one array element
  - Read multiple array elements

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