

## Lecture 24

Szemerédi's Regularity Lemma

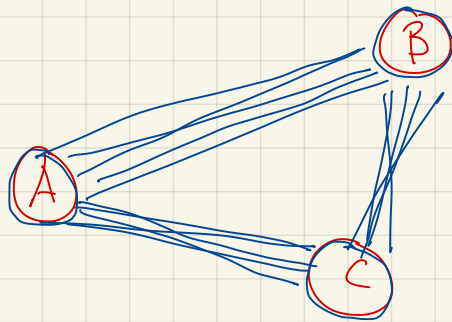
Testing dense graph properties via SRL:

$\Delta$ -freeness

Graphs with "random" properties:

Example question:

How many triangles in a random tripartite graph?



density  $\eta$

$\forall u \in A, v \in B, w \in C$ :

$$\Pr[u \sim v \sim w] = \eta^3$$

$$\delta_{u,v,w} = \begin{cases} 1 & \text{if } u \sim v \sim w \\ 0 & \text{o.w.} \end{cases}$$

$$E[\delta_{u,v,w}] = \eta^3$$

$$E[\# \text{ triangles}] = E\left[\sum_{\substack{u \in A \\ v \in B \\ w \in C}} \delta_{u,v,w}\right] = \eta^3 \cdot |A| \cdot |B| \cdot |C|$$

Can we make weaker assumptions + still get reasonable bounds?

# Density & Regularity of set pairs:

def. For  $A, B \subseteq V$  st.

(1)  $A \cap B = \emptyset$

(2)  $|A|, |B| > 1$

Let  $e(A, B) = \# \text{ edges between } A \text{ \& B}$

$\&$  density  $d(A, B) = \frac{e(A, B)}{|A| \cdot |B|}$

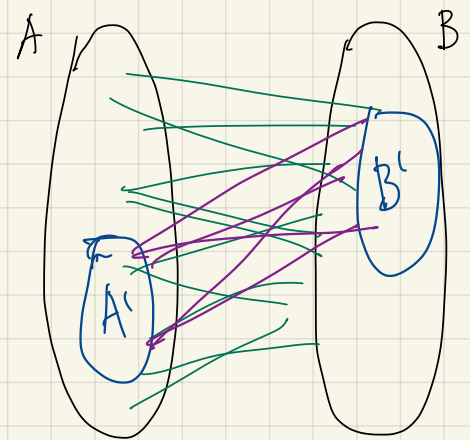
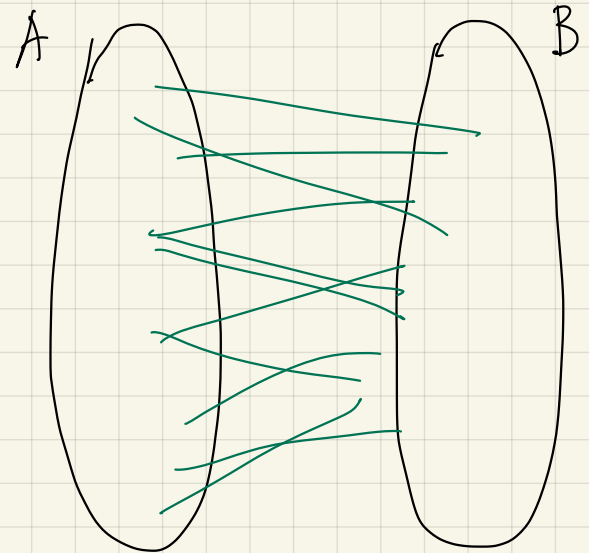
Say  $A, B$  is  $\gamma$ -regular if  $\forall A' \subseteq A, B' \subseteq B$

s.t.  $|A'| \geq \gamma |A|$

$|B'| \geq \gamma |B|$

$$|d(A', B') - d(A, B)| < \gamma$$

(parameter  $\gamma$  used in 2 ways to "conserve" on parameters)



behaves like "a random graph"

Lemma density

$$\forall \eta > 0$$

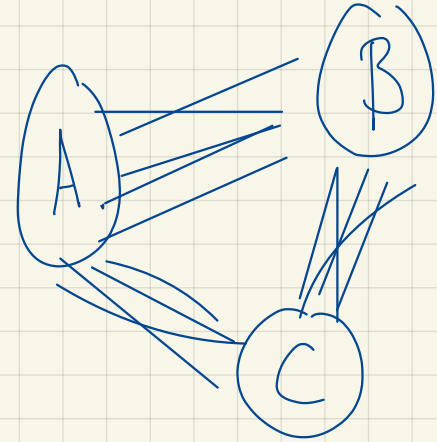
regularity parameter,  
depends only on  $\eta$

$$\exists \gamma = \frac{1}{2} \eta \equiv \gamma^\Delta(\eta)$$

$$\delta = (1-\eta) \frac{\eta^3}{8} \geq \frac{\eta^3}{16} \equiv \delta^\Delta(\eta)$$

# triangles,  
depends only on  $\eta$

if  $\eta < 1/2$



s.t. if A, B, C disjoint subsets of V s.t. each pair

is  $\gamma$ -regular with density  $> \eta$

then G contains  $\geq \delta \cdot |A| \cdot |B| \cdot |C|$  distinct  $\Delta$ 's

with node in each of A, B, C.

Compare to random graphs:  $\geq \eta^3 |A| \cdot |B| \cdot |C|$

Wow!  
differ  
only  
by  
factor of  
16



Using claim:

For each  $u \in A^x$ :

define  $B_u \equiv$  nbrs of  $u$  in  $B$   
 $C_u \equiv$  nbrs of  $u$  in  $C$

both pretty big by def of  $A^x$

since  $\gamma < \frac{\eta}{2}$ ,  $|B_u| \geq (\eta - \gamma)|B| \geq \gamma|B|$   
 $(\eta - \gamma > \gamma)^2$ ,  $|C_u| \geq (\eta - \gamma)|C| \geq \gamma|C|$

# edges between  $B_u + C_u \Rightarrow$  lower bound on # distinct  $\Delta$ 's in which  $u$  participates

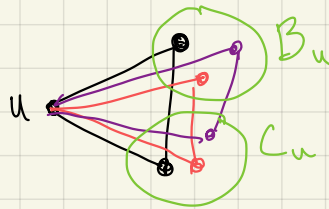
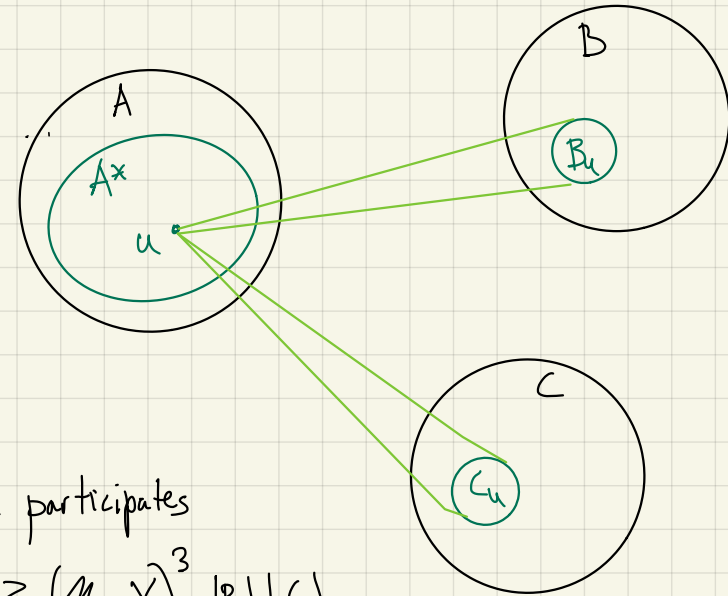
$$d(B, C) \geq \eta \Rightarrow d(B_u, C_u) \geq \eta - \gamma \Rightarrow e(B_u, C_u) \geq (\eta - \gamma)|B_u||C_u| \geq (\eta - \gamma)^3 |B||C|$$

$B_u, C_u$  big enough +  $(B, C)$  is  $\gamma$  regular

$$\text{so total } \# \Delta\text{'s} \geq (1 - 2\gamma)|A| \cdot (\eta - \gamma)^3 |B||C| \geq (1 - \eta) \left(\frac{\eta}{2}\right)^3 |A||B||C|$$

choose  $\gamma < \eta/2$

this is where claim gets used



Find lots of distinct  $\Delta$ 's

Do interesting graphs have regularity properties?

Yes in some sense, all graphs do "can be approximated as small collection of random graphs"

## Szemerédi's Regularity Lemma

would like it to say:

"one can equipartition nodes of  $V$  into  $V_1 \dots V_k$  (for const  $k$ ) s.t.

all pairs  $(V_i, V_j)$  are  $\epsilon$ -regular"

only most  
 $\leq \epsilon \binom{k}{2}$   
are not

↑  
Sometimes need  $k > m$   
for some  $m$   
( $k=1, k=n$  trivial)  
useful in applications

# Szemerédi's Regularity Lemma: (especially useful version)

$\forall m, \epsilon > 0 \quad \exists T = T(m, \epsilon)$  s.t. given  $G = (V, E)$  s.t.  $|V| > T$

$\downarrow$   $\mathcal{A}$  an equipartition of  $V$  into sets

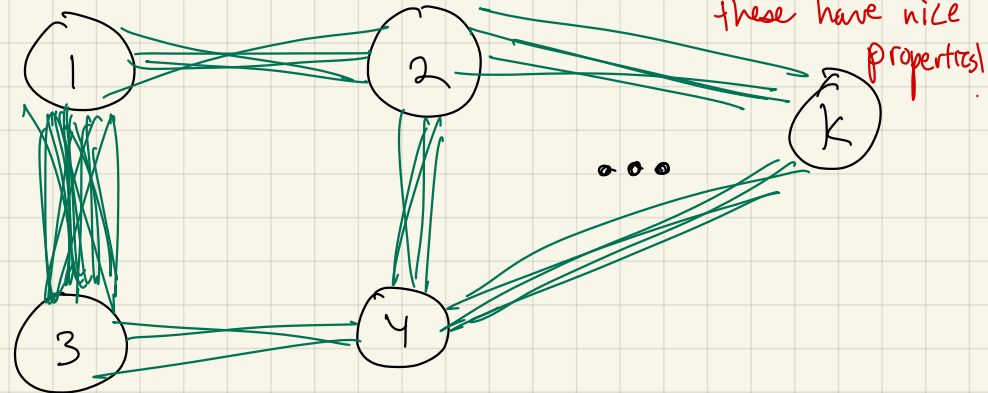
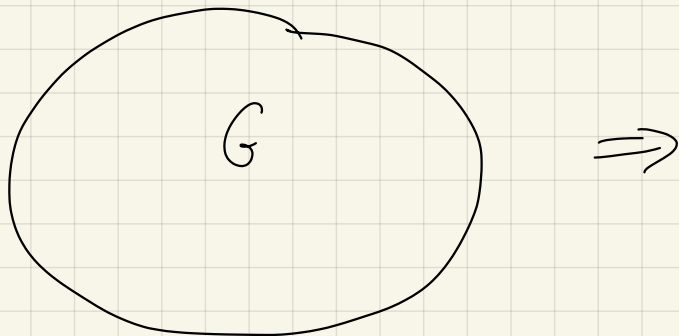
then exists equipartition  $\mathcal{B}$  into  $k$  sets which refines  $\mathcal{A}$

s.t.  $m \leq k \leq T$

$\downarrow$   $\leq \epsilon \binom{k}{2}$  set pairs not  $\epsilon$ -regular

$\nwarrow$  independent of  $n$

Note:  $T$  does not depend on  $|V|$



const # partitions  
s.t.  
each pair behaves like random graph  
these have nice properties!



Why was SRL first studied?

to prove conjecture of Erdős + Turán: sequences of ints have long arithmetic progressions

Very rough idea of proof:

"expectation of  $d^2(v_i, v_j)$ "

→

$$\text{ind}(V_1, \dots, V_k) = \frac{1}{k^2} \sum_{i=1}^k \sum_{j=i+1}^k d^2(v_i, v_j) \leq \frac{1}{2}$$

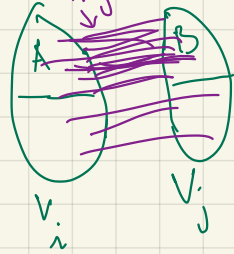
← same densities

"variance of  $d$ "

note:

$$E[d(v_i, v_j)] = \frac{|E|}{|V|^2}$$

high density



if a partition violates, can refine st.

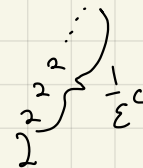
$\text{ind}(V'_1, \dots, V'_k)$  grows significantly (ie. by  $\approx \epsilon^c$ )

so in less than  $\frac{1}{\epsilon^c}$  refinements, have good

partition

} note, if refine, Cauchy Schwartz  $\Rightarrow$  ind can't decrease

How big is  $k$ ? u.b. tower of size  $\frac{1}{\epsilon^c}$   
 l.b. " " "  $\frac{1}{\epsilon^c}$



issue: what if

split  $v_i$  for many  $v_j$ ?

$\Rightarrow$  split into exponential subsets

An application of the SRL:

Given  $G$  in adj matrix form

Is it  $\Delta$ -free?

desired behavior: if  $G$  is  $\Delta$ -free, output PASS

if  $G$   $\varepsilon$ -far from  $\Delta$ -free output FAIL

must delete  
 $\geq \varepsilon n^2$  edges

1-sided  
error

Algorithm:

Do  $O(\frac{1}{\varepsilon^2})$  times:

Pick  $v_1, v_2, v_3 \in_r V$   
if  $\Delta$  reject & halt

Accept

$\leftarrow$  fcn of  $\epsilon$  only  
Thm  $\forall \epsilon, \exists \delta$  st.  $\forall G$  st.  $|V|=n$   
 $\wedge$  st.  $G$  is  $\epsilon$ -far from  $\Delta$ -free,  
 then  $G$  has  $\geq \delta \binom{n}{3}$  distinct  $\Delta$ 's

Corr Algorithm has desired behavior

Why?

- if  $\Delta$ -free: we never reject ✓
- if  $\epsilon$ -far from  $\Delta$ -free:  
 $\geq \delta \binom{n}{3}$   $\Delta$ 's

$\Rightarrow$  each loop passes with prob  $\leq 1 - \delta$   
 $\Pr[\text{don't find } \Delta] \leq (1 - \delta)^{c/\delta}$

$$\leq e^{-c} < \frac{1}{3}$$

$\uparrow$   
 for proper choice of  $c$  ✓

$\Rightarrow$  reject with prob  $\geq 2/3$

Thm  $\forall \varepsilon, \exists \delta$  s.t.  $\forall G$  s.t.  $|V|=n$   
 $\wedge$  s.t.  $G$  is  $\varepsilon$ -far from  $\Delta$ -free,  
 then  $G$  has  $\geq \delta \binom{n}{3}$  distinct  $\Delta$ 's

Proof

Use regularity to get equipartition  $\{V_1, \dots, V_k\}$  s.t.

$$\frac{5}{\varepsilon} \leq k \leq T\left(\frac{5}{\varepsilon}, \varepsilon'\right)$$

equivalent:  $\frac{\varepsilon n}{5} \geq \frac{n}{k} \geq \frac{n}{T\left(\frac{5}{\varepsilon}, \varepsilon'\right)}$

need  $\geq \frac{5}{\varepsilon}$  sets in partition  
 so that no set has  $\geq \frac{\varepsilon}{5}$  fraction of nodes

how? start with arbitrary equipartition into  $5/\varepsilon$  sets  $\leftarrow$  this is why we need ability to refine any partition

for  $\varepsilon' \equiv \min\left\{\frac{\varepsilon}{5}, \gamma^\Delta\left(\frac{\varepsilon}{5}\right)\right\}$

s.t.  $\leq \varepsilon' \binom{k}{2}$  pairs not  $\varepsilon'$ -regular

assume  $\frac{n}{k}$  is integer

$G'$  = take  $G$  and

1) delete edges internal to any  $V_i$   
 (if #nodes per partition small, few internal edges)

how many?  $\leq \frac{n}{k} \cdot n \leq \frac{\epsilon n^2}{5}$

deg w/in  $V_i$  (pointing to  $\frac{n}{k}$ )  
 sum over all nodes (pointing to  $n$ )

2) delete edges between  $\epsilon'$ -non regular pairs

how many?

$\leq \underbrace{\epsilon' \binom{k}{2}}_{\# \text{ non regular pairs}} \cdot \underbrace{\left(\frac{n}{k}\right)^2}_{\text{max \# edges per pair}} \leq \frac{\epsilon}{5} \cdot \frac{k^2}{2} \cdot \frac{n^2}{k^2} \leq \frac{\epsilon}{10} n^2$

since  $|V_i| \approx |V_j| = \frac{n}{k} (+1)$

$$\frac{\epsilon n}{5} \geq \frac{n}{k} \geq \frac{n}{T(\frac{\epsilon}{5}, \epsilon')}$$

$$d(A, B) = \frac{e(A, B)}{|A| \cdot |B|}$$

$A, B$  is  $\delta$ -regular if  $\forall A' \subseteq A, B' \subseteq B$   
 s.t.  $|A'| \geq \delta |A|$   
 $|B'| \geq \delta |B|$

$$|d(A', B') - d(A, B)| < \delta$$

$$\delta^{\Delta}(\eta) = \frac{1}{2} \eta$$

$$\delta^{\Delta}(\eta) = (1 - \eta) \frac{\eta^3}{8} \approx \frac{\eta^3}{16}$$

$$\epsilon' = \min \left\{ \frac{\epsilon}{5}, \delta^{\Delta} \left( \frac{\epsilon}{5} \right) \right\}$$

$\epsilon \leq \epsilon' \binom{k}{2}$  pairs not  $\epsilon'$ -regular

3) delete edges between

low density pairs  
use  $< \varepsilon/5$  as cutoff

how many?

$$\leq \sum_{\text{low density}} \binom{\varepsilon}{5} \binom{n}{k}^2$$

$$\leq \frac{\varepsilon}{5} \binom{n}{2} \approx \frac{\varepsilon n^2}{10}$$

note  $\sum \binom{n}{k}^2 \leq \binom{n}{2}$

$$\frac{\varepsilon n}{5} \geq \frac{n}{k} \geq \frac{n}{T(\frac{\varepsilon}{5}, \varepsilon')}$$

$$d(A,B) = \frac{e(A,B)}{|A||B|}$$

$A, B$  is  $\gamma$ -regular if  $\forall A' \subseteq A, B' \subseteq B$   
s.t.  $|A'| \geq \gamma |A|$   
 $|B'| \geq \gamma |B|$

$$|d(A', B') - d(A, B)| < \gamma$$

$$\varepsilon' = \min \left\{ \frac{\varepsilon}{5}, \gamma^\Delta \left( \frac{\varepsilon}{5} \right) \right\}$$

$\forall \leq \varepsilon' \binom{k}{2}$  pairs not  $\varepsilon'$ -regular

Total deleted edges:  $\leq \frac{\varepsilon n^2}{5} + \frac{\varepsilon n^2}{10} + \frac{\varepsilon n^2}{10} < \varepsilon n^2$

But  $G$  is  $\varepsilon$ -far from  $\Delta$ -free (must delete  $\geq \varepsilon n^2$  edges to remove all  $\Delta$ 's)  
so  $G'$  must still have a triangle!!!

$\Delta$  in  $G'$  must connect:

1) nodes in 3 distinct  $V_i, V_j, V_k$

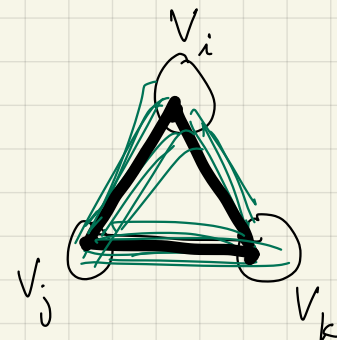
since no edges internal to partition in  $G'$

2) regular pairs

since nonregular pair edges deleted in  $G'$

3) high density pairs

since removed low density pairs in  $G'$



$\therefore \exists i, j, k$  distinct st.  $x \in V_i, y \in V_j, z \in V_k$

$V_i, V_j, V_k$  all  $\geq \frac{\epsilon}{5}$  density pairs

$\& \geq \gamma^{\Delta}(\frac{\epsilon}{5})$ -regular

$\geq \frac{\eta}{2} \geq \frac{\epsilon}{10}$

$\Delta$ -counting lemma  $\Rightarrow$

$$\geq \delta^\Delta \left(\frac{\varepsilon}{5}\right) |V_i| \cdot |V_j| \cdot |V_k|$$

triangles in  $G'$

$$\geq \frac{\delta^\Delta \left(\frac{\varepsilon}{5}\right) n^3}{\left(T\left(\frac{5}{\varepsilon}, \varepsilon'\right)\right)^3} \Delta's$$

where  $\delta^\Delta = (1-\eta) \frac{\eta^3}{8}$

$$\geq \frac{1}{2} \cdot \frac{\varepsilon^3}{8000} = \frac{\varepsilon^3}{16000}$$

$$\geq \delta' \cdot \binom{n}{3} \Delta's \text{ in } G' \text{ (and thus in } G)$$

for  $\delta' = 6 \delta^\Delta \left(\frac{\varepsilon}{5}\right) \left(T\left(\frac{5}{\varepsilon}, \varepsilon'\right)\right)^3$





This is a powerful technique!

• similar lemma to  $\Delta$ -counting holds for all const sized subgraphs

• almost "as is" can use same method to test all

"1st order" graph properties:

$\exists u_1, u_2, u_3, \dots, u_k$

↑  
nodes

$\forall v_1, \dots, v_\ell \quad R(u_1, \dots, u_k, v_1, \dots, v_\ell)$

$R$  defined via  $\wedge, \vee, \neg$  + neighbors

queries to  
adj  
matrix

i.e.  $\forall u_1, u_2, u_3 \quad \neg (u_1 \sim u_2, u_2 \sim u_3, u_3 \sim u_1)$

triangle

more generally,

$H$ -freeness for all const sized  $H$