Lecture 26

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This lecture discusses the relationship between pseudorandomness and hard functions. The main result, accompanied by proof, is Theorem 3.

First, let's review the definition of a useful construction from the previous lecture:

**Definition 1** A collection of sets  $S_1, \ldots, S_m \subseteq [d] = \{1, \ldots, d\}$  is an (l, a)-design if

- $\forall i, |S_i| = l$
- $\forall i \neq j, |S_i \cap S_j| \leq a.$

Note that if a = 0, then the sets  $S_1, \ldots, S_l$  are all disjoint as they each have l elements, d is forced to be at least  $m \cdot l$ . For the purposes of this lecture, it is useful to think of m as being big and a relatively small.

We will use the following theorem, which we don't prove here:

**Theorem 1** For any constant  $\gamma$ , there exists an (l, a)-design with  $a = \gamma \log m$ , constructible in time  $2^{O(d)}$  and such that  $d = O(l^2/a)$ .

We now introduce another definition

**Definition 2**  $f : \{0,1\}^l \to \{0,1\}$  is  $(t,\alpha)$ -average case hard if for any nonuniform (circuit with advice) algorithm A running in time t(l) the following inequality holds for large l:

$$\Pr_{x,A} \left[ A(x) = f(x) \right] < 1 - \alpha(l)$$

Note that x is of size l. We will use  $\alpha(l) = 1 - \epsilon(l)$  for  $\epsilon(l) \leq \frac{1}{t(l)}$ , hence  $1 - \alpha(l) \leq \frac{1}{2} + \epsilon(l) \leq \frac{1}{2} + \frac{1}{t(l)}$ . The following theorem allows us to extend by 1-bit:

**Theorem 2** If f is  $(t, 1 - \epsilon)$ -average case hard, then  $G(y) := y \circ f(y)$  is a  $(t, \epsilon)$ -PRG.

We want to stretch this. Our approach is to use the Nisan-Wigderson generator, which we present here.

**Definition 3 (Nisan-Wigderson generator)** Given (l, a)-design  $S_1, \ldots, S_m \subseteq [d]$ , define  $G : \{0, 1\}^d \rightarrow \{0, 1\}^m$  to be

$$G(x) := f(x|_{S_1}) \circ f(x|_{S_2}) \circ \cdots \circ f(x|_{S_m}),$$

where  $x|_{S_i}$  is the string of length  $l = |S_i|$  obtained by selecting the bits of x indexed by  $S_i$ . For convenience, use the notation  $f_i(x) := f(x|_{S_i})$ . Note that the domain of each  $f_i$  is  $\{0,1\}^l$ .

The intuition behind this construction is that if the sets  $S_i$  were completely disjoint, then the strings  $x|_{S_i}$  would be completely independent, since they would have no common bits, making G hard to predict. However, in this case, as we saw,  $d \ge ml$ .

What we hope is that by trading independence of the strings  $x|_{S_i}$ , by allowing a bit of overlap (bounded above by  $|S_i \cap S_j| \leq a$ ), we can still achieve satisfactory unpredictability. The following theorem quantifies these ideas:

**Theorem 3 (NW)** Assume that the following two conditions hold (to be used in the Nisan-Wigderson generator):

• there exists  $f: \{0,1\}^l \to \{0,1\}$  such that  $f \in E := DTIME(2^{O(l)})$  and

$$f$$
 is  $\left(t, \frac{1}{2} - \frac{1}{\epsilon(l)}\right) - average case hard$ 

• there exists an (l, a)-design  $S_1, \ldots, S_m \subseteq [d]$  such that

$$m = t(l)^{1/3}$$
 and  $a = \frac{1}{3}\log t(l)$ 

Then the Nisan-Wigderson generator G is a  $\frac{1}{m}$ -PRG against non-uniform time m.

Before we move on to the proof of theorem 3, we mention two interesting corollaries.

**Corollary 4** If  $f \in E = DTIME(2^{O(l)})$  such that f is  $(t, \frac{1}{2} - t)$ -average case hard for

$$t = 2^{\Omega(l)} \implies P = BPP$$
$$t = 2^{l^{\Omega(1)}} \implies \tilde{P} = BPP$$
$$t = l^{\omega(1)} \implies BPP \subseteq SUBEXP$$

**Corollary 5** There exists (m, 1/m) PRG for depth d circuits of size m such that the PRG is computable in polynomial time.

Now we present the proof of theorem 3:

## Proof

Suppose the result is not true. Then there exists a next-bit predictor P such that

$$\Pr_{i,x}\left[P\left(f_1(x)\circ f_2(x)\circ\cdots\circ f_{i-1}(x)\right)=f_i(x)\right]\geq \frac{1}{2}+\frac{\epsilon}{m}.$$
(1)

Note that the circuit size of P is the sum of the runtime of the PRG, which is m and the size of the advice we gave P in the proof, which is O(m), hence size(P) = O(m).

Using a standard argument (seen before in other lectures), there exists  $i^*$  that achieves the expectation, in other words

$$\Pr_{\text{bits of } x \text{ in } S_{i^*}, \text{ bits of } x \text{ not in } S_{i^*}} \left[ P\Big(f_1(x) \circ f_2(x) \circ \dots \circ f_{i^*-1}(x)\Big) = f_{i^*}(x) \right] \ge \frac{1}{2} + \frac{\epsilon}{m}.$$
(2)

Note that this is just inequality (1) as before, rewritten for  $i^*$  and with the probability split over two sets.

Now using an averaging process, we see that there must exist a setting Z of the bits of x not in  $S_i$  which achieves (2). We change notation and use the variable y to denote the x's that has its bits not in  $S_i$  set according to the setting Z. Then (2) becomes

$$\Pr_{y}\left[P\left(f_{1}(y)\circ f_{2}(y)\circ\cdots\circ f_{i^{*}-1}(y)\right)=f_{i^{*}}(y)\right]\geq\frac{1}{2}+\frac{\epsilon}{m}$$
(3)

Note that in (3), in  $f_{i^*}(y)$ , the unset variables are those indexed by  $S_{i^*}$  and  $f_{i^*}$  depends on all these. However, on the left hand side of the equality inside the probability in (3), each  $f_j$ ,  $1 \le j \le i^* - 1$  depends only on the unset variables index by  $S_j \cap S_i$ , for the other variable of y have been fixed according to the setting Z chose above.

Hence, each  $f_j$  depends on  $|S_i \cap S_j| \leq a$  variables. The  $2^a$  values can be encoded as advice, giving a total advice size of  $m \cdot 2^a$ . This relatively small size of the advice (for special m and a) is crucial in what follows.

Define  $A(y) = P(f_1(y) \circ \cdots \circ f_{i^*-1}(y)).$ 

• predicts f(y) with advantage at least  $\frac{\epsilon}{m} \approx \frac{1}{m^2}$ 

• has circuit size  $m \cdot 2^a + \text{size of}(P)$ . The latter we saw to be O(m). Since we picked a, m to satisfy  $a = \frac{1}{3} \log t(l)$  and  $m = t(l)^{\frac{1}{3}}$ , we have that

 $\operatorname{size}(A(y)) = m \cdot 2^a + O(m) = t(l)^{\frac{1}{3}} \cdot t(l)^{\frac{1}{3}} + O(t(l)^{\frac{1}{3}}) \ll t(l),$ 

contradicting the first assumption of theorem 3. (the average case hardness assumption)