

# LECTURE 17

Note Title

4/11/2007

Today : • Complete  $IP \geq PSPACE$

• Few words on Knowledge

———— x ———

Admin :- No lecture on Monday - holiday

- I'll be away - Swastik will

lecture (1<sup>st</sup> of 3 lectures on PCP).

———— x ———

# Review from last lecture

## Polynomial Construction Sequence

$P_0, P_1, P_2, \dots, P_\ell$  field =  $\mathbb{F}$

- Each polynomial of degree  $\leq d$

- " # variables  $\leq m$

-  $P_0$  computable in time  $\leq t$

-  $P_i$  computable <sup>in time  $t$</sup>  with oracle for

$P_{i-1}$  with # calls  $\leq w$

$\Rightarrow$  Given  $\bar{a} = (a_1, \dots, a_m) \in \mathbb{F}^m$  &  $b \in \mathbb{F}$

Can prove interactively that " $P_\ell(\bar{a}) = b$ "

in time  $\text{poly}(l, d, |\mathbb{F}|, m, t, w)$ .  
provided  $|\mathbb{F}|$  large.

# Typical Phase of interaction

$$P_i(a^{(i)}) = b^{(i)} ?$$

Verifier

Prover

Compute  $V_1, \dots, V_w$

s.t.

$P_i(a^{(i)})$  can be

computed from

$P_{i-1}(V_1, \dots, V_w)$ .

Compute Curve

$C$  s.t.  $C(j) = V_j$ ;

$h \leftarrow P_{i-1}(C(t))$

$C, h$



• Verify

$$C(i) = V_i$$

• Verify  $b^{(i)} = f_i(h(1), \dots, h(w))$

Pick  $t_0 \in \mathbb{F}$  at random

$$a^{(i-1)} = C(t_0); \quad b^{(i-1)} = h(t_0)$$

$t_0$



# Poly Construction Sequence for PSPACE

Given: Machine  $M$ ,  $n$  s.t.  
Configurations of machine are  
 $S$  bits long.

Goal: To decide if initial config  $a_1 \dots a_s$   
leads to (unique) accepting config  $b_1 \dots b_s$   
in  $2^s$  steps.

Define: Function

$$F_0, F_1, \dots, F_s : \mathbb{F}^{2^s} \rightarrow \mathbb{F}$$

$$F_i(\bar{\sigma} = (\sigma_1 \dots \sigma_s), \bar{\tau} = (\tau_1 \dots \tau_s)) = \begin{cases} 1 & \text{if } \sigma \Rightarrow \tau \\ & \text{in } 2^i \text{ steps} \\ 0 & \text{if } \sigma, \tau \in \{0,1\}^s \text{ or.} \\ \text{arbitrary} & \text{for } \sigma, \tau \notin \{0,1\}^s \end{cases}$$

① Can define  $F_0$  s.t. it is a polynomial of degree  $O(1)$  in each variable; and is computable in polytime.

$$② \quad F_i(\bar{x}, \bar{y}) = \sum_{z \in \{0,1\}^s} F_{i-1}(\bar{x}, \bar{z}) \cdot F_{i-1}(z, \bar{y})$$

↑

-  $F_i$  computable from  $F_{i-1}$  😊

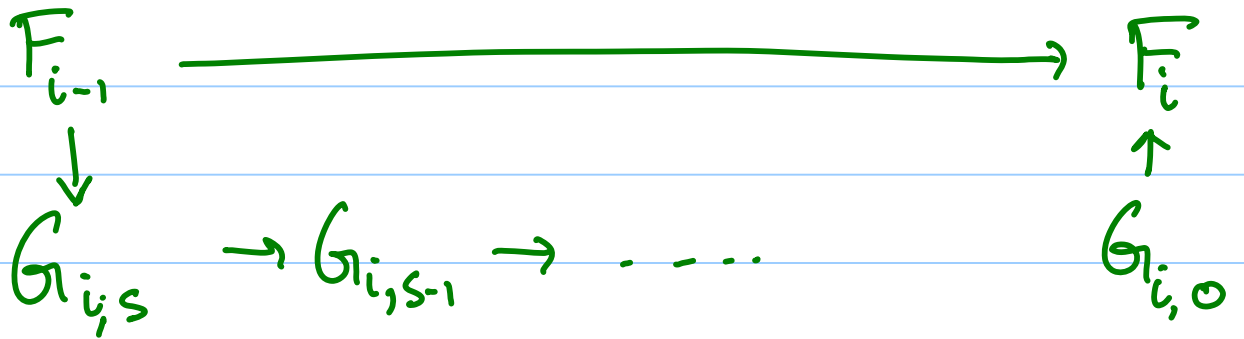
- degree in each variable  $\leq C$  😊

-  $F_i$  needs  $2^s$  values of  $F_{i-1}$  😞

↑

Need to fix this

## Breaking Exponential Sum into Smaller Pieces.



$$G_{i,s}(x, y, z) = F_{i-1}(x, z) \cdot F_{i-1}(z, y)$$

$$G_{i,j}(x, y, z_1, \dots, z_j) = G_{i,j+1}(x, y, z_1, \dots, z_j, 0) \\ + G_{i,j+1}(x, y, z_1, \dots, z_j, 1).$$

The sequence

$$G_{0,0}, G_{1,s}, G_{1,s-1}, \dots, G_{1,0}, G_{2,s}, \dots, G_{2,0}, \dots, G_{s,0}$$

Over every large field is good.

$$\text{degree} \leq 4 \cdot c \cdot s$$

$$\text{length} \leq O(s^2)$$

$$\text{width} = 2$$

$$\text{time} = O(s)$$

$$\# \text{ variables} \leq 3s$$



## (ZERO) KNOWLEDGE

- Classical theory of Information [Shannon]:
  - if I send you the outcome of  $n$  unbiased coin tosses, that gives you  $n$  bits of information.
- If goal of a website is to spread information, then would have websites filled with coin tosses....
- What do intelligent entities "trade" when they exchange bits?

Claim: Want "knowledge", not "information".



- Claim: Sequence of  $n$  random coin tosses has  $0$  bits of knowledge; (vs.  $n$  bits of information).

- (More interesting) Claim: If I take primes  $P, Q$  ( $n$  bits each) & send you  $N (= P \cdot Q)$  then you don't know  $P$  (or  $Q$ ).

- Anecdotal Story<sup>\*</sup>: Micali posed variant of above as question in problem set in U. Toronto in  $\sim 82$ ; Cook responded "I don't know how to prove I don't know."

<sup>\*</sup> Anonymous source; unreliable

- Formal theory of knowledge emerged. ] Goldwasser  
Micali  
Rackoff

## Definition by Example:

- ZK proof of Graph Isomorphism

[ Goldreich  
Micali  
Wigderson ]

Given:  $G_1, G_2$

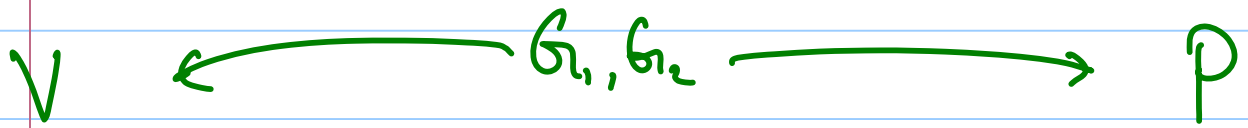
Goal: Prover  $\leftrightarrow$  Verifier

• Completeness: if  $G_1 \approx G_2$  then  $V$  must accept w.h.p.

• Soundness: if  $G_1 \not\approx G_2$  then  $V$  must reject w.h.p.

• Zero Knowledge: if  $G_1 \approx G_2$  then  $V$  must not know isomorphism; or learn anything other than this fact from conv.

# Protocol: Now $P$ Randomized!

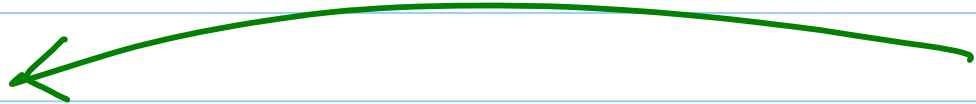


[say  $G_1 = \pi_0(G_2)$ ]

Pick  $i \in \{1, 2\}$

$\pi \in S_n$

$$H = \pi(G_i)$$



$b \in \{1, 2\}$



•  $\pi$  if  $b=i$



•  $\pi \circ \pi_0$  if  $i=1$   
 $b=2$

•  $\pi \circ \pi_0^{-1}$  if  $i=2$   
 $b=1$

Claim: Sound : Know what this means

Claim: Zero-Knowledge : Don't know yet!

## Definition of Zero-Knowledge

- ① Fix verifier's coins  $R$
- ② Transcript is still random variable with distribution  $D_R$

if Verifier can sample from  $D_R$  on its own, then Verifier gains no knowledge from prover.

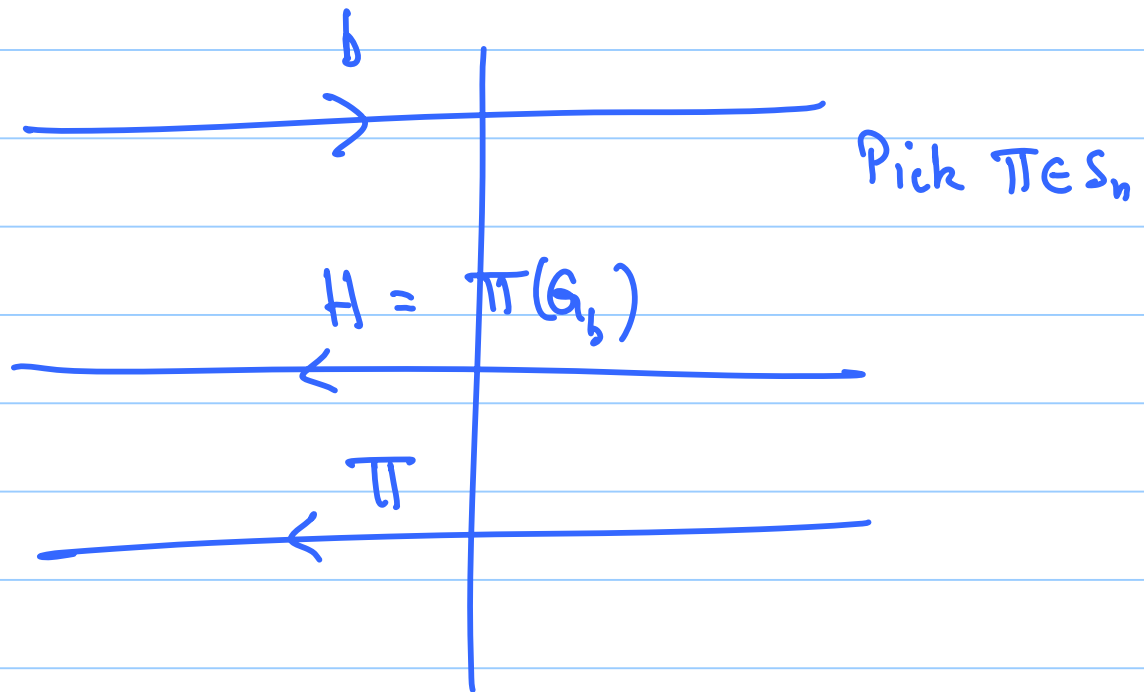
"Simulator"  $\equiv$  Sampler of  $D_R$

[Perfect Zero Knowledge]

# Simulator for GI

Verifier

Simulator



Output  $(H, b, \pi)$

exactly same distribution as with prover!

(for every  $b \in \{0, 1\}$ .)

Sequence important for soundness!

# Complexity - Theory of Knowledge

1. Can weaken definitions of Zero-Knowledge.

(i) Simulator produces

$$D'_R \approx_{\epsilon} D_R$$

$$\text{ie, } \sum_x |D'(x) - D(x)| \leq 2\epsilon$$

"Statistical ZK" (SZK)

Equivalently  $\forall$  tests  $T: \{0,1\}^n \rightarrow \{0,1\}$

$$\left| \Pr_{x \in D_R} [T(x)=1] - \Pr_{x \in D'_R} [T(x)=1] \right| \leq \epsilon$$

(ii) Simulator produces  $D_R''$  s.t.

$\forall$  polytime alg.  $A$

$$\left| \Pr_{x \in D_R} [A(x) = 1] - \Pr_{x \in D_R''} [A(x) = 1] \right| \leq \epsilon.$$

"Computational ZK" (CZK)

## Results

• [GMR]: Definitions + protocols for NP-comp. problems.

• [GMW]: GI  $\in$  PZK  $\subseteq$  SZK  $\leftarrow$  statistical

IP  $\subseteq$  CZK if o.w.f. exist.

$\uparrow$

cryptographic

- [Fortnow, Bopanna Hastad]:

$$\text{SZK} \in \text{co-AM}$$

$\Rightarrow \exists \in \text{SZK}$  can't be NP-hard  
unless PH collapses

- [Okamoto]:

$$\text{SZK} = \text{co-SZK}$$

- [Sahai-Vadhan] ; [Goldreich-S-V] etc:

SZK complete problems.

E.g.  $\text{SD} = \left\{ (C_1, C_2) \mid \begin{array}{l} C_1, C_2 : \{0,1\}^n \rightarrow \{0,1\}^m \\ \text{circuits poly size} \\ \{C_1(x)\}_{x \in \{0,1\}^n} \neq \{C_2(x)\}_{x \in \{0,1\}^n} \end{array} \right\}$



Easy:  $SD \subseteq SZK$

Harder:  $SD \equiv \overline{SD}$ .

$(C_1, C_2) \longrightarrow (D_1, D_2)$

s.t.  $C_1 \approx_{\epsilon} C_2 \iff D_1 \not\approx_{\epsilon} D_2$  !)

Recently rich theory of CZK

$L_1 \in CZK$  &  $L_2 \in CZK$

$\Rightarrow L_1 \cup L_2 \in CZK$ . [Vadhan].